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Instantiation of Components

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# A Typing System for the Safe Instantiation of Components \*

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## Abstract

Component composition can lead to multiple instances of the same component. Some components can have only one instance loaded at a time, for example, when a unique external resource is used. We give an abstract component language and a typing system ensuring the safe instantiation of components. Language features are instantiation, composition and a simple scope mechanism for discharging instances.

## 1 Introduction

Imagine a computer program composed from several components. These components, possibly purchased from different vendors, may use other components, which on their turn use other components, and so on. In order to analyze this process, the phrase ‘to use a component’ is somewhat too loose and we prefer to speak of ‘to create an instance of a component’, usually denoted by the primitive `new c`, where  $c$  denotes the component in question. The semantics of `new c` is, roughly, the allocation of the resources to run an instance of  $c$ . This does not only mean allocating memory space for  $c$ ’s data structures and the like, but this also means creating instances of the components used by  $c$ . (The exact moment on which instances of subcomponents are created depends on the binding regime, see [11].)

In the situation sketched above it can easily happen that, unforeseen by the composer, different instances of the same component are created. For many components this is no problem at all. However, there exist components which do not allow multiple instances running side-by-side [4, 8], for example, in case the component uses a critical resource. In [8] Meijer points out that the only option for all requests to multiple versions of components, which cannot exist side-by-side, is to fail, but the first request. The aim of this paper is to develop a typing system which allows one to detect *statically*, at development/composition time, whether or not multiple instances of certain components are running side-by-side.

For this purpose we have designed a rudimentary component language where we have abstracted away many aspects of components. The main features we have retained are instantiation, (sequential) composition and scope. On this abstraction level there is little difference between components and classes on one hand, and instances and objects on the other. Please keep in mind that we report on ongoing research here: more sophisticated language features will be included in the near future.

The simple binding mechanism for components used here bears similarity to `let` binding in functional languages such as ML [9], and hence to lambda abstraction

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and application. However, the types used here are completely different. To some extent it turned out to be possible to develop our type theory along the lines of so-called Pure Type Systems (PTSs), see [3]. This increased our confidence in the abstractions chosen and can be viewed as a tribute to the generality of PTSs.

The intuition behind our types bears some similarity to so-called linear types [2, 5]. Linear types usually express that a value will be used *exactly* once within its scope, as opposed to *at most* once in our case. This difference is reflected in our Weakening and Start rules (see below). Nevertheless, the possible connection with linear types must still be explored.

This paper is organized as follows. In the next section we develop the component language with its types and terms. To help readers understand the language more easily, the operational semantics follows in Section 3. We give the typing relation in Section 4 and prove some properties of the type system in Section 5. We outline a polynomial time type inference algorithm in Section 6 before we end with some conclusions and indications of future research.

## 2 A typed component language

### 2.1 Types

A component may or may not allow multiple instances running side-by-side. We distinguish between these two kinds of components by using two disjoint sets **S** and **E** for such ‘side-by-side’ and ‘exclusive’ components, respectively. So, a component in **S** can have arbitrarily many instances, while a component in **E** can have at most one instance at a time.

A component expression  $E$  may use several components. Among the latter there are instances which exist for the whole lifetime of  $E$ , whereas other instances live only for a while and are then discharged. Therefore we use two sets to represent the type of a component expression. The first set collects all components instantiated during the lifetime of the expression and the second set consists of those components that have instances surviving the expression.

**Definition 2.1 (Types).** The set of *types* for component expressions consists of pairs of sets

$$X^i | X^o$$

where  $X^o, X^i \subseteq \mathbf{S} \cup \mathbf{E}$ . Types are denoted by super- and subscripted capitals  $U, \dots, Z$ .

### 2.2 Terms

Since we are mainly interested in instantiation, composition and scope, we have abstracted in our component language from all other aspects of components. At this level of abstraction we do not detail how components are connected as in many architecture description languages like Wright [1], Darwin [7], SADL [10], and ACME [6].

We use extended Backus-Naur Form with the following meta-symbols: infix  $|$  for choice, postfix  $*$  for Kleene closure (zero or more iterations) and round brackets for grouping. The curly brackets  $\{$  and  $\}$  are part of the language and are used as scope-delimiters.

