

# (2,4) TREES

- Search Trees (but not binary)
- also known as 2-4, 2-3-4 trees

That's a very nice hat.

That's not a hat!  
That's my head!  
I'm *Tree* Head!

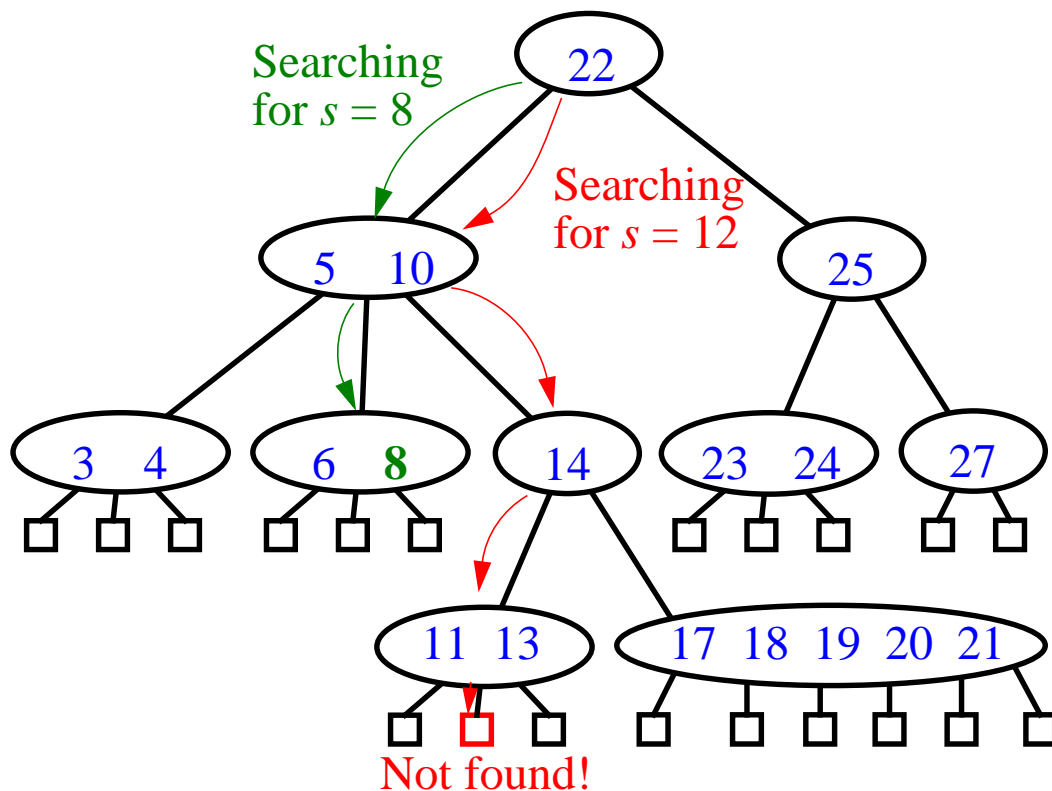


# Multi-way Search Trees

- Each internal node of a multi-way search tree  $T$ :
  - has at least two children
  - stores a collection of items of the form  $(k, x)$ , where  $k$  is a key and  $x$  is an element
  - contains  $d - 1$  items, where  $d$  is the number of children
  - “contains” 2 pseudo-items:  $k_0 = -\infty, k_d = \infty$
- Children of each internal node are “between” items
  - all keys in the subtree rooted at the child fall between keys of those items
- External nodes are just placeholders

