

# On Pivot Orbits of Boolean Functions

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## Abstract

We derive a spectral interpretation of the pivot operation on a graph and generalise this operation to hypergraphs. We enumerate the number of inequivalent pivot orbits for small numbers of vertices. We also construct a family of Boolean functions of degree higher than two with a large number of flat spectra with respect to the  $\{I, H\}^n$  set of transforms, and compute a lower bound on this number. We establish lower bounds on the number of flat spectra of a function w.r.t.  $\{I, H\}^n$  and  $\{I, H, N\}^n$  depending on internal structures.

## 1 Introduction

Define the  $n$ -vertex graph,  $G$ , by its  $n \times n$  symmetric adjacency matrix,  $\Gamma$ . Identify  $G$  with a quadratic Boolean function  $p(x_0, x_1, \dots, x_{n-1})$ , where  $p(\mathbf{x}) = \sum_{i < j} \Gamma_{ij} x_i x_j$  [10]. Let  $s = (-1)^p$  be a length  $2^n$   $n$ -dimensional vector such that  $s_i = (-1)^{p(x=i)}$ . In this paper we characterise the pivot operation on graphs using algebraic normal form (ANF). We also generalise pivot to hypergraphs (i.e. to boolean functions of degree  $\geq 2$ ), and state the (necessary and sufficient) condition that a function of degree higher than quadratic must fulfill in order to allow such an operation. Then we show how the pivot operation on a (hyper)graph can be written as a transform on the bipolar vector of the function associated to it. We construct a family of Boolean functions that have a large number of flat spectra w.r.t.  $\{I, H\}^n$ , and compute this number. We study

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the pivot orbit trajectory of structures that include a clique and develop lower bounds on the number of flat spectra of a graph w.r.t.  $\{I, H\}^n$  and  $\{I, H, N\}^n$ .

Let  $H = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & -1 \\ 1 & -1 \end{pmatrix}$  be the Walsh-Hadamard kernel,  $N = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & -i \\ 1 & -i \end{pmatrix}$ , where  $i^2 = -1$ , be the Negahadamard kernel, and  $I$  the  $2 \times 2$  identity matrix. The boolean function  $p$  is defined to have a *flat spectra* with respect to an arbitrary unitary matrix  $U$  iff  $s = U(-1)^p$  satisfies  $|s_i| = |s_j|, \forall i \neq j$ . Define  $\{I, H, N\}^n$  as the set of unitary transforms comprising all  $2^n \times 2^n$  transform matrices,  $U$ , of the form  $U = \bigotimes_{j \in \mathbf{R}_I} I_j \bigotimes_{j \in \mathbf{R}_H} H_j \bigotimes_{j \in \mathbf{R}_N} N_j$ , where  $\mathbf{R}_I, \mathbf{R}_H$  and  $\mathbf{R}_N$  partition the set of vertices. In this paper we consider mainly the (sub)set  $\{I, H\}^n$  of the transforms  $U \in \{I, H, N\}^n$  where  $\mathbf{R}_N = \emptyset$ .

## 2 Pivot

**Definition 1** [2, 6, 7] *The action of local complementation (LC) (or vertex-neighbour-complement (VNC)) on a graph  $G$  at vertex  $v$  is defined as the graph transformation obtained by replacing the subgraph  $G[\mathcal{N}(v)]$  (i.e., the induced subgraph of the neighbourhood of the  $v^{\text{th}}$  vertex of  $G$ ) by its complement.*

**Definition 2** [1] *The action of pivot on a graph,  $G$ , at two connected vertices,  $u$  and  $v$ , (i.e. where  $G$  contains the edge  $uv$ ), is given by  $LC(v)LC(u)LC(v)$  - that is the action of  $LC$  at vertex  $v$ , then vertex  $u$ , then vertex  $v$  again.*

**Lemma 1** *Let  $p$  be a quadratic Boolean function. If we write  $p = x_i x_j + x_i \mathcal{N}_i + x_j \mathcal{N}_j + R$ , where  $\mathcal{N}_i, \mathcal{N}_j$ , and  $R$  are not functions of  $x_i$  or  $x_j$ . Then, after pivoting its associated graph on the edge  $ij$ ,  $p$  becomes (equivalent<sup>1</sup> to)*

$$p_{iji} = x_i x_j + x_i \mathcal{N}_j + x_j \mathcal{N}_i + \mathcal{N}_i \mathcal{N}_j + R = p + (x_i + x_j)(\mathcal{N}_i + \mathcal{N}_j) + \mathcal{N}_i \mathcal{N}_j .$$

