

# On cutwidth parameterized by vertex cover

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**Abstract.** We study the CUTWIDTH problem, where input is a graph  $G$ , and the objective is find a linear layout of the vertices that minimizes the maximum number of edges intersected by any vertical line inserted between two consecutive vertices of the layout. We give an algorithm for CUTWIDTH with running time  $O(2^k n^{O(1)})$ . Here  $k$  is the size of a minimum vertex cover of the input graph  $G$ , and  $n$  is the number of vertices in  $G$ . Our algorithm gives a  $O(2^{n/2} n^{O(1)})$  time algorithm for CUTWIDTH on bipartite graphs as a corollary. This is the first non-trivial exact exponential time algorithm for CUTWIDTH on a graph class where the problem remains NP-complete. Additionally we show that CUTWIDTH parameterized by the size of the minimum vertex cover of the input graph does not admit a polynomial kernel unless  $CoNP \subseteq NP/poly$ . Our kernelization lower bound contrasts the recent result of Bodlaender et al. [ICALP 2011] that TREewidth parameterized by vertex cover does admits a polynomial kernel.

## 1 Introduction

In the CUTWIDTH problem we are given an  $n$ -vertex graph  $G$  together with an integer  $w$ . The task is to determine whether there exists a linear layout of the vertices of  $G$  such that any vertical line inserted between two consecutive vertices of the layout intersects with at most  $w$  edges. The *cutwidth* ( $cw(G)$ ) of  $G$  is the smallest  $w$  for which such a layout exists. The problem has numerous applications [8, 19, 20, 25], ranging from circuit design [1, 23] to protein engineering [4]. Unfortunately CUTWIDTH is NP-complete [14], and remains so even when the input graph is restricted to subcubic planar bipartite graphs [24, 10] or split graphs [16] where all independent set vertices have degree 2. On the other hand the problem has a factor  $O(\log^2(n))$ -approximation on general graphs [22] and is polynomial time solvable on trees [28, 9], graphs of constant treewidth and constant degree [27], threshold graphs [16], proper interval graphs [29] and bipartite permutation graphs [15].

In this article we study the complexity of computing cutwidth exactly on general graphs, where the running time is measured in terms of the size of the

smallest vertex cover of the input graph  $G$ . A *vertex cover* of  $G$  is a vertex set  $S$  such that every edge of  $G$  has at least one endpoint in  $S$ . We show that CUTWIDTH can be solved in time  $2^k n^{O(1)}$  where  $k$  is the size of the smallest vertex cover of  $G$ . An immediate consequence of our algorithm is that CUTWIDTH can be solved in time  $2^{n/2} n^{O(1)}$  on bipartite graphs. This is the first non-trivial exact exponential time algorithm for CUTWIDTH on a graph class where the problem is NP-complete. Furthermore, our algorithm improves considerably over the previous best algorithm for CUTWIDTH parameterized by vertex cover [11], whose running time is  $O(2^{2^{O(k)}} n^{O(1)})$  (however, it was not the focus of [11] to optimize the running time dependence on  $k$ ).

Additionally, we show that CUTWIDTH parameterized by vertex cover does not admit a polynomial kernel unless  $CoNP \subseteq NP/poly$ . A *polynomial kernel* for CUTWIDTH parameterized by vertex cover is a polynomial time algorithm that takes as input a CUTWIDTH instance  $(G, w)$ , where  $G$  has a vertex cover of size at most  $k$  and outputs an equivalent instance  $(G', w')$  of CUTWIDTH such that  $G'$  has at most  $k^{O(1)}$  vertices. We show that unless  $CoNP \subseteq NP/poly$  such a kernelization algorithm can not exist. This contrasts a recent result of Bodlaender et al. [6] that TREewidth parameterized by the vertex cover number of the input graph does admit a polynomial size kernel.

*Context of our work.* The CUTWIDTH problem is one of many *graph layout* problems, where the task is to find a permutation of vertices of the input graph that optimizes a problem specific objective function. Graph layout problems, such as TREewidth, BANDWIDTH and HAMILTONIAN PATH are not amenable to “branching” techniques, and hence the design of faster exact exponential time algorithms for these problems has resulted in several new and useful tools. For example, Karp’s *inclusion-exclusion* based algorithm [21] for HAMILTONIAN PATH was the first application of inclusion-exclusion in exact algorithms. Another example is the introduction of *potential maximal cliques* as a tool for the computation of treewidth. Most graph layout problems (with the exception of BANDWIDTH) admit a  $O(2^n n^{O(1)})$  time dynamic programming algorithm [2, 17]. For several of these problems, faster algorithms with running time below  $O(2^n)$  have been found [3, 12, 26], a stellar example is the recent algorithm by Björklund [3] for HAMILTONIAN PATH. The CUTWIDTH problem is perhaps the best known graph layout problem for which a  $O(2^n n^{O(1)})$  time algorithm is known, yet no better algorithm has been found. Hence, whether such an improved algorithm exists is a tantalizing open problem. While we do not resolve this problem in this article, we make considerable progress; hard instances of CUTWIDTH can not contain any independent set of size  $cn$  for any  $c > 0$ .