# Multi-way Searching

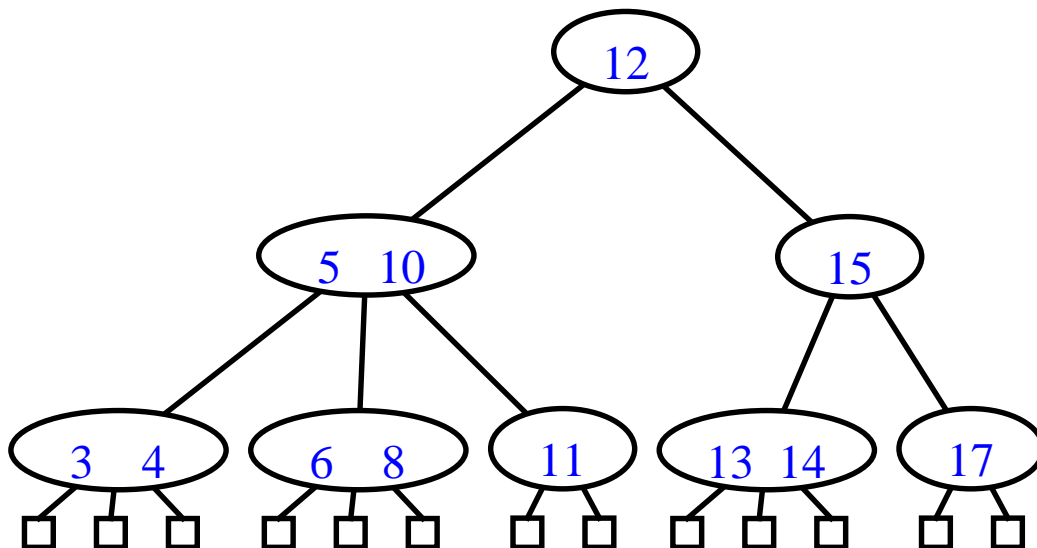
- Similar to binary searching
- If search key  $s < k_1$ , search the leftmost child
- If  $s > k_{d-1}$ , search the rightmost child
- That's it in a binary tree; what about if  $d > 2$ ?
- Find two keys  $k_{i-1}$  and  $k_i$  between which  $s$  falls, and search the child  $v_i$ .



- What would an in-order traversal look like?

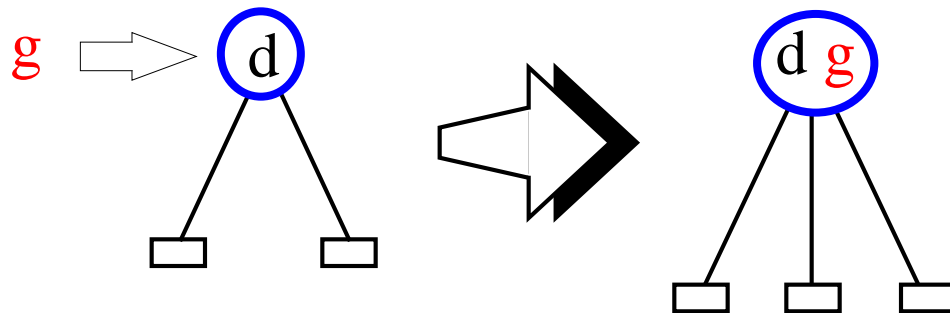
# (2,4) Trees

- At most 4 children
- All external nodes have same depth
- Height  $h$  of (2,4) tree is  $O(\log n)$ .
- How is this fact useful in searching?

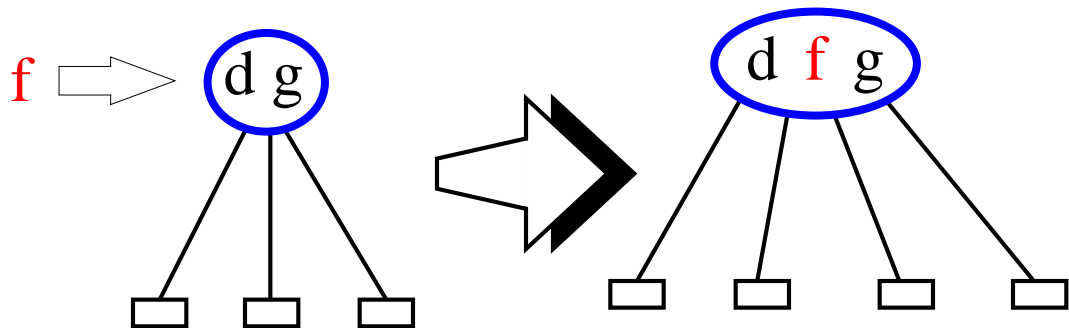


# Insertion into (2,4) Trees

- Insert the **new key** at the **lowest internal node reached** in the search
  - **2-node** becomes **3-node**



