## Upper bounds on the size of Kakeya sets in finite vector spaces

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$$
\text { WCC } 2013
$$


a semi-circle of radius 1

a semi-circle of radius 1
a circle of diameter 1

## The classical Kakeya problem


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In the real plane $\mathbb{R}^{2}$, consider a point set which contains a unit segment in every direction.

How small can the area of such a point set be in $\mathbb{R}^{2}$ ?
[Kakeya, 1917]

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$\rightarrow$ Kakeya set
How small can the area of such a point set be in $\mathbb{R}^{2}$ ?
[Kakeya, 1917]

$\frac{\pi}{2}$
a semi-circle of radius 1

$\frac{\pi}{4}$
a circle of diameter 1

## The classical Kakeya problem


$\frac{\pi}{8}$
a deltoid inscribed in a circle of diameter $\frac{3}{2}$

- There exist Kakeya sets in $\mathbb{R}^{2}$ of arbitrarily small area.
[Besicovitch, 1928]


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How small can a subset $K$ of $\mathbb{F}_{q}^{n}$ be, given that it contains a line in every direction?

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- The Kakeya problem in $\mathbb{F}_{q}^{n}$

How small can a subset $K$ of $\mathbb{F}_{q}^{n}$ be, given that it contains a line in every direction?
$\Downarrow$
For every $\mathbf{x} \in \mathbb{F}_{q}^{n}$, there exists $\mathbf{y} \in \mathbb{F}_{q}^{n}$ such that $\left\{\mathbf{y}+t \mathbf{x}: t \in \mathbb{F}_{q}\right\} \subseteq K$.

## Examples:

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Conjecture
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"This conjecture has had a significant influence in the subject, in particular inspiring work on the sum-product phenomenon in finite fields, which has since proven to have many applications in number theory and computer science."

- Terence Tao, 24 March, 2008


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$|K| \geq\left(1 / 2^{n}\right) \cdot q^{n} \quad$ [Dvir, Kopparty, Saraf \& Sudan, 2009]

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|K| \leq 2 \cdot\left(1 / 2^{n}\right) \cdot q^{n}+O\left(q^{n-1}\right)
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## The upper bound

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## The upper bound: $q$ fixed, $n$ grows

[Kopparty, Lev, Saraf \& Sudan, 2011]

There exists a Kakeya set $K \subset \mathbb{F}_{q}^{n}$ bounded by

| $q$ odd | $C_{q} \cdot\left(\frac{q+1}{2}\right)^{n}$ |
| :---: | :---: |
| $q=2^{m}$ <br> $m$ even | $C_{q} \cdot\left(\frac{2 q+1}{3}\right)^{n}$ |
| $q=2^{m}$ <br> $m$ odd | $\frac{3}{2} \cdot\left(\frac{2(q+\sqrt{q}+1)}{3}\right)^{n}$ |

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There exists a Kakeya set $K \subset \mathbb{F}_{q}^{n}$ bounded by known

Our bounds

| $q$ odd | $C_{q} \cdot\left(\frac{q+1}{2}\right)^{n}$ |  |
| :---: | :---: | :---: |
| $q=2^{m}$ <br> $m$ even | $C_{q} \cdot\left(\frac{2 q+1}{3}\right)^{n}$ | $C_{q} \cdot\left(\frac{q+\sqrt{q}}{2}\right)^{n}$ |
| $q=2^{m}$ <br> $m$ odd | $\frac{3}{2} \cdot\left(\frac{2(q+\sqrt{q}+1)}{3}\right)^{n}$ | $C_{q} \cdot\left(\frac{5 q+2 \sqrt{q}+5}{8}\right)^{n}$ |

## Proof idea

[Saraf \& Sudan, 2008]
For a function $f: \mathbb{F}_{q} \rightarrow \mathbb{F}_{q}$, define

$$
I_{f}(t):=\left\{f(x)+t x: x \in \mathbb{F}_{q}\right\}, t \in \mathbb{F}_{q}
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I_{f}(t):=\left\{f(x)+t x: x \in \mathbb{F}_{q}\right\}, t \in \mathbb{F}_{q}
$$

Construct
$K:=\left\{\left(x_{1}, \ldots, x_{j}, t, 0, \ldots, 0\right): 0 \leq j \leq n-1, t \in \mathbb{F}_{q}, x_{1}, \ldots, x_{j} \in I_{f}(t)\right\}$
If $f(x) \neq a x$, then $K$ is a Kakeya set of cardinality

$$
|K|=\sum_{j=0}^{n-1} \sum_{t \in \mathbb{F}_{q}}\left|I_{f}(t)\right|^{j}=\sum_{t \in \mathbb{F}_{q}} \frac{\left|I_{f}(t)\right|^{n}-1}{\left|I_{f}(t)\right|-1}
$$