The study of kernelization for problems parameterized by vertex cover has recently received considerable attention [5, 6, 18]. The existence of a  $2^{k^{O(1)}} n^{O(1)}$  time algorithm is a necessary, but not sufficient condition for CUTWIDTH parameterized by vertex cover to have a polynomial kernel. Hence our  $2^k n^{O(1)}$  time algorithm makes it natural to ask whether such a kernel exists. In particular, the recent result of Bodlaender et al. [6], that TREewidth parameterized by vertex

cover admits a polynomial kernel suggests that CUTWIDTH might have one as well. We show that this is not the case, unless  $CoNP \subseteq NP/poly$ .

*Organization of the paper* . In Section 2 we present a dynamic programming algorithm which computes cutwidth in time  $O(2^k n^{O(1)})$  for a given vertex cover of size  $k$ , whereas in Section 3 we show that CUTWIDTH parameterized by vertex cover does not admit a polynomial kernel unless  $CoNP \subseteq NP/poly$ .

*Notation* All graphs in this paper are undirected and simple. For a vertex  $v \in V$  we define its neighbourhood  $N_G(v) = \{u : uv \in E(G)\}$  and closed neighbourhood  $N_G[v] = N_G(v) \cup \{v\}$ . If  $G$  is clear from the context, we might omit the subscript. For  $X \subseteq V$  we denote  $N_G[X] = \bigcup_{v \in X} N_G(v) \setminus X$ .

## 2 Faster Cutwidth Parameterized by Vertex Cover

In this section we show that given a graph  $G = (C \cup I, E)$  such that  $C$  is a vertex cover of  $G$  of size  $k$ , we can compute the cutwidth of  $G$  in time  $O^*(2^k)$ , using a dynamic programming approach. We start by showing that there always exists an optimal ordering of a specific form.

For an ordering  $\sigma = v_1 \dots v_n$  of  $V = C \cup I$  we define  $V_i = \{v_j : j \leq i\}$ . For vertices  $u$  and  $v \in V$  we say that  $u \leq_\sigma v$  if  $u$  occurs before  $v$  in  $\sigma$ . Denote by  $\delta(V_i)$  the number of edges between  $V_i$  and  $V \setminus V_i$ . The cutwidth of the ordering,  $cw_\sigma(G)$ , is defined as the maximum of  $\delta(V_i)$  for  $i = 1, 2, \dots, |V| - 1$ . The *rank* of a vertex  $v_i$  with respect to  $\sigma$  is denoted by  $rank_\sigma(v_i)$  and it is equal to  $|N(v_i) \setminus V_i| - |N(v_i) \cap V_i|$ . Notice that  $\delta(V_{i+1}) = \delta(V_i) + rank_\sigma(v_{i+1})$  and hence  $\delta(V_i) = \sum_{j \leq i} rank_\sigma(v_j)$ . Moving a vertex  $v_p$  *backward* to position  $q$  with  $q < p$  results in the ordering

$$\sigma' = v_1 v_2 \dots v_{q-2} v_{q-1} \mathbf{v_p} v_q v_{q+1} \dots v_{p-2} v_{p-1} v_{p+1} v_{p+2} \dots v_n.$$

Moving  $v_p$  *forward* to a position  $q$  with  $q > p$  results in the ordering

$$\sigma' = v_1 v_2 \dots v_{p-2} v_{p-1} v_{p+1} v_{p+2} \dots v_{q-2} v_{q-1} \mathbf{v_p} v_q v_{q+1} \dots v_n.$$

Notice that any vertex with odd degree must have (nonzero) odd rank.

**Lemma 1.** *If moving  $v_p$  backward to position  $q$  results in an ordering  $\sigma'$  such that  $rank_{\sigma'}(v_p) \leq 0$  then  $cw_{\sigma'}(G) \leq cw_\sigma(G)$ . If moving  $v_p$  forward to position  $q$  results in an ordering  $\sigma'$  such that  $rank_{\sigma'}(v_p) \geq 0$  then  $cw_{\sigma'}(G) \leq cw_\sigma(G)$ .*

*Proof.* Suppose moving  $v_p$  backward to position  $q$  results in an ordering  $\sigma'$  such that  $rank_{\sigma'}(v_p) \leq 0$ . For every non-negative integer  $i$  define  $V'_i$  to contain the first  $i$  vertices of  $\sigma'$ . Then, for every  $i < q$  and  $i \geq p$  we have  $V'_i = V_i$  and hence  $\delta(V'_i) = \delta(V_i)$ . For every  $i$  such that  $q \leq i < p$  we have that  $V'_i = V_{i-1} \cup \{v_p\}$ . Observe that for any other vertex  $v_j$ ,  $j \neq p$ ,  $rank_{\sigma'}(v_j) \leq rank_\sigma(v_j)$ , while  $rank_{\sigma'}(v_p) \leq 0$ . Thus  $\delta(V'_i) = rank_{\sigma'}(v_p) + \sum_{j \leq i-1} rank_{\sigma'}(v_j) \leq \delta(V_{i-1})$  and  $cw_{\sigma'}(G) \leq cw_\sigma(G)$ . The proof that if moving  $v_p$  forward to position  $q$  results in an ordering  $\sigma'$  such that  $rank_{\sigma'}(v_p) \geq 0$  then  $cw_{\sigma'}(G) \leq cw_\sigma(G)$  is identical.  $\square$

Lemma 1 allows us to rearrange optimal orderings. Let  $\sigma$  be an optimal cutwidth ordering of  $G$ ,  $c_1 c_2 \dots c_k$  be the ordering which  $\sigma$  imposes on  $C$  and  $C_i = \{c_1, \dots, c_i\}$  for every  $i$ . Observe that if  $u$  and  $v$  are both in  $I$  then moving  $u$  does not affect the rank of  $v$ . In particular if moving  $u$  yields the ordering  $\sigma'$  then  $\text{rank}_{\sigma'}(v) = \text{rank}_{\sigma}(v)$ . For every vertex  $u \in I$  with odd degree and  $\text{rank}_{\sigma}(u) < 0$  we move  $u$  backward to the leftmost position where  $u$  has rank  $-1$ . For every vertex  $u \in I$  with odd degree and  $\text{rank}_{\sigma}(u) > 0$  we move  $u$  forward to the rightmost position where  $u$  has rank 1. For every vertex of the set  $I$  with even degree we move it (forward or backward) to the rightmost position where  $u$  has rank 0. This results in an optimal cutwidth ordering  $\sigma'$  with the following properties.