**Definition 2.2 (Component programs).** The component programs are given by the following abstract syntax in extended BNF grammar, with  $x$  ranging over  $\mathbf{S} \cup \mathbf{E}$ .

$$\begin{aligned}
Prog & ::= Decl; Exp. \\
Decl & ::= (x \multimap (\epsilon \mid Exp))^* \\
Exp & ::= \mathbf{new} x (\epsilon \mid Exp) \mid \{Exp\}Exp
\end{aligned}$$

Thus a program consists of a list of so-called component declarations followed by an expression  $Exp$  which sparks off the execution. For a precise definition of the operational semantics the reader is referred to Section 3. In particular the example there will help to understand the typing rules that are to follow.

A component declaration  $x \multimap Exp$  states that the component  $x$  is composed from the component expression  $Exp$ . In particular,  $x \multimap \epsilon$  states that  $x$  is a primitive component. A list of declarations with distinct variables is called a *basis*, like in PTSs [3]. We use  $\Gamma, \Delta, \dots$  to range over bases.

According to the above syntax, component expressions can have several forms. The simplest, the empty expression  $\epsilon$  is used for declaring primitive components. Otherwise, expressions can be a sequence of  $\mathbf{new} x$ 's with or without matching, possibly nested, scope-delimiters. The purpose of putting components in a scope is that, during their lifetime, these components need to collaborate with each other and can be deallocated afterwards. Example:  $\mathbf{new} a \{\{\mathbf{new} b\} \mathbf{new} a\}$ . We use  $A, \dots, E, Exp$  to range over expressions.

The grammar for expressions  $Exp$  generates the same expressions as:

$$Exp ::= \mathbf{new} x \mid Exp Exp \mid \{Exp\}$$

but has the technical advantage of non-ambiguity.

A program is *deterministic* if there is at most one declaration of every component. In this paper we only work with deterministic programs.

**Definition 2.3 (Expression variables).** The set of variables occurring in an expression  $A$ , written  $Var(A)$ , is defined inductively as follows:

$$\begin{aligned}
Var(\epsilon) & = \emptyset \\
Var(\mathbf{new} x A) & = \{x\} \cup Var(A) \\
Var(\{A_1\}A_2) & = Var(A_1) \cup Var(A_2)
\end{aligned}$$

**Definition 2.4 (Basis variables).** The set of declared variables in a list of declarations, written  $Dom(\Gamma)$ , is defined inductively as follows:

$$\begin{aligned}
Dom() & = \emptyset \\
Dom(x \multimap A, \Gamma) & = \{x\} \cup Dom(\Gamma)
\end{aligned}$$

As an example, let  $\mathbf{S} = \{c, d\}$  and  $\mathbf{E} = \{a, b\}$ . A well-formed program  $Prog$  in our syntax could be as follows:

$$\begin{aligned}
& d \multimap \epsilon \\
& a \multimap \mathbf{new} d \\
& b \multimap \mathbf{new} a \\
& c \multimap \mathbf{new} d \{\{\mathbf{new} b \mathbf{new} d\} \mathbf{new} a\}; \\
& \mathbf{new} c
\end{aligned}$$

Examples of sets of expression variables and basis variables are:

$$Var(\mathbf{new} d \{\{\mathbf{new} b \mathbf{new} d\} \mathbf{new} a\}) = \{a, b, d\}$$

$$Dom(d \multimap \epsilon, a \multimap \mathbf{new} d, b \multimap \mathbf{new} a, c \multimap \mathbf{new} d \{\{\mathbf{new} b \mathbf{new} d\} \mathbf{new} a\}) = \{a, b, c, d\}$$

### 3 Operational Semantics

In this section we give the operational semantics for our language. We use so-called *post-expressions*, which are obtained by deleting zero or more consecutive opening  $\{$ 's in the prefix of an expression.

**Definition 3.1 (Post-expressions).** Let  $A_1, \dots, A_n$  be well-formed expressions, possibly  $\epsilon$ . Then we call  $A_1\}A_2\dots\}A_n$  a *post-expression*.

We also need a formal definition of concatenation of two expressions.

**Definition 3.2 (Expression Concatenation).** The concatenated expression of two expressions  $A$  and  $B$ , written  $A@B$ , is defined recursively as follows:

$$\begin{aligned} \epsilon@B &= B \\ \mathbf{new } x @B &= \mathbf{new } x B \\ (\mathbf{new } x A_1)@B &= \mathbf{new } x (A_1@B) \\ (\{A_1\}A_2)@B &= \{A_1\}(A_2@B) \end{aligned}$$

Concatenation of an expression with a post-expression is defined in the same way as concatenation of two expressions, and results in again a post-expression.