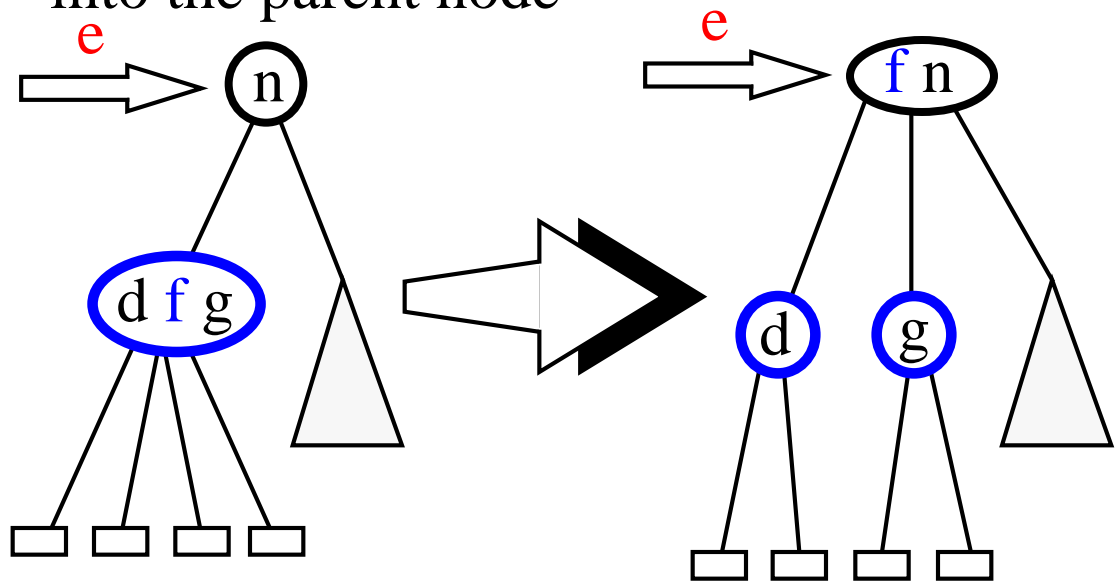
- **3-node** becomes **4-node**



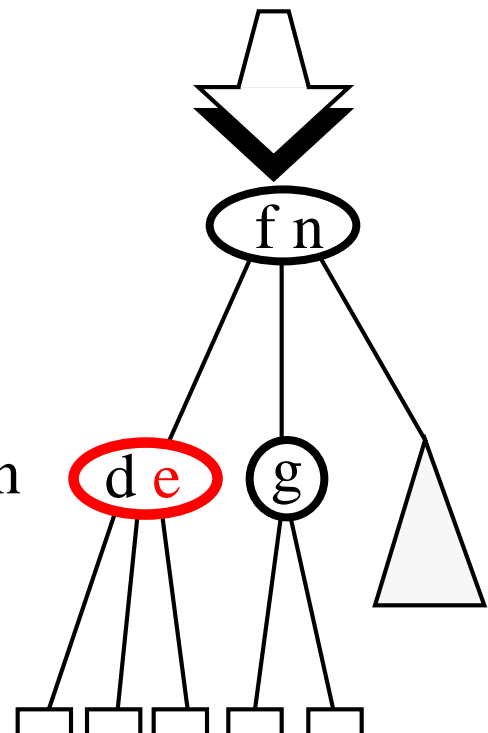
- What about a **4-node**?
  - **We can't insert another key!**