1. For every vertex  $v \in I$  of even degree  $\text{rank}_{\sigma'}(v) = 0$  and every vertex  $v \in I$  of odd degree  $\text{rank}_{\sigma'}(v) \in \{-1, 1\}$ .
2. For every vertex  $v \in I$  such that  $\text{rank}_{\sigma'}(v) \geq 0$  and  $c_i \in C$  we have  $c_i \leq_{\sigma'} v$  if and only if  $|N(v) \cap C_i| \leq |N(v) \setminus C_i|$ .
3. For every vertex  $v \in I$  such that  $\text{rank}_{\sigma'}(v) < 0$  and  $c_i \in C$  we have  $c_i \leq_{\sigma'} v$  if and only if  $|N(v) \cap C_{i-1}| < |N(v) \setminus C_{i-1}|$ .

Define  $I'_0$  and  $I'_k$  to be the set of vertices in  $I$  appearing before  $c_1$  and after  $c_k$  in  $\sigma'$  respectively. For  $i$  between 1 and  $k - 1$  we let  $I'_i$  be the set of vertices in  $I$  appearing between  $c_i$  and  $c_{i+1}$  in  $\sigma'$ . For any  $i$ , if  $I'_i$  contains any vertices of rank  $-1$ , we move them backward to the position right after  $c_i$ . This results in an ordering  $\sigma''$  where for every  $i$ , all the vertices of  $I'_i$  with negative rank appear before all the vertices of  $I'_i$  with non-negative rank. By Lemma 1 and the fact that moving a vertex from independent set does not affect the rank of another vertex from the independent set we have that  $\sigma''$  is still an optimal cutwidth ordering. Also,  $\sigma''$  satisfies the properties 1 – 3. We say that an ordering  $\sigma$  is *C-good* if it satisfies properties 1 – 3 and orders the vertices between vertices of  $C$  in such a way that all vertices of negative rank appear before all vertices of non-negative rank. The construction of  $\sigma''$  from an optimal ordering  $\sigma$  proves the following lemma.

**Lemma 2.** *Let  $G = (C \cup I, E)$  be a graph and  $C$  be a vertex cover of  $G$ . There exists an optimal cutwidth ordering  $\sigma$  of  $G$  which is C-good.*

In a *C-good* ordering  $\sigma$ , consider a position  $i$  such that  $c_i \in C$ . Because of the properties of a *C-good* ordering we can essentially deduce  $V_i \cap I$  from  $V_i \cap C$  and the vertex  $c_i$ . We will now formalize this idea. For a set  $S \subseteq C$  and vertex  $v \in S$  we define the set  $X(S, v) \subseteq I$  as follows. A vertex  $u \in I$  of even degree is in  $X(S, v)$  if  $|N(u) \cap S| > |N(u) \setminus S|$ . A vertex  $u \in I$  of odd degree is in  $X(S, v)$  if  $|N(u) \cap (S \setminus \{v\})| > |N(u) \setminus (S \setminus \{v\})|$ . Now we define the set  $Y(S, v)$ . A vertex  $u \in I$  is in  $Y(S, v)$  if  $uv \in E$  and  $|(N(u) \setminus \{v\}) \cap S| = |(N(u) \setminus \{v\}) \setminus S|$ . The following observation follows directly from the properties of a *C-good* ordering.

**Observation 3** *In a C-good ordering  $\sigma$  let  $i$  be an integer such that  $c_i \in C$  and let  $S = V_i \cap C$ . Then  $X(S, c_i) \subseteq V_i \cap I \subseteq X(S, c_i) \cup Y(S, c_i)$ .*

A *prefix ordering*  $\phi$  is a set  $V_\phi \subseteq C \cup I$  together with an ordering of  $V_\phi$ . The *size* of the prefix ordering  $\phi$  is just  $|V_\phi|$ . Similarly to normal orderings we define  $V_i^\phi = \{v_1 \dots v_i\}$ . Let  $c_1 c_2 \dots c_{|V_\phi \cap C|}$  be the ordering imposed on  $V_\phi \cap C$  by  $\phi$ , and for every  $i \leq |V_\phi \cap C|$  we set  $C_i^\phi = \{c_1, \dots, c_i\}$ . The *rank* of a vertex  $v \in V_\phi$  with respect to  $\phi$  is defined as  $\text{rank}_\phi(v) = |N(v) \setminus V_i^\phi| - |N(v) \cap V_i^\phi|$ . We now extend the notion of  $C$ -good from orderings of  $G$  to prefix orderings of  $G$  in such a way that the restriction of any  $C$ -good ordering  $\sigma$  of  $G$  to the first  $t$  vertices where  $v_t \in C$  must be  $C$ -good. We say that a prefix ordering  $\phi = v_1 \dots v_t$  of size  $t$  with  $v_t \in C$  is  *$C$ -good* if the following conditions are satisfied.