The operational semantics is modelled as a *transition system* where a state is a non-empty stack  $\mathbb{S}$  of multisets followed by a post-expression  $P$ . Elements of the stack are separated by  $\cdot$ . Stacks are pushed and popped at the right end. When the depth of stack is at least one, we use the multiset  $M$  to denote the top of the stack and  $\mathbb{S}$  to denote the rest of the stack. When the depth of a stack can only be one, we write just the multiset  $M$  as in the second transition rule below. Multisets are denoted by  $[\dots]$ , where sets are denoted, as usual, by  $\{\dots\}$ .  $M(x)$  is the multiplicity of element  $x$  in multiset  $M$ . The operation  $\uplus$  is additive union of multisets.

**Definition 3.3 (Transition rules).** The transition rules are given as follows:

$$\begin{array}{lll} \mathbb{S} : M, \epsilon & \xrightarrow{\text{terminate}} & \text{failure} \\ M, \epsilon & \xrightarrow{\text{terminate}} & \text{success} \\ \mathbb{S} : M, \mathbf{new } x P & \xrightarrow{x \prec A' \text{ in } P} & \mathbb{S} : (M \uplus [x]), A'@P \\ \mathbb{S} : M, \{P & \xrightarrow{\text{push}} & \mathbb{S} : M : [], P \\ \mathbb{S} : M' : M, \}P & \xrightarrow{\text{pop}} & \mathbb{S} : M', P \end{array}$$

The transition rules can be explained as follows. When the input is  $\epsilon$ , the transition process terminates. In this case, if the depth of the stack is one, the program succeeds, else it fails. When the input is  $\mathbf{new } x$ ,  $x$  is added to the multiset at the top of the stack and  $\mathbf{new } x$  is replaced by the declaration of  $x$ . The last two rules are for scope. When entering a new scope, that is, when the first element of the input is  $\{$ , we push a new empty multiset  $[\ ]$  to the stack. When leaving a scope, that is, when the first element of the input is  $\}$ , the multiset at the top of the stack is popped. This means that all instances created in this scope have been discharged.

The example in Section 2.2 is again used to illustrate our operational semantics.

The transition steps are showed as follows:

$$\begin{array}{l}
[], \text{new } c \xrightarrow{c \leftarrow \text{new } d \{ \text{new } b \text{ new } d \} \text{new } a} \\
[c], \text{new } d \{ \text{new } b \text{ new } d \} \text{new } a \xrightarrow{d \leftarrow \epsilon} \\
[c, d], \{ \text{new } b \text{ new } d \} \text{new } a \xrightarrow{\text{push}} \\
[c, d] : [], \text{new } b \text{ new } d \} \text{new } a \xrightarrow{b \leftarrow \text{new } a} \\
[c, d] : [b], \text{new } a \text{ new } d \} \text{new } a \xrightarrow{a \leftarrow \text{new } d} \\
[c, d] : [a, b], \text{new } d \text{ new } d \} \text{new } a \xrightarrow{d \leftarrow \epsilon} \\
[c, d] : [a, b, d], \text{new } d \} \text{new } a \xrightarrow{d \leftarrow \epsilon} \\
[c, d] : [a, b, d, d], \} \text{new } a \xrightarrow{\text{pop}} \\
[c, d], \text{new } a \xrightarrow{a \leftarrow \text{new } d} \\
[a, c, d], \text{new } d \xrightarrow{d \leftarrow \epsilon} \\
[a, c, d, d], \epsilon \xrightarrow{\text{terminate}} \text{success}
\end{array}$$

In this example, exclusive component  $a$  is instantiated two times. However, for reason of scope, there is at most one instance of  $a$  at each moment.

In case  $d$  is exclusive, the execution of program fails at  $[c, d] : [a, b], \text{new } d \text{ new } d \} \text{new } a$ . Continuing loading would duplicate exclusive component  $d$ .

## 4 Typing relation

A *typing triple*  $\Gamma \vdash A : X^i \mid X^o$ , also called *typing* for short, expresses that, given basis  $\Gamma$ , the component expression  $A$  has type  $X^i \mid X^o$ . The *typing relation* is an inductively defined set of typing triples. It is defined in the usual way by giving *typing rules* to construct *derivation trees* for valid typings.