# Top Down Insertion

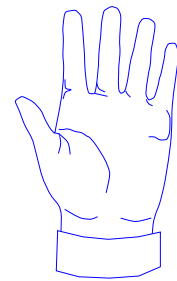
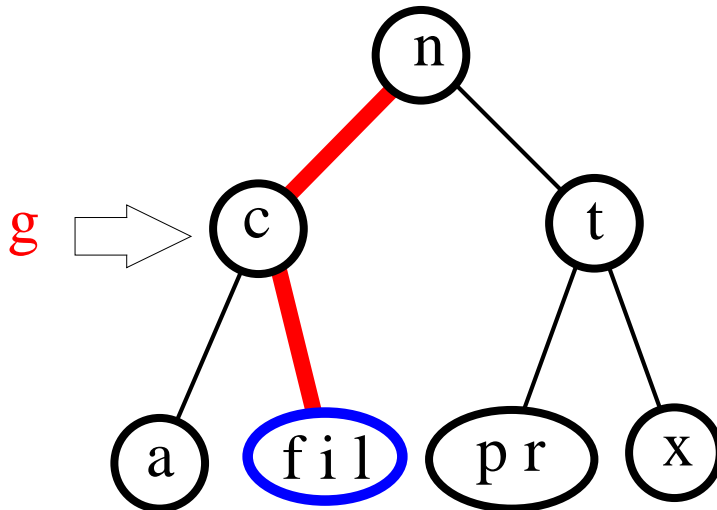
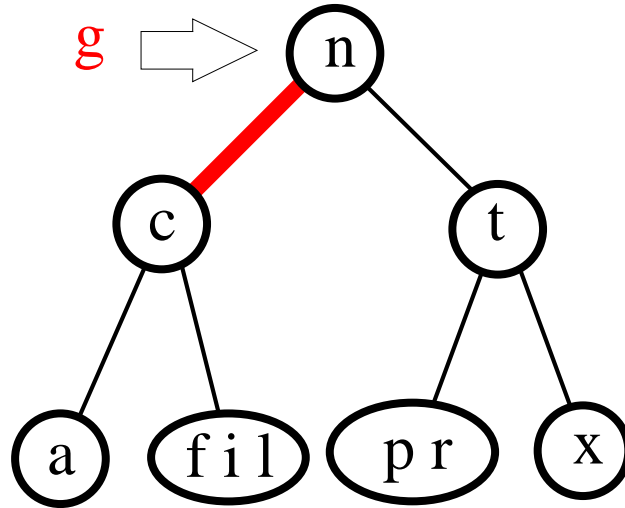
- In our way down the tree, whenever we reach a **4-node**, we **break it up** into two **2-nodes**, and move the middle element up into the parent node



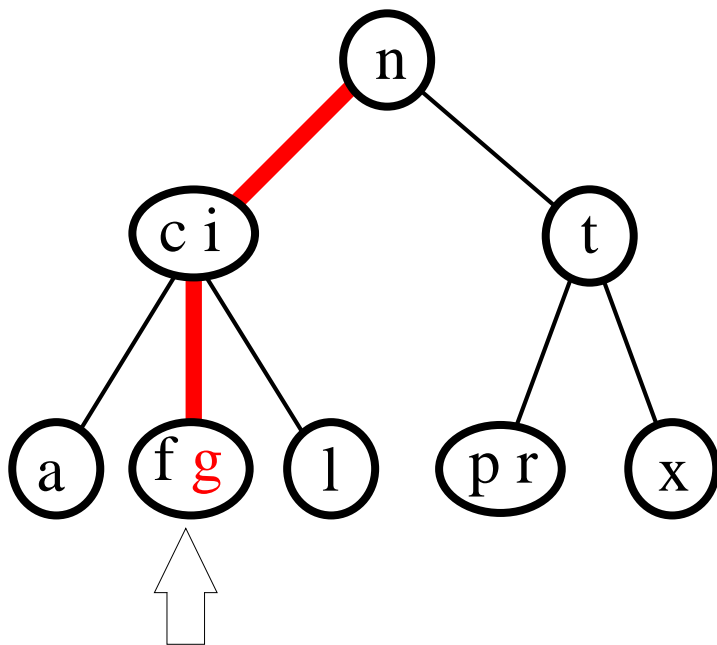
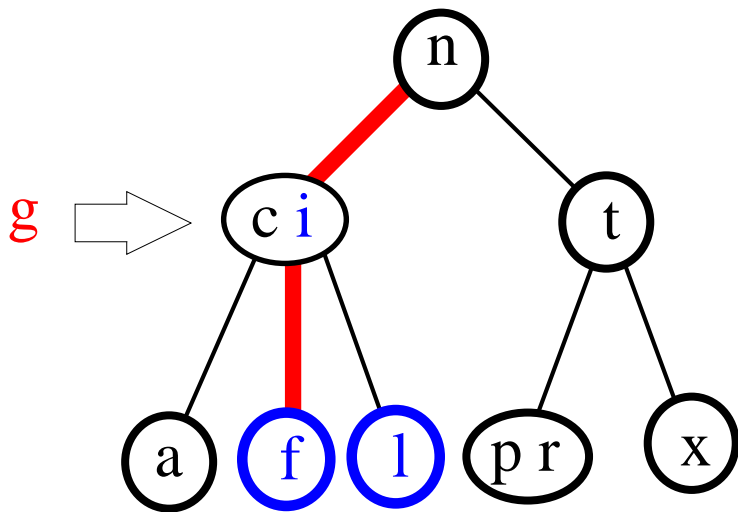
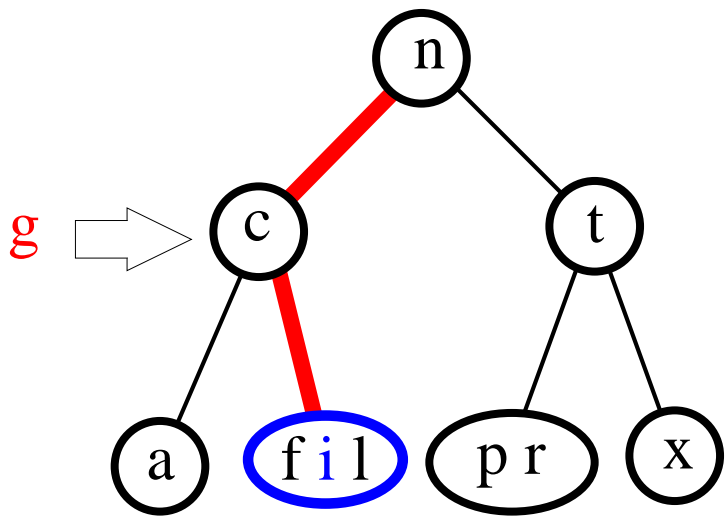
- Now we can perform the insertion using one of the previous two cases
- Since we follow this method from the root down to the leaf, it is called **top down insertion**