1. For every vertex  $v \in V_\phi \cap I$  of even degree,  $\text{rank}_\phi(v) = 0$  and every vertex  $v \in V_\phi \cap I$  of odd degree,  $\text{rank}_\phi(v) \in \{-1, 1\}$ .
2.  $X(V_\phi \cap C, v_i) \subseteq V_\phi \cap I \subseteq X(V_\phi \cap C, v_i) \cup Y(V_\phi \cap C, v_i)$
3. For every vertex  $v \in X(V_\phi \cap C, c_i)$  such that  $\text{rank}_\phi(v) \geq 0$  and  $c_i \in V_\phi \cap C$  we have  $c_i \leq_\phi v$  if and only if  $|N(v) \cap C_i^\phi| \leq |N(v) \setminus C_i^\phi|$ .
4. For every vertex  $v \in X(V_\phi \cap C, c_i)$  such that  $\text{rank}_\phi(v) < 0$  and  $c_i \in V_\phi \cap C$  we have  $c_i \leq_\phi v$  if and only if  $|N(v) \cap C_{i-1}^\phi| < |N(v) \setminus C_{i-1}^\phi|$ .
5. Between two vertices  $c_i, c_{i+1} \in C \cap V_\phi$ , all vertices with  $\text{rank}_\phi(v) < 0$  come before all vertices with  $\text{rank}_\phi(v) \geq 0$ .

Comparing the properties of  $C$ -good orderings and  $C$ -good prefix orderings it is easy to see that the following lemma holds.

**Lemma 4.** *Let  $\sigma = v_1 \dots v_n$  be a  $C$ -good ordering and let  $\phi$  be the restriction of  $\sigma$  to the first  $t$  vertices, such that  $v_t \in C$ . Then  $\phi$  is a  $C$ -good prefix ordering.*

For a prefix ordering  $\phi$  define the cutwidth of  $G$  with respect to  $\phi$  to be  $cw_\phi(G) = \max_{i \leq |V_\phi|} \delta(V_i^\phi)$ . For a subset  $S$  of  $C$  and vertex  $v \in S$ , define  $T(S, v)$  to be the minimum value of  $cw_\phi(G)$  where the minimum is taken over all  $C$ -good prefix orderings  $\phi$  with  $V_\phi \cap C = S$  and  $v$  being the last vertex of  $\phi$ . Notice that property 5 of  $C$ -good prefix orderings implies that in a  $C$ -good prefix ordering  $\phi$  there must be some  $i$  with  $v_i \in C \cap V_\phi$  such that  $cw_\phi(G) = \delta(V_i)$  or  $cw_\phi(G) = \delta(V_{i-1})$ . Also, notice that for any set  $S \subseteq C$  and vertices  $u, v \in S$  we have that  $X(S \setminus \{v\}, u) \subseteq X(S, v)$  and  $Y(S \setminus \{v\}, u) \subseteq X(S, v)$ . Finally, observe that for any set  $S \subseteq C$  and vertex  $v \in S$ , every vertex  $u \in Y(S, v)$  is adjacent to  $v$  and satisfies  $|(N(u) \setminus \{v\}) \cap S| = |(N(u) \setminus \{v\}) \setminus S|$ . Thus, for any set  $I' \subseteq I$  with  $X(S, v) \subseteq I' \subseteq X(S, v) \cup Y(S, v)$  the value of  $\delta(S \cup I')$  depends only on  $|Y(S, v) \cap I'|$  and not on  $Y(S, v) \cap I'$  in general. We let  $Y_i(S, v)$  be an arbitrary subset of  $Y(S, v)$  of size  $i$ . The discussion above yields that the following recurrence holds for  $T(S, v)$ , where  $S \subseteq C$  and  $v \in S$ .

$$T(S, v) = \min_{u \in S} \min_{0 \leq i \leq |Y(S, v)|} \max \left\{ \begin{array}{l} \delta(S \cup X(S, v) \cup Y_i(S, v)) \\ \delta((S \setminus \{v\}) \cup X(S, v) \cup Y_i(S, v)) \\ T(S \setminus \{v\}, u) \end{array} \right\}.$$

Observe that  $cw(G) = \min_{v \in C} T(S, v)$  because in any ordering  $\sigma$  all vertices of  $I$  appearing after the last vertex of  $C$  must have negative rank. Thus the recurrence above naturally leads to a dynamic programming algorithm for CUTWIDTH running in time  $O^*(2^k)$ . This proves the main theorem of this section.

**Theorem 5.** *There is an algorithm that given a graph  $G = (C \cup I, E)$  such that  $C$  is a vertex cover of  $G$ , computes the cutwidth of  $G$  in time  $O^*(2^{|C|})$ . Thus, MINIMUM CUTWIDTH on bipartite graphs can be solved in time  $O^*(2^{n/2})$ .*

### 3 Kernelization Lower Bound

In this section we show that CUTWIDTH parameterized by vertex cover does not admit a polynomial kernel unless  $\text{NP} \subseteq \text{coNP/poly}$ .