**Definition 4.1 (Typing rules).**

$$\begin{array}{l}
\text{Axiom} \quad \frac{}{\vdash \epsilon : \emptyset \mid \emptyset} \\
\text{Start} \quad \frac{\Gamma \vdash A : X^i \mid X^o}{\Gamma, x \leftarrow A \vdash \text{new } x : X^i \cup \{x\} \mid X^o \cup \{x\}} \quad x \notin \text{Dom}(\Gamma) \\
\text{Weakening} \quad \frac{\Gamma \vdash A : X^i \mid X^o \quad \Gamma \vdash A_1 : Y^i \mid Y^o}{\Gamma, x \leftarrow A_1 \vdash A : X^i \mid X^o} \quad x \notin \text{Dom}(\Gamma) \\
\text{Sequencing} \quad \frac{\Gamma \vdash \text{new } x : X^i \mid X^o \quad \Gamma \vdash A : Y^i \mid Y^o}{\Gamma \vdash \text{new } x A : X^i \cup Y^i \mid X^o \cup Y^o} \quad X^o \cap \mathbf{E} \cap Y^i = \emptyset \\
\text{Scope} \quad \frac{\Gamma \vdash A_1 : X^i \mid X^o \quad \Gamma \vdash A_2 : Y^i \mid Y^o}{\Gamma \vdash \{A_1\}A_2 : X^i \cup Y^i \mid Y^o}
\end{array}$$

Let us briefly explain the above five typing rules. Rule Axiom requires no premise and it is used to take-off. Rule Start allows us to type a new instance of a component. The combination of Axiom and Start allows us to type instances of primitive components  $x \leftarrow \epsilon$ . Weakening is used to expand bases so that we can combine typings in rule Sequencing and Scope, which allow us to type component compositions with a prefix  $\text{new } x$  and a scoped expression, respectively. The side condition  $x \notin \text{Dom}(\Gamma)$  prevents ambiguity and circularity. The side condition  $X^o \cap \mathbf{E} \cap Y^i = \emptyset$  prevents exclusive components from being instantiated more than once in the same scope.

Continuing the example in Section 2.2, we show the type *derivation tree* for  $\{ \text{new } b \text{ new } d \} \text{new } a$ . First, a typing for  $\text{new } b$  can be derived as follows: (The names

of the typing rules are shortened to their first three letters.)

$$\text{Sta} \frac{\text{Sta} \frac{\text{Axi} \frac{\overline{\Gamma \epsilon : \emptyset \mid \emptyset}}{d \multimap \epsilon \vdash \text{new } d : \{d\} \mid \{d\}}}{d \multimap \epsilon, a \multimap \text{new } d \vdash \text{new } a : \{a, d\} \mid \{a, d\}}}{d \multimap \epsilon, a \multimap \text{new } d, b \multimap \text{new } a \vdash \text{new } b : \{a, b, d\} \mid \{a, b, d\}}$$

The typing for  $\text{new } d$  can be weakened as follows:

$$\text{Wea} \frac{\text{Sta} \frac{\text{Axi} \frac{\overline{\Gamma \epsilon : \emptyset \mid \emptyset}}{d \multimap \epsilon \vdash \text{new } d : \{d\} \mid \{d\}}}{d \multimap \epsilon, a \multimap \text{new } d \vdash \text{new } d : \{d\} \mid \{d\}} \quad \text{Sta} \frac{\text{Axi} \frac{\overline{\Gamma \epsilon : \emptyset \mid \emptyset}}{d \multimap \epsilon \vdash \text{new } d : \{d\} \mid \{d\}}}{d \multimap \epsilon, a \multimap \text{new } d \vdash \text{new } d : \{d\} \mid \{d\}}}{d \multimap \epsilon, a \multimap \text{new } d \vdash \text{new } d : \{d\} \mid \{d\}}$$

Using this result we can derive yet another typing for  $\text{new } d$  by weakening:

$$\text{Wea} \frac{\overline{\dots} \quad \overline{\dots}}{d \multimap \epsilon, a \multimap \text{new } d \vdash \text{new } d : \{d\} \mid \{d\} \quad d \multimap \epsilon, a \multimap \text{new } d \vdash \text{new } a : \{a, d\} \mid \{a, d\}}{d \multimap \epsilon, a \multimap \text{new } d, b \multimap \text{new } a \vdash \text{new } d : \{d\} \mid \{d\}}$$

Similarly, we can weaken the typing for  $\text{new } a$ :

$$d \multimap \epsilon, a \multimap \text{new } d, b \multimap \text{new } a \vdash \text{new } a : \{a, d\} \mid \{a, d\}$$