**Definition 3** *Let  $p = x_i x_j + q(x_0, \dots, x_{n-1})$  be a function of any degree ( $\geq 2$ ) in the variables  $\{x_0, \dots, x_{n-1}\}$  such that  $x_i x_j$  is not a multiplying term in  $q$  (that is, such that  $\frac{\partial^2}{\partial x_i \partial x_j} q = 1$ ). Then define the pivot operation in the associated hypergraph on the edge  $ij$  by its ANF as  $p_{iji} = x_i x_j + x_i \mathcal{N}_j + x_j \mathcal{N}_i + \mathcal{N}_i \mathcal{N}_j + R = p + (x_i + x_j)(\mathcal{N}_i + \mathcal{N}_j) + \mathcal{N}_i \mathcal{N}_j$ , where  $p = x_i x_j + x_i \mathcal{N}_i + x_j \mathcal{N}_j + R$  as before.*

**Remarks:** Note that now there is no restriction in the degree of  $\mathcal{N}_i, \mathcal{N}_j$ , and also that due to the condition on  $p$  (and equivalently to it)  $\mathcal{N}_i$  and  $\mathcal{N}_j$  are independent of both  $x_i$  and  $x_j$  and so the formula is well-defined, while if we don't have this condition the definition is ambiguous. When  $p$  is quadratic

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<sup>1</sup>By 'equivalent' we understand here that the graph associated to  $p_{iji}$  is the same as the graph obtained from the associated graph of  $p$  by pivoting on the edge  $ij$ .

and the vertices  $i$  and  $j$  are connected, the condition is always fulfilled and the definition is consistent.

**Lemma 2** *Let  $G$  be a bipartite (hyper)graph (i.e., associated to a function of the type  $X \cdot g(Y)$ , with  $g(Y)$  a Boolean function of any degree). Then, after pivoting on any edge of  $G$ , the resultant (hyper)graph is bipartite.*

**Theorem 1** *Let  $p$  be a function that fulfills the condition of definition 3. Then, the pivot of its associated (hyper)graph lies in the orbit of  $\{I, H\}^n$ . Concretely, if we call  $p_{ij}$  the function result of pivoting on the edge  $ij$  of the (hyper)graph associated with  $p$ , then  $(-1)^{p_{ij}} = \left( \bigotimes_{k \neq i, j} I_k \otimes H_i \otimes H_j \right) (-1)^p$ .*

**Corollary 1** *Let  $p$  be a Boolean function of any degree such that it satisfies the conditions of definition 3. Then,  $p$  has a flat spectrum with respect to the transform  $U = \bigotimes_{k \neq i, j} I_k \otimes H_i \otimes H_j$ .*

### 3 Enumeration of pivot orbits

We enumerate the number of orbits of connected graphs of  $n$  vertices, which are inequivalent with respect to pivot, both for the unlabelled and labelled case, as shown in Table 1. It follows from Definition 2 that each LC orbit is partitioned into a set of pivot orbits so that, given a list of all LC orbits over  $n$  vertices, we can generate and enumerate all pivot orbits over  $n$  vertices. For the unlabelled case we make use of the classification of self-dual quantum codes, which is isomorphic to the classification of LC graph orbits, as described in [4, 5] and available at [3]. This classification used *nauty* [8] to deal efficiently with graph isomorphism. The subsequent enumeration of pivot orbits of unlabelled connected graphs is shown in Table 1 up to  $n = 11$ . We have also classified and enumerated all pivot orbits for labelled connected graphs as shown in Table 1. A list of pivot orbit representatives for both labelled and unlabelled connected graphs is available at <http://www.ii.uib.no/~matthew/pivotorbits/files.html>.

Each  $(k, n - k)$ -bipartite graph simultaneously represents systematic forms of the generator matrix for both a binary  $[n, k, d]$  linear code,  $C$ , and its dual  $[n, n - k, d]$  code,  $C^\perp$ . Moreover, indicator vectors for both  $C$  and  $C^\perp$  can be obtained from  $(-1)^p$  via transforms from the set of  $\{I, H\}^n$  transforms [9]. The action of pivot on a bipartite graph generates, in general, new bipartite graphs (Lemma 2) which can be interpreted as alternative systematic generator matrices for  $C$  and  $C^\perp$ . It follows that  $C$  and  $C^\perp$  are invariant under pivot of the associated bipartite graph. It is therefore of interest to enumerate the

number of pivot orbits of bipartite graphs. Table 1 enumerates all pivot orbits of unlabelled and labelled connected bipartite graphs, and a list of bipartite pivot orbit representatives for unlabelled and labelled connected graphs is available at <http://www.iu.uib.no/~matthew/bipivotorbits/files.html><sup>2</sup>.

$n$	1	2	3	4	5	6	7	8	9	10	11	12	13
$i_n$	1	1	2	4	10	35	134	777	6702	104825	3370317		
$j_n$	1	1	2	11	119	2303	80923						
$k_n$	1	1	1	2	3	8	15	43	110	370	1260	5366	25684
$l_n$	1	1	1	4	26	251	3412						

Table 1: Number of pivot-inequivalent labelled/unlabelled connected graphs,  $i_n$ : unlabelled,  $j_n$ : labelled,  $k_n$ : unlabelled-bipartite,  $l_n$ : labelled-bipartite

## 4 Construction and bounds

We now design a family of Boolean functions in  $n$  variables of degree less or equal to  $\max\{t, 2\}$ , where  $0 \leq t \leq n - 1$ , and that have a large number of flat spectra w.r.t.  $\{I, H\}^n$ .