# An Example



Whoa, cowboy





# Time Complexity of Insertion in (2,4) Trees

## Time complexity:

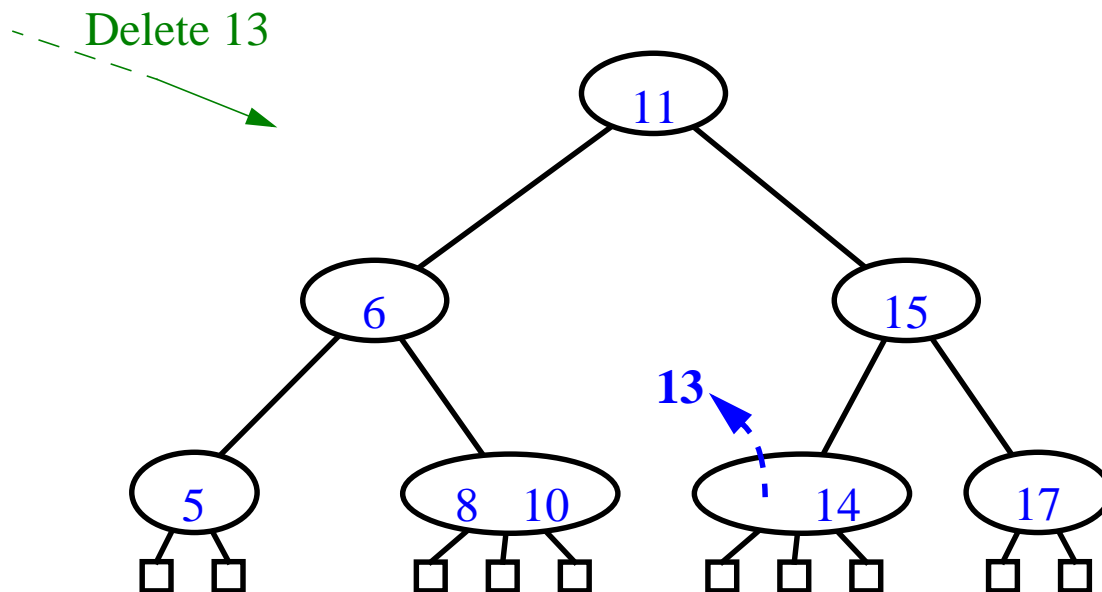
- A search visits  $O(\log N)$  nodes
- An insertion requires  $O(\log N)$  node splits
- Each node split takes constant time
- Hence, operations *Search* and Insert each take time  $O(\log N)$