Now, let  $\Gamma = d \multimap \epsilon, a \multimap \text{new } d, b \multimap \text{new } a$ . Based on the previous typings we can derive a type for  $\{\text{new } b \text{ new } d\} \text{new } a$  as follows:

$$\text{Sco} \frac{\text{Seq} \frac{\overline{\dots} \quad \overline{\dots}}{\Gamma \vdash \text{new } b : \{a, b, d\} \mid \{a, b, d\} \quad \Gamma \vdash \text{new } d : \{d\} \mid \{d\}}{\Gamma \vdash \text{new } b \text{ new } d : \{a, b, d\} \mid \{a, b, d\}} \quad \overline{\dots}}{\Gamma \vdash \{\text{new } b \text{ new } d\} \text{new } a : \{a, b, d\} \mid \{a, d\}}$$

Note that the side condition  $X^o \cap \mathbf{E} \cap Y^i$  of rule Sequencing is satisfied since  $d \notin \mathbf{E}$ .

Having defined types, terms and typing rules, we can now define the notion of a well-typed program.

**Definition 4.2 (Well-typed program).** A well-formed program  $\text{Prog} = \text{Decl}; \text{Exp}$  is well-typed if  $\text{Exp}$  can be typed in a basis built from  $\text{Decl}$ . Here it is understood that the declarations may have to be permuted to form a legal basis.

## 5 Properties of the type system

In this section we will state and prove some properties of our type system. The invariant theorem and its correctness corollary in the end of the section are important properties of the operational semantics.

**Definition 5.1 (Bases).** Let  $\Gamma = x_1 \multimap A_1, \dots, x_n \multimap A_n$  be a list of declarations and let  $A$  be an expression.

- $\Gamma$  is called *legal* if  $\Gamma \vdash A : X^i \mid X^o$  for some  $A$ ,  $X^i$ , and  $X^o$ .
- A declaration  $x \multimap A$  is *in*  $\Gamma$ , notation  $x \multimap A \in \Gamma$ , if  $x \equiv x_i$  and  $A \equiv A_i$  for some  $i$ .
- $\Delta$  is *part* of  $\Gamma$ , notation  $\Delta \subseteq \Gamma$ , if  $\Delta = x_{i_1} \multimap A_{i_1}, \dots, x_{i_k} \multimap A_{i_k}$  with  $1 \leq i_1 < \dots < i_k \leq n$ . Note that the order is preserved.
- $\Delta$  is an *initial segment* of  $\Gamma$ , notation  $\Delta \sqsubseteq \Gamma$ , if  $\Delta = x_1 \multimap A_1, \dots, x_j \multimap A_j$  for some  $1 \leq j \leq n$ .

For convenience we shall abbreviate from now on  $X \cup \{x\}$  by  $X+x$  and  $X \setminus \{x\}$  by  $X-x$ . The latter abbreviation will only be used in cases where  $x \in X$ .

**Lemma 5.2 (Legal basis properties).** *If  $\Gamma \vdash A : X^i \mid X^o$ , then  $\text{Var}(A) \cup X^o \subseteq X^i \subseteq \text{Dom}(\Gamma)$ ,  $\Gamma \vdash \epsilon : \emptyset \mid \emptyset$ , and every variable in  $\text{Dom}(\Gamma)$  is declared only once in  $\Gamma$ .*

*Proof.* By induction on derivation.

Base case Axiom:  $\vdash \epsilon : \emptyset \mid \emptyset$  is trivial as  $\text{Var}(\epsilon) = X^o = X^i = \text{Dom}() = \emptyset$ .

Induction step: We have to consider four cases corresponding to the four typing rules.

- Case Start:

$$\text{Start} \quad \frac{\Gamma \vdash A : X^i \mid X^o}{\Gamma, x \multimap A \vdash \text{new } x : X^{i+x} \mid X^{o+x}} \quad x \notin \text{Dom}(\Gamma)$$