- $f^{n,t} = \sum_{i=0}^{t-1} \sum_{j=t}^{n-1} x_i x_j + \sum_{i=t}^{n-2} \sum_{j=i+1}^{n-1} x_i x_j + a(x_0, x_1, \dots, x_{n-1})$ , where  $\deg(a) \leq 1$ .
- Family  $\mathcal{F}^{n,t}$ :  $\mathcal{F}^{n,t} = \{f^{n,t} + h(x_0, x_1, \dots, x_{t-1})\}$ , where  $h$  is an arbitrary boolean function in  $t$  variables.

**Conjecture 1** Let  $f \in \mathcal{F}^{n,t}$ . Then, the pivot orbit of  $f$  occurs within  $\bigcup_{k=0}^{n-1} \mathcal{F}^{n,k}$ .

**Theorem 2** Let  $f \in \mathcal{F}^{n,t}$ . Then the number of flat spectra of  $f$  w.r.t.  $\{I, H\}^n$  is at least  $(t+1)2^{n-t-1}$ , where the bound is tight if  $f$  has degree  $t$ .

**Remark:** If  $f$  has degree  $t$  then all the  $(t+1)2^{n-t-1}$  flat spectra correspond to restrictions of  $f$  down to residual quadratic functions.

**Lemma 3** Let  $f \in \mathcal{F}^{n,t}$ . Then the number of flat spectra of  $f$  w.r.t.  $\{I, H, N\}^n$  is at least  $(n+1)(t+1)2^{n-t-1}$ .

<sup>2</sup>Let  $d_n$  be the number of binary linear codes isomorphic to their dual. Let  $c_n$  be the number of inequivalent binary linear codes. Then it appears that  $c_n = 2k_n - d_n$ , although it remains to prove this rigorously.

## 5 Number of flat spectra w.r.t. $\{I, H\}^n$

The *clique* in  $n$  variables (or *complete graph*) is defined as  $\sum_{0 \leq i < j \leq n-1} x_i x_j$  .

**Lemma 4** [11] *The clique has  $2^{n-1}$  flat spectra w.r.t.  $\{I, H\}^n$ , and thus maximises the number of flat spectra w.r.t.  $\{I, H\}^n$ .*

We study here the behaviour of a graph that contains a clique. We consider 3 cases, depending on the positions of the vertices  $A$  and  $B$ , where we pivot on the edge  $AB$ . Let  $C_r$  be the clique in  $r$  variables contained in the graph. We denote by  $\mathcal{N}_A$  and  $\mathcal{N}_B$  the neighbourhoods of  $A$  and  $B$  respectively, and by  $\mathcal{N}_{AB}$  the intersection of the neighbourhoods.

- $A, B \in C_r$ : The clique remains invariant.
- $A \in C_r, B \notin C_r$ : Let  $m$  be the number of variables of  $C_r$  that are in  $\mathcal{N}_{AB}$ . Then  $C_r$  splits and we get the cliques  $C_{r-m}, C_{m+2}$ , connected just by  $B$ . Moreover  $A \notin C_{r-m}, B \in C_{r-m}$  and  $A, B \in C_{m+2}$ .
- $A, B \notin C_r$ : In this case,  $C_r$  remains invariant, independently of whether  $A$  or  $B$  are connected to it or not.

We give lower bounds on the number of flat spectra w.r.t.  $\{I, H\}^n$  and  $\{I, H, N\}^n$  depending on internal structures:

**Lemma 5** *Consider a graph  $G$  and two unconnected subgraphs  $G_1$  and  $G_2$ . The number of flat spectra of  $G$  w.r.t.  $\{I, H\}^n$ ,  $K_{IH}$ , has as lower bound:  $K_{IH}(G) \geq K_{IH}(G_1) \cdot K_{IH}(G_2)$*

**Corollary 1** *If we decompose the graph in unconnected subgraphs  $G_1, \dots, G_t$ , then  $K_{IH}(G) \geq \prod_{i=1}^t K_{IH}(G_i)$ . For instance, if we decompose the graph in unconnected cliques  $C_{r_1}, \dots, C_{r_t}$ , then  $K_{IH}(G) \geq \prod_{i=1}^t 2^{n_i-1}$  .*

**Lemma 6** *This is also true for the number of flat spectra w.r.t.  $\{I, H, N\}^n$ : If we decompose the graph in unconnected subgraphs  $G_1, \dots, G_t$ , then we have that  $K_{IHN}(G) \geq \prod_{i=1}^t K_{IHN}(G_i)$  .*

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