#### 3.1 The auxiliary problem

We begin with introducing an auxiliary problem, namely HYPERGRAPH MINIMUM BISECTION. Let  $H = (V, E)$  be a multihypergraph with  $|V| = n$ , where  $n$  is even. A *bisection* of  $V$  is a colouring  $\mathcal{B} : V \rightarrow \{0, 1\}$  such that  $|\mathcal{B}^{-1}(0)| = |\mathcal{B}^{-1}(1)| = n/2$ . For a hyperedge  $e$  let us define the cost of  $e$  with respect to a bisection  $\mathcal{B}$  as  $\text{cost}(e, \mathcal{B}) = \min(|e \cap \mathcal{B}^{-1}(0)|, |e \cap \mathcal{B}^{-1}(1)|)$ . The cost of a bisection is defined as the sum of the costs of the hyperedges, i.e.,  $\text{cost}(\mathcal{B}) = \sum_{e \in E} \text{cost}(e, \mathcal{B})$ .

<b>HYPERGRAPH MINIMUM BISECTION</b>	<b>Parameter:</b> $n$
<b>Input:</b> Multihypergraph $H$ with $n$ vertices, where $n$ is even; an integer $k$	
<b>Question:</b> Does there exist a bisection of $H$ with cost at most $k$ ?	

In the case when all the hyperedges are in fact edges (have cardinalities 2) and there are no multiedges, the problem is equivalent to the classical MINIMUM BISECTION problem. As MINIMUM BISECTION is NP-hard, HYPERGRAPH MINIMUM BISECTION is also NP-hard, so NP-complete as well.

The goal now is to prove that CUTWIDTH parameterized by the size of vertex cover does not admit a polynomial kernel, unless  $\text{NP} \subseteq \text{coNP/poly}$ . We do it in two steps. First, using OR-distillation technique of Fortnow and Santhanam [13] we prove that HYPERGRAPH MINIMUM BISECTION does not admit a polynomial kernel, unless  $\text{NP} \subseteq \text{coNP/poly}$ . Second, we present a parameterized reduction of HYPERGRAPH MINIMUM BISECTION to CUTWIDTH parameterized by vertex cover.

#### 3.2 No polykernel for HYPERGRAPH MINIMUM BISECTION

We use the OR-distillation technique by Fortnow and Santhanam [13], put into framework called *cross-composition* by Bodlaender et al. [5]. Let us recall the crucial definitions.

**Definition 6 (Polynomial equivalence relation [5]).** *An equivalence relation  $\mathcal{R}$  on  $\Sigma^*$  is called a polynomial equivalence relation if (1) there is an algorithm that given two strings  $x, y \in \Sigma^*$  decides whether  $\mathcal{R}(x, y)$  in  $(|x| + |y|)^{O(1)}$  time; (2) for any finite set  $S \subseteq \Sigma^*$  the equivalence relation  $\mathcal{R}$  partitions the elements of  $S$  into at most  $(\max_{x \in S} |x|)^{O(1)}$  classes.*

**Definition 7 (Cross-composition [5]).** Let  $L \subseteq \Sigma^*$  and let  $Q \subseteq \Sigma^* \times \mathbb{N}$  be a parameterized problem. We say that  $L$  cross-composes into  $Q$  if there is a polynomial equivalence relation  $\mathcal{R}$  and an algorithm which, given  $t$  strings  $x_1, x_2, \dots, x_t$  belonging to the same equivalence class of  $\mathcal{R}$ , computes an instance  $(x^*, k^*) \in \Sigma^* \times \mathbb{N}$  in time polynomial in  $\sum_{i=1}^t |x_i|$  such that (1)  $(x^*, k^*) \in Q$  iff  $x_i \in L$  for some  $1 \leq i \leq t$ ; (2)  $k^*$  is bounded polynomially in  $\max_{i=1}^t |x_i| + \log t$ .

**Theorem 8 ([5], Theorem 9).** If  $L \subseteq \Sigma^*$  is NP-hard under Karp reductions and  $L$  cross-composes into the parameterized problem  $Q$  that has a polynomial kernel, then  $NP \subseteq coNP/poly$ .

**Lemma 9.** HYPERGRAPH MINIMUM BISECTION does not admit a polynomial kernel, unless  $NP \subseteq coNP/poly$ .

*Proof.* As MINIMUM BISECTION is NP-hard under Karp reductions, it suffices to prove that it cross-composes to HYPERGRAPH MINIMUM BISECTION problem. Let  $R$  be an equivalence relation on  $\Sigma^*$  defined as follows:

- all words that do not correspond to instances of MINIMUM BISECTION form one equivalence class;
- all the well-formed instances are partitioned into equivalence classes having the same number of vertices, the same number of edges and the same expected size of the cost of bisection.

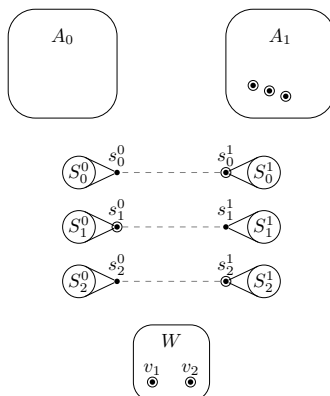
It is straightforward, that  $R$  is a polynomial equivalence relation. Therefore, we can assume that the composition algorithm is given a sequence of instances  $(G_0, k), (G_1, k), \dots, (G_{t-1}, k)$  of MINIMUM BISECTION with  $|V(G_i)| = n$  and  $|E(G_i)| = m$  for all  $i = 0, 1, \dots, t-1$  ( $n$  is even). Moreover, by copying some instances if necessary we can assume without losing generality that  $t = 2^l$  for some integer  $l$ . Note that in this manner we do not increase the order of  $\log t$ .