Assume the lemma is correct for the premise of this rule, so  $\text{Var}(A) \cup X^o \subseteq X^i \subseteq \text{Dom}(\Gamma)$ ,  $\Gamma \vdash \epsilon : \emptyset \mid \emptyset$  and every variable is declared at most once in  $\Gamma$ . Then,  $\text{Var}(\text{new } x) = \{x\} \subseteq X^{o+x} \subseteq X^{i+x} \subseteq \text{Dom}(\Gamma)+x = \text{Dom}(\Gamma, x \multimap A)$ . Moreover,  $\Gamma, x \multimap A \vdash \epsilon : \emptyset \mid \emptyset$  follows by applying Weakening:

$$\text{Weakening} \quad \frac{\Gamma \vdash \epsilon : \emptyset \mid \emptyset \quad \Gamma \vdash A : X^i \mid X^o}{\Gamma, x \multimap A \vdash \epsilon : \emptyset \mid \emptyset} \quad x \notin \text{Dom}(\Gamma)$$

The last conclusion: every variable in  $\Gamma, x \multimap A$  is declared at most once, follows by the side condition  $x \notin \text{Dom}(\Gamma)$ .

- Case Weakening:

$$\text{Weakening} \quad \frac{\Gamma \vdash A : X^i \mid X^o \quad \Gamma \vdash B : Y^i \mid Y^o}{\Gamma, x \multimap B \vdash A : X^i \mid X^o} \quad x \notin \text{Dom}(\Gamma)$$

Assume the lemma is correct for the two premises of this rule, so  $\text{Var}(A) \cup X^o \subseteq X^i \subseteq \text{Dom}(\Gamma)$ ,  $\text{Var}(B) \cup Y^o \subseteq Y^i \subseteq \text{Dom}(\Gamma)$ ,  $\Gamma \vdash \epsilon : \emptyset \mid \emptyset$  and every variable is declared at most once in  $\Gamma$ . We have  $\text{Var}(A) \cup X^o \subseteq X^i \subseteq \text{Dom}(\Gamma) \subseteq \text{Dom}(\Gamma, x \multimap B)$ . The last two conclusions are proved in the same way as in the case Start.

- Case Sequencing:

$$\text{Sequencing} \quad \frac{\Gamma \vdash \text{new } x : X^i \mid X^o \quad \Gamma \vdash A : Y^i \mid Y^o}{\Gamma \vdash \text{new } x A : X^i \cup Y^i \mid X^o \cup Y^o} \quad X^o \cap \mathbf{E} \cap Y^i = \emptyset$$

Assume the lemma holds for the two premises of this rule, so  $\text{Var}(\text{new } x) \cup X^o \subseteq X^i \subseteq \text{Dom}(\Gamma)$ ,  $\text{Var}(A) \cup Y^o \subseteq Y^i \subseteq \text{Dom}(\Gamma)$ ,  $\Gamma \vdash \epsilon : \emptyset \mid \emptyset$  and every variable is declared at most once in  $\Gamma$ . Note that  $\text{Var}(\text{new } x A) = \{x\} \cup \text{Var}(A)$ . We have  $\text{Var}(\text{new } x A) \cup X^o \cup Y^o \subseteq X^i \cup Y^i \subseteq \text{Dom}(\Gamma)$ . The remaining conclusions are the IHs themselves.

- Case Scope:

$$\text{Scope} \quad \frac{\Gamma \vdash A_1 : X^i \mid X^o \quad \Gamma \vdash A_2 : Y^i \mid Y^o}{\Gamma \vdash \{A_1\}A_2 : X^i \cup Y^i \mid Y^o}$$

Assume the lemma holds for the two premises of this rule, so  $\text{Var}(A_1) \cup X^o \subseteq X^i \subseteq \text{Dom}(\Gamma)$ ,  $\text{Var}(A_2) \cup Y^o \subseteq Y^i \subseteq \text{Dom}(\Gamma)$ ,  $\Gamma \vdash \epsilon : \emptyset \mid \emptyset$  and every variable is declared at most once in  $\Gamma$ . Note that  $\text{Var}(\{A_1\}A_2) = \text{Var}(A_1) \cup \text{Var}(A_2)$ . We have  $\text{Var}(\{A_1\}A_2) \cup Y^o \subseteq X^i \cup Y^i \subseteq \text{Dom}(\Gamma)$ . The remaining conclusions are the IHs themselves.