## Notes:

- Instead of doing splits top-down, we can perform them bottom-up starting at the insertion node, and only when needed. This is called *bottom-up insertion*.
- A deletion can be performed by *fusing* nodes (inverse of splitting), and takes  $O(\log N)$  time. **Let's take a look!**

# (2,4) Deletion

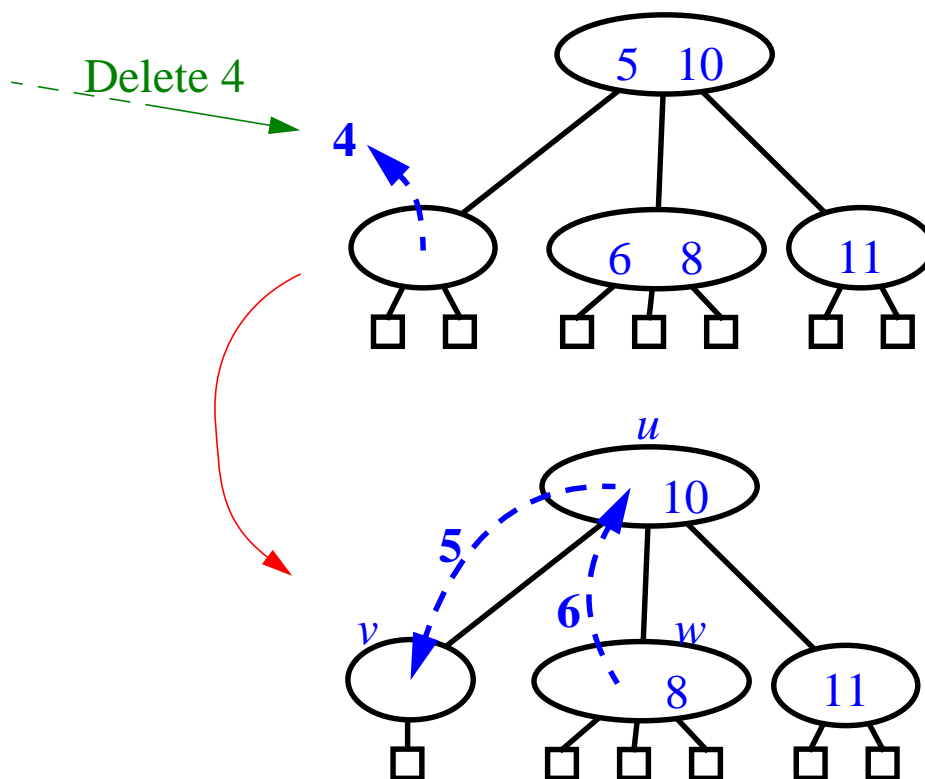
- A little trickier
- First of all, find the key
  - simple multi-way search
- If the item to delete has non-external children
  - reduce to the case where deletable item is at the bottom of the tree:
  - Find item which precedes it in in-order traversal
  - Swap them
- Remove the item



- Easy, right?
- ...but what about removing from 2-nodes?