We now proceed to the construction of the composed HYPERGRAPH MINIMUM BISECTION instance  $(H, K)$ . Let  $N = 2m \cdot ((l+2)2^{l-1} - 1) + 2k + 1$  and  $M = N(l^2 - l) + N$ . We begin with creating two sets of vertices  $A_0$  and  $A_1$ , each of size  $2nl$ . We introduce each set  $A_0, A_1$  as a hyperedge of the constructed hypergraph  $M$  times.

Then, we introduce  $2l$  vertices  $s_i^0, s_i^1$  for  $i = 0, 1, \dots, l-1$  and denote the set of all these vertices by  $S$ . For every  $i \neq j$  we put  $N$  times each hyperedge  $\{s_i^0, s_j^0\}, \{s_i^0, s_j^1\}, \{s_i^1, s_j^0\}, \{s_i^1, s_j^1\}$ . Thus, the hypergraph induced by  $S$  is a clique without a matching, repeated  $N$  times. Furthermore, for every  $p = 0, 1$  and  $i = 0, 1, \dots, l-1$  we construct a set  $S_i^p$  of  $n-1$  vertices and put  $S_i^p \cup \{s_i^p\}$  as a hyperedge of the constructed hypergraph  $M$  times.

Now, we construct a set of  $n$  vertices  $v_1, v_2, \dots, v_n$  and denote it by  $W$ . For every instance  $G_a$  we arbitrarily choose an ordering of its vertices  $v_1^a, v_2^a, \dots, v_n^a$ . Let  $b_{l-1}b_{l-2} \dots b_1b_0$  be the binary representation of  $a$ , with trailing zeroes added so that its length is equal to  $l$ . For every edge  $e = v_g^a v_h^a \in E(G_a)$  we create two hyperedges:

- $e^0$ , consisting of vertices  $v_g, v_h, s_i^{b_i}$  for all  $i = 0, 1, \dots, l-1$  and  $l$  vertices from  $A_1$ , chosen arbitrarily;



**Fig. 1.** The constructed hypergraph  $H$  for  $l = 3$ ; encircled vertices indicate the hyperedge  $e^0$  constructed for  $e = v_1^5 v_2^5 \in E(G_5)$ .

- $e^1$ , consisting of vertices  $v_g, v_h, s_i^{1-b_i}$  for all  $i = 0, 1, \dots, l-1$  and  $l$  vertices from  $A_0$ , chosen arbitrarily.

Finally, we set the expected cost of the bisection to  $K = M - 1 = N(l^2 - l) + 2m \cdot ((l+2)2^{l-1} - 1) + 2k$ .

Now, assume that some graph  $G_a$  has a bisection  $\mathcal{B}$  having cost at most  $k$ . Let  $b_{l-1}b_{l-2} \dots b_1b_0$  be the binary representation of  $a$ , as in the previous paragraph. We now construct a bisection  $\mathcal{B}'$  of  $H$  as follows:

- for each  $u \in A_0$  we set  $\mathcal{B}'(u) = 0$ , for each  $u \in A_1$  we set  $\mathcal{B}'(u) = 1$ ;
- for each  $u \in S_i^p \cup \{s_i^p\}$  for  $p = 0, 1, i = 0, 1, \dots, l-1$  we set  $\mathcal{B}'(u) = p + b_i \pmod{2}$ ;
- for each  $v_j \in W$  we set  $\mathcal{B}'(v_j) = \mathcal{B}(v_j^a)$ .

Observe that  $\mathcal{B}'$  bisects each of the sets  $A_0 \cup A_1, S$  and  $W$ , so it is a bisection. We now prove that its cost is at most  $K$ . Let us count the contribution to the cost from every hyperedge of  $H$ .

Each copy of hyperedges  $A_0, A_1$  and  $S_i^p \cup \{s_i^p\}$  for  $p = 0, 1, i = 0, 1, \dots, l-1$  has zero contribution, as it is monochromatic. The edges of  $H[S]$  have contribution 0 or 1, depending whether the endpoints are coloured in the same or in a different way in  $\mathcal{B}'$ . There are  $l$  vertices  $s_i^p$  that map to 0 in  $\mathcal{B}'$  and  $l$  that map to 1, so there are  $l^2$  pairs of vertices coloured in a different way. Between every pair of vertices there are  $N$  edges, apart from pairs  $(s_i^0, s_i^1)$ . Note that all these pairs are coloured differently, therefore there are exactly  $N(l^2 - l)$  edges in  $H[S]$  contributing 1 to the cost.

Take  $c \in \{0, 1, \dots, t-1\}$  such that  $c \neq a$ . Let  $d_{l-1}d_{l-2} \dots d_0$  be the binary representation of  $c$ . For  $e \in E(G_c)$  let us count the contribution to  $\text{cost}(\mathcal{B}')$  of hyperedges  $e^0$  and  $e^1$ . Suppose that  $q = |\{i : b_i \neq d_i\}| > 0$ . Among vertices of  $e^0, l$  from  $A_1$  are coloured 1,  $q$  from  $S$  are coloured 1 as well and  $l - q$  from  $S$

are coloured 0. In total, we have  $l + q$  vertices coloured 1 and  $l - q$  coloured 0, so regardless of the colouring of the remaining two vertices from  $W$ , the contribution is equal to the number of vertices coloured 0 in  $e^0$ , namely  $l - q + |e^0 \cap W \cap \mathcal{B}'^{-1}(0)|$ . Analogously, the contribution of the hyperedge  $e^1$  is equal to the number of vertices of  $e^1$  coloured 1, namely  $l - q + |e^1 \cap W \cap \mathcal{B}'^{-1}(1)|$ . As there are exactly two vertices in  $e^0 \cap W = e^1 \cap W$ ,  $\text{cost}(e^0, \mathcal{B}') + \text{cost}(e^1, \mathcal{B}') = 2(l - q) + 2$ . Thus, the total contribution of hyperedges  $e^0, e^1$  for  $e \in E(G_c)$  is equal to  $2m(l - q) + 2m$ .