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$$
\Downarrow
$$

$|K|<C_{q} \cdot\left(\max _{t \in \mathbb{F}_{q}}\left|I_{f}(t)\right|\right)^{n}$

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Goal: Find a function $f: \mathbb{F}_{q} \rightarrow \mathbb{F}_{q}$, s.t.
$\max _{t \in \mathbb{F}_{q}}\left|I_{f}(t)\right|$ as small as possible $t \in \mathbb{F}_{q}$

## Choose such a function $f$

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- To estimate $\left|I_{f}(t)\right|=\left|\left\{f(x)+t x: x \in \mathbb{F}_{q}\right\}\right|$.


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- To estimate $\left|I_{f}(t)\right|=\left|\left\{f(x)+t x: x \in \mathbb{F}_{q}\right\}\right|$.

■ For all $f$, there always exists a $t \in \mathbb{F}_{q}$, s.t.

$$
\left|I_{f}(t)\right|>q / 2
$$

[Kopparty, Lev, Saraf \& Sudan, 2011]

## Previous choices

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|K|<C_{q} \cdot\left(\max _{t \in \mathbb{F}_{q}}\left|I_{f}(t)\right|\right)^{n}
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[Kopparty, Lev, Saraf \& Sudan, 2011]
■ q odd, $f(x)=x^{2} \Rightarrow\left|I_{f}(t)\right|=\frac{q+1}{2}$ for each $t \in \mathbb{F}_{q}$

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- $q$ even power of $2, f(x)=x^{3}$

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\Rightarrow|K| \leq C_{q} \cdot\left(\frac{2 q+1}{3}\right)^{n}
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\Rightarrow|K| \leq \frac{3}{2} \cdot\left(\frac{2(q+\sqrt{q}+1)}{3}\right)^{n}
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- $q$ odd, $f(x)=x^{2} \Rightarrow\left|I_{f}(t)\right|=\frac{q+1}{2}$ for each $t \in \mathbb{F}_{q}$ cannot do better!
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NEW bound I: $q=2^{m}, m$ even

Choose $f(x)=x^{2^{i}+1} \quad 0 \leq i \leq m-1$

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- We explicitly determine $\left|I_{f}(t)\right|$ for each $t \in \mathbb{F}_{q}$

Using the results in [A. Bluher, On $x^{q+1}+a x+b$, FFTA, 2004].

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- Choose $f(x)=x^{2^{m / 2}+1}$

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\left|I_{f}(t)\right| \leq \frac{q+\sqrt{q}}{2}
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& \text { recall: }|K|<C_{q} \cdot\left(\frac{2 q+1}{3}\right)^{n}
\end{aligned}
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[Kopparty, Lev, Saraf \& Sudan, 2011]

NEW bound II: $q=2^{m}, m$ odd

Choose $f(x)=x^{4}+x^{3}$

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\left|I_{f}(t)\right| \leq \frac{5 q+2 \sqrt{q}+5}{8}
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\left|I_{f}(t)\right| \leq \frac{5 q+2 \sqrt{q}+5}{8} \Rightarrow|K|<C_{q} \cdot\left(\frac{5 q+2 \sqrt{q}+5}{8}\right)^{n}
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\begin{array}{r}
\left|I_{f}(t)\right| \leq \frac{5 q+2 \sqrt{q}+5}{8} \Rightarrow|K|<C_{q} \cdot\left(\frac{5 q+2 \sqrt{q}+5}{8}\right)^{n} \\
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- Remark
$f(x)$ is of the form Gold APN power $+x^{2^{i}}$.


## Conclusions and Problems

- For $q=2^{m}$, we gave the BEST known upper bounds for Kakeya sets in $\mathbb{F}_{q}^{n}$.


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- For $m$ odd, up to $m=13$, we made the BEST choice among functions of the form APN power $+x^{2^{i}}$.
- For $m$ odd, is there a function $f: \mathbb{F}_{q} \rightarrow \mathbb{F}_{q}$, s.t.

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\max _{t \in \mathbb{F}_{q}}\left|\left\{f(x)+t x: x \in \mathbb{F}_{q}\right\}\right|<(1 / 2+o(1)) q ?
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## Conclusions and Problems

- For $q=2^{m}$, we gave the BEST known upper bounds for Kakeya sets in $\mathbb{F}_{q}^{n}$.
- For $m$ odd, up to $m=13$, we made the BEST choice among functions of the form APN power $+x^{2^{i}}$.
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\text { known: }(5 / 8+o(1)) q
\end{array}
$$

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