## (2,4) Deletion (cont.)

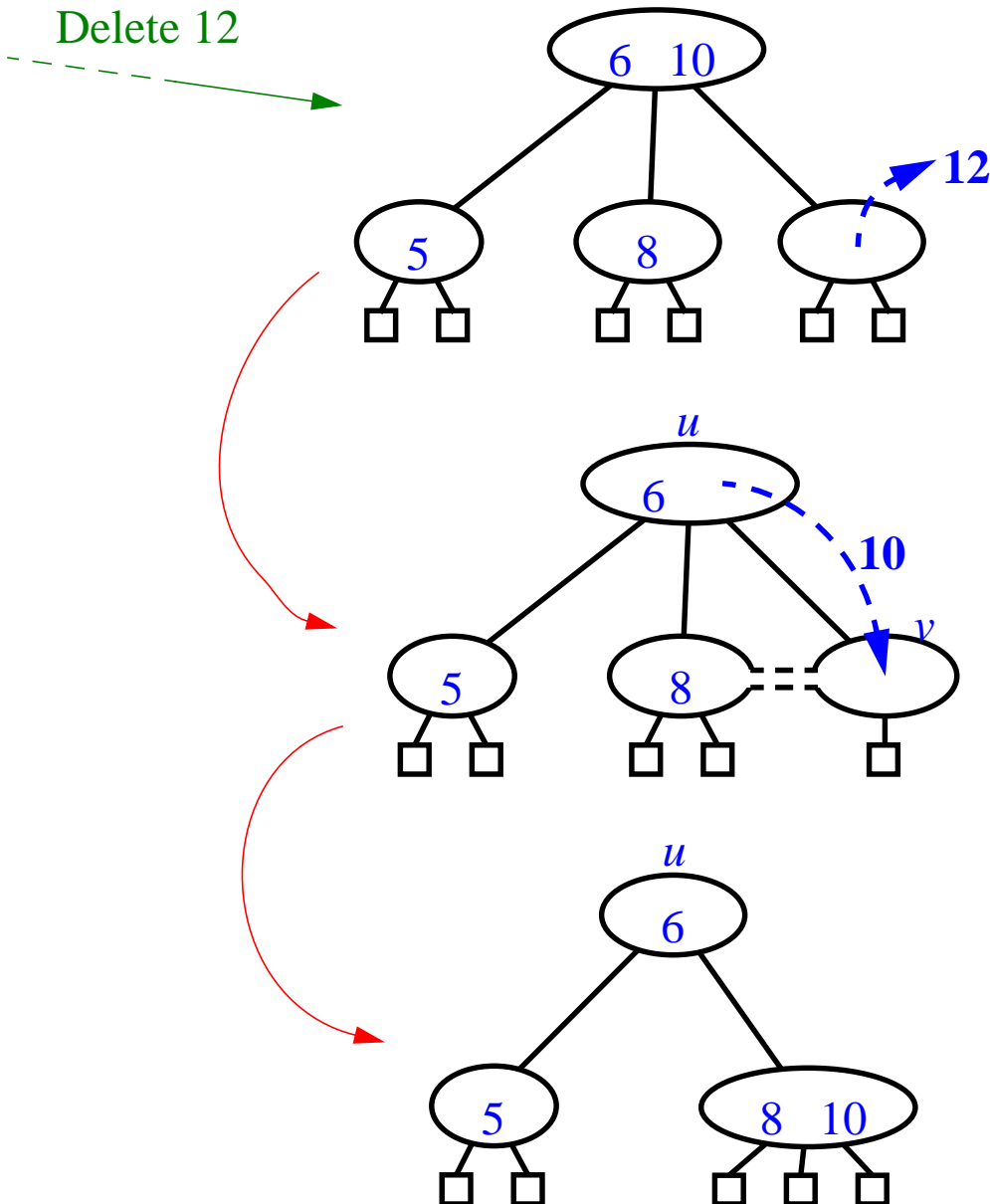
- Not enough items in the node
  - *underflow*
- Pull an item from the parent, replace it with an item from a sibling
  - called *transfer*



- Still not good enough! What happens if siblings are 2-nodes?
- Could we just pull one item from the parent?
  - too many children
- But maybe...