□

**Lemma 5.3 (Generation).**

1. If  $\Gamma \vdash \text{new } x : X^i \mid X^o$ , then  $x \in X^o$  and there exists  $\Delta, \Delta', A$  such that  $\Gamma = \Delta, x \multimap A, \Delta'$ , and  $\Delta \vdash A : X^i - x \mid X^o - x$ .
2. If  $\Gamma \vdash \text{new } x A : Z^i \mid Z^o$ , then there exists  $X^i, X^o, Y^i, Y^o$  such that  $\Gamma \vdash \text{new } x : X^i \mid X^o, \Gamma \vdash A : Y^i \mid Y^o, Z^i = X^i \cup Y^i, Z^o = X^o \cup Y^o$ , and  $X^o \cap \mathbf{E} \cap Y^i = \emptyset$ .
3. If  $\Gamma \vdash \{B\}C : Z^i \mid Z^o$ , then there exists  $X^i, X^o, Y^i, Y^o$  such that  $\Gamma \vdash B : X^i \mid X^o, \Gamma \vdash C : Y^i \mid Y^o, Z^i = X^i \cup Y^i, Z^o = Y^o$ .

*Proof.* All three items are proved by induction on derivation.

1.  $\Gamma \vdash \text{new } x : X^i \mid X^o$  can only be derived by rule Start or rule Weakening. If it is derived by rule Start, then there is only one possibility:

$$\text{Start} \quad \frac{\Delta \vdash A : X^i - x \mid X^o - x}{\Gamma \vdash \text{new } x : X^i \mid X^o} \quad x \notin \text{Dom}(\Delta)$$

with  $x \in X^o$  and  $\Gamma = \Delta, x \multimap A$ , so that  $\Delta'$  is empty.

If  $\Gamma \vdash \text{new } x : X^i \mid X^o$  is derived by rule Weakening:

$$\text{Weakening} \quad \frac{\Gamma' \vdash \text{new } x : X^i \mid X^o \quad \Gamma' \vdash B : Y^i \mid Y^o \quad y \notin \text{Dom}(\Gamma')}{\Gamma', y \multimap B \vdash \text{new } x : X^i \mid X^o}$$

then  $\Gamma' \vdash \text{new } x : X^i \mid X^o$  and by the IH applied to  $\Gamma' \vdash \text{new } x : X^i \mid X^o$  we have  $x \in X^o, \Gamma' = \Delta_1, x \multimap A', \Delta_2$  and  $\Delta_1 \vdash \text{new } x : X^i - x \mid X^o - x$  for some  $\Delta_1, \Delta_2$ , and  $A'$ . Now take  $\Delta = \Delta_1, \Delta' = \Delta_2, y \multimap B, A = A'$  and we have all the conclusions.

2. Similarly,  $\Gamma \vdash \text{new } x A : Z^i \mid Z^o$  can only be derived by rule Sequencing or rule Weakening. The proof for case Sequencing is immediate. If  $\Gamma \vdash \text{new } x A : Z^i \mid Z^o$  is derived by rule Weakening:

$$\text{Weakening} \quad \frac{\Gamma' \vdash \text{new } x A : Z^i \mid Z^o \quad \Gamma' \vdash B : V^i \mid V^o \quad y \notin \text{Dom}(\Gamma')}{\Gamma', y \multimap B \vdash \text{new } x A : Z^i \mid Z^o}$$

then  $\Gamma = \Gamma', y \multimap B$  and by the IH applied to  $\Gamma' \vdash \text{new } x A : Z^i \mid Z^o$  we have  $\Gamma' \vdash \text{new } x : X^i \mid X^o, \Gamma' \vdash A : Y^i \mid Y^o, Z^i = X^i \cup Y^i, Z^o = X^o \cup Y^o$ , and  $X^o \cap \mathbf{E} \cap Y^i = \emptyset$ . Now weakening  $\Gamma' \vdash \text{new } x : X^i \mid X^o$  and  $\Gamma' \vdash A : Y^i \mid Y^o$  to  $\Gamma = \Gamma', y \multimap B$  we have all the conclusions.

3. Similarly,  $\Gamma \vdash \{B\}C : Z^i \mid Z^o$  can only be derived by rule Scope or rule Weakening. The proof is analogous to that of the previous case.

□

**Lemma 5.4 (Legal monotonicity).**

1. If  $\Gamma = \Delta, x \multimap A, \Delta'$  is legal, then  $\Delta \vdash A : X^i \mid X^o$  for some  $X^i$  and  $X^o$ .
2. If  $\Gamma \vdash A : X^i \mid X^o, \Gamma \subseteq \Gamma'$  and  $\Gamma'$  is legal, then  $\Gamma' \vdash A : X^i \mid X^o$ .