Now we count the contribution of edges  $e^0$  and  $e^1$  for  $e \in E(G_a)$ . Analogously as in the previous paragraph, both edges  $e^0, e^1$  contain  $l$  vertices coloured 0,  $l$  vertices coloured 1 plus two vertices from  $W$ . If both these vertices are coloured in the same way, the sum of contributions of  $e^0$  and  $e^1$  is equal to  $2l$ ; however, if the vertices are coloured differently, the sum is equal to  $2l + 2$ . As the cost of bisection  $\mathcal{B}$  was at most  $k$ , the total contribution of edges  $e^0, e^1$  for  $e \in E(G_a)$  is at most  $2ml + 2k$ .

Finally, we sum up the contributions:

$$\begin{aligned} \text{cost}(\mathcal{B}') &\leq N(l^2 - l) + 2m \sum_{q=1}^l (l - q + 1) \binom{l}{q} + 2ml + 2k \\ &= N(l^2 - l) + 2m \cdot (2^l - 1) + 2m \sum_{q=0}^l (l - q) \binom{l}{q} + 2k \\ &= N(l^2 - l) + 2m \cdot (2^l - 1) + 2ml2^{l-1} + 2k \\ &= N(l^2 - l) + N - 1 = K. \end{aligned}$$

We proceed to the second direction. Assume that we have a bisection  $\mathcal{B}'$  of  $H$  such that  $\text{cost}(\mathcal{B}') \leq K$ . Observe that as  $M > K$ , both the sets  $A_0, A_1$  are monochromatic with respect to  $\mathcal{B}'$ . Moreover, they have to be coloured differently, as they contain more than half of the vertices of the graph in total. Without losing generality we can assume that  $A_0$  is coloured in colour 0, while  $A_1$  is coloured in colour 1, by flipping the colours if necessary.

Now consider the set  $S_p^i \cup \{s_p^i\}$  for  $p = 0, 1, i = 0, 1, \dots, l - 1$ . Analogously as in the previous paragraph,  $S_p^i \cup \{s_p^i\}$  has to be monochromatic. Furthermore, observe that exactly  $l$  such sets have to be coloured 0 in  $\mathcal{B}'$  and the same number have to be coloured 1, as every set  $S_p^i \cup \{s_p^i\}$  contains the same number of vertices as the set  $W$  and  $\mathcal{B}'$  is a bisection. Therefore,  $\mathcal{B}'$  has to bisect each of the sets  $A_0 \cup A_1, S$  and  $W$ .

Exactly  $l$  vertices  $s_i^p$  are coloured 0 in  $\mathcal{B}'$  and exactly  $l$  are coloured 1. Let  $r$  be the number of indices  $i$ , such that  $s_i^0$  and  $s_i^1$  are coloured differently. Observe that analogously as previously, the contribution of the edges of  $H[S]$  to  $\text{cost}(\mathcal{B}')$  is equal to  $N(l^2 - r) = N(l^2 - l) + N(l - r)$ . If  $r < l$ , then  $\text{cost}(\mathcal{B}') \geq N(l^2 - l) + N > K$ , a contradiction. Therefore, all the pairs  $(s_i^0, s_i^1)$  are coloured differently.

Let  $a$  be a number with binary representation  $\mathcal{B}'(s_{l-1}^0)\mathcal{B}'(s_{l-2}^1)\dots\mathcal{B}'(s_0^1)$ . Consider a bisection  $\mathcal{B}$  of  $G_a$  defined as follows:  $\mathcal{B}(v_i^a) = \mathcal{B}'(v_i)$ . We claim that the cost of  $\mathcal{B}$  is at most  $k$ . Indeed, the same computations as in the previous

part of the proof show that

$$\text{cost}(\mathcal{B}') = N(l^2 - l) + 2m((l + 2)2^{l-1} - 1) + 2\text{cost}(\mathcal{B})$$

Therefore, as  $\text{cost}(\mathcal{B}') \leq K$ , then  $\text{cost}(\mathcal{B}) \leq k$ .

### 3.3 From HYPERGRAPH MINIMUM BISECTION to CUTWIDTH

Let us briefly recall the notion of polynomial parameter transformations.

**Definition 10** ([7]). *Let  $P$  and  $Q$  be parameterized problems. We say that  $P$  is polynomial parameter reducible to  $Q$ , written  $P \leq_p Q$ , if there exists a polynomial time computable function  $f : \Sigma^* \times \mathbb{N} \rightarrow \Sigma^* \times \mathbb{N}$  and a polynomial  $p$ , such that for all  $(x, k) \in \Sigma^* \times \mathbb{N}$  the following holds:  $(x, k) \in P$  iff  $(x', k') = f(x, k) \in Q$  and  $k' \leq p(k)$ . The function  $f$  is called a polynomial parameter transformation.*

**Theorem 11** ([7]). *Let  $P$  and  $Q$  be parameterized problems and  $\tilde{P}$  and  $\tilde{Q}$  be the unparameterized versions of  $P$  and  $Q$  respectively. Suppose that  $\tilde{P}$  is NP-hard and  $\tilde{Q}$  is in NP. Assume there is a polynomial parameter transformation from  $P$  to  $Q$ . Then if  $Q$  admits a polynomial kernel, so does  $P$ .*

We apply this notion to our case.