## (2,4) Deletion (cont.)

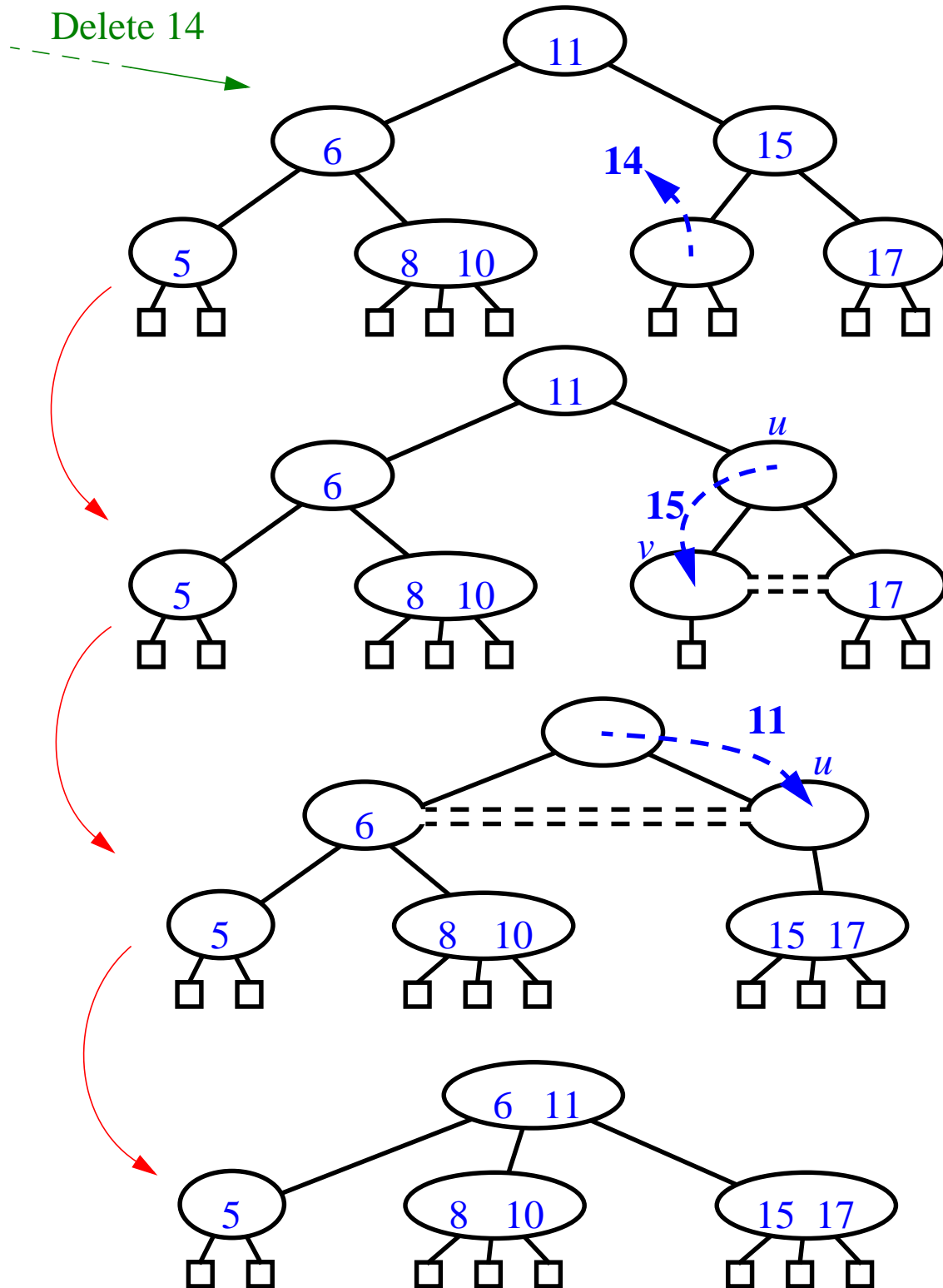
- We know that the node's sibling is just a 2-node
- So we *fuse* them into one
  - after stealing an item from the parent, of course



- Last special case, I promise: what if the parent was a 2-node?

# (2,4) Deletion (cont.)

- Underflow can cascade up the tree, too.



## (2,4) Conclusion

- The height of a (2,4) tree is  $O(\log n)$ .
- Split, transfer, and fusion each take  $O(1)$ .
- Search, insertion and deletion each take  $O(\log n)$ .
- Why are we doing this?
  - (2,4) trees are fun! Why else would we do it?
  - Well, there's another reason, too.
  - They're pretty fundamental to the idea of Red-Black trees as well.
  - And you're covering Red-Black trees on Monday.
  - Perhaps more importantly, your next project is a Red-Black tree.
- **Have a nice weekend!**