**Lemma 12.** *There exists a polynomial-time algorithm that, given an instance of HYPERGRAPH MINIMUM BISECTION problem with  $n$  vertices, outputs an equivalent instance of CUTWIDTH problem along with its vertex cover of size  $n$ .*

*Proof.* Let  $(H = (V, E), k)$  be an instance of HYPERGRAPH MINIMUM BISECTION given in the input, where  $|V| = n$  ( $n$  is even) and  $|E| = m$ . We construct a graph  $G$  as follows.

Let us denote  $N = mn + 1$ . We begin with taking the whole set  $V$  to the set of vertices of  $G$ . For every distinct  $u, v \in V$  we introduce  $N$  new vertices  $x_{u,v}^i$  for  $i = 1, 2, \dots, N$ , each connected only to  $u$  and  $v$ . Then, for every  $e \in E$  we introduce a new vertex  $y_e$  connected to all  $v \in E$ . Denote the set of all vertices  $x_{u,v}^i$  by  $X$  and the set of all vertices  $y_e$  by  $Y$ . This concludes the construction. Observe that  $V$  is a vertex cover of  $G$  of size  $n$ . We now prove that  $H$  has a bisection with cost at most  $k$  if and only if  $G$  has cutwidth at most  $n^2N/4 + k$ .

Assume that  $H$  has a bisection  $\mathcal{B}$  with cost at most  $k$ . Let us order the vertices of the graph  $G$  as follows. Firstly, we order the vertices from  $V$ : we place  $\mathcal{B}^{-1}(0)$  first, in any order, and then  $\mathcal{B}^{-1}(1)$ , in any order. Then, we place every  $x_{u,v}^i$  anywhere between  $u$  and  $v$ . At the end, for every  $e \in E$  we place  $y_e$  at the beginning if at least half of the vertices of  $e$  is in  $\mathcal{B}^{-1}(0)$ , and in the end otherwise. Vertices  $y_e$  at the beginning and at the end are arranged in any order.

Now, we prove that the cutwidth of the constructed ordering is at most  $n^2N/4 + k$ . Consider any cut  $C$ , dividing the order on  $V(G)$  into the first part  $V_1$  and second  $V_2$ . Suppose that  $|V_1 \cap V| = n/2 - l$  for some  $-n/2 \leq l \leq n/2$ , thus  $|V_2 \cap V| = n/2 + l$ . Observe that  $C$  cuts exactly  $N(n/2 - l)(n/2 + l) = n^2N/4 - l^2N$

edges between  $V$  and  $X$ . Note that there are not more than  $nm < N$  edges between  $V$  and  $Y$ . Therefore, if  $l \neq 0$ , then  $C$  can cut at most  $n^2N/4 - N + nm < n^2N/4 + k$  edges.

We are left with the case when  $l = 0$ . Observe that  $V_1 \cap V = \mathcal{B}^{-1}(0)$  and  $V_2 \cap V = \mathcal{B}^{-1}(1)$ . Moreover the cut  $C$  cuts exactly  $n^2N/4$  edges between sets  $V$  and  $X$ . As far as edges between  $V$  and  $Y$  are concerned, for every hyperedge  $e \in E$  cut  $C$  cuts exactly  $\text{cost}(e, \mathcal{B})$  edges incident with  $y_e$ . As  $\text{cost}(\mathcal{B}) \leq k$ , the cut  $C$  cuts at most  $n^2N/4 + k$  edges.

Now assume that there is an ordering of vertices of  $G$  that has cutwidth at most  $n^2N/4 + k$ . We construct a bisection  $\mathcal{B}$  of  $H$  as follows. Let  $\mathcal{B}(v) = 0$  for every  $v$  appearing among the first  $n/2$  vertices from  $V$  with respect to the ordering, and  $\mathcal{B}(v) = 1$  for  $v$  among the second  $n/2$  vertices. We now prove that the cost of this bisection is at most  $k$ .

Let  $C$  be any cut dividing the order into the first part  $V_1$  and the second part  $V_2$ , such that  $V_1 \cap V = \mathcal{B}^{-1}(0)$  and  $V_2 \cap V = \mathcal{B}^{-1}(1)$ . As the cutwidth of the ordering is at most  $n^2N/4 + k$ ,  $C$  cuts at most  $n^2N/4 + k$  edges. Observe that  $C$  needs to cut at least  $n^2N/4$  edges between sets  $V$  and  $X$ , therefore it cuts at most  $k$  edges between sets  $V$  and  $Y$ . For every hyperedge  $e \in E$ ,  $C$  cuts at least  $\text{cost}(e, \mathcal{B})$  edges incident to  $y_e$ , thus  $\text{cost}(\mathcal{B}) \leq k$ .

From Lemmata 9, 12 and Theorem 11 we can easily conclude the following.

**Theorem 13.** *CUTWIDTH parameterized by the size of vertex cover does not admit a polynomial kernel, unless  $NP \subseteq \text{coNP}/\text{poly}$ .*

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