*Proof.* 1. The only way to extend  $\Delta$  to  $\Delta, x \multimap A$  in a derivation is by applying the rule Start or Weakening:

$$\text{Weakening} \quad \frac{\Delta \vdash \epsilon : \emptyset \mid \emptyset \quad \Delta \vdash A : X^i \mid X^o}{\Delta, x \multimap A \vdash \epsilon : \emptyset \mid \emptyset} \quad x \notin \text{Dom}(\Delta)$$

$$\text{Start} \quad \frac{\Delta \vdash A : X^i \mid X^o}{\Delta, x \multimap A \vdash \text{new } x : X^{i+x} \mid X^{o+x}} \quad x \notin \text{Dom}(\Delta)$$

Each of the rules has  $\Delta \vdash A : X^i \mid X^o$  as a premise.

2. By induction on derivation of  $\Gamma \vdash A : X^i \mid X^o$ , we prove that for all  $\Gamma'$  legal such that  $\Gamma \subseteq \Gamma'$  we have  $\Gamma' \vdash A : X^i \mid X^o$ .

Base case Axiom  $A = \epsilon$ : then  $\Gamma' \vdash \epsilon : \emptyset \mid \emptyset$  since  $\Gamma'$  is legal.

Case Start  $A = \text{new } x$ :

$$\text{Start} \quad \frac{\Delta \vdash B : Y^i \mid Y^o}{\Gamma = \Delta, x \multimap B \vdash \text{new } x : Y^{i+x} \mid Y^{o+x}} \quad x \notin \text{Dom}(\Delta)$$

Let  $\Gamma \subseteq \Gamma'$  with  $\Gamma'$  legal. Then there exists  $\Delta_1, \Delta_2, \Delta_3$  such that  $\Delta_1, \Delta, \Delta_2, x \multimap B, \Delta_3 = \Gamma'$ , with all initial segments of  $\Gamma'$  are legal. By IH we have  $\Delta_1, \Delta, \Delta_2 \vdash B : Y^i \mid Y^o$ . As  $x$  occurs only once in  $\Gamma'$  we have  $x \notin \text{Dom}(\Delta_1, \Delta, \Delta_2)$  and we can apply rule Start to get  $\Delta_1, \Delta, \Delta_2, x \multimap B \vdash \text{new } x : Y^{i+x} \mid Y^{o+x}$ . Since  $\Gamma'$  is legal we can iterate weakening to get  $\Gamma' \vdash \text{new } x : Y^{i+x} \mid Y^{o+x}$ .

Case Weakening:

$$\text{Weakening} \quad \frac{\Delta \vdash A : X^i \mid X^o \quad \Delta \vdash B : Y^i \mid Y^o}{\Gamma = \Delta, y \multimap B \vdash A : X^i \mid X^o} \quad x \notin \text{Dom}(\Delta)$$

Let  $\Gamma \subseteq \Gamma'$  with  $\Gamma'$  legal, so also  $\Delta \subseteq \Gamma'$ . By IH we get immediately  $\Gamma' \vdash A : X^i \mid X^o$ .

Case Sequencing  $A = \text{new } x B$ : by Generation Lemma we have  $\Gamma \vdash \text{new } x : V^i \mid V^o$  and  $\Gamma \vdash B : Y^i \mid Y^o$  with  $X^i = V^i \cup Y^i$ ,  $X^o = V^o \cup Y^o$ , and  $V^o \cap \mathbf{E} \cap Y^i = \emptyset$ . By IHs we have  $\Gamma' \vdash \text{new } x : V^i \mid V^o$  and  $\Gamma' \vdash B : Y^i \mid Y^o$ . Apply rule Sequencing and we get the conclusion.

Case  $A = \{A_1\}A_2$ : analogous to the case Sequencing. □

**Lemma 5.5 (Strengthening).** *If  $\Gamma, x \multimap A \vdash B : Y^i \mid Y^o$  and  $x \notin \text{Var}(B)$ , then  $\Gamma \vdash B : Y^i \mid Y^o$  and  $x \notin Y^i$ .*

*Proof.* By induction on derivation.

- Case Axiom: does not apply since the basis is not empty.
- Case Start: does not apply since  $\text{Var}(B) = \text{Var}(\text{new } x) = \{x\}$ .
- Case Weakening:

$$\text{Weakening} \quad \frac{\Gamma \vdash B : Y^i \mid Y^o \quad \Gamma \vdash A : X^i \mid X^o}{\Gamma, x \multimap A \vdash B : Y^i \mid Y^o} \quad x \notin \text{Dom}(\Gamma)$$

Then we get  $\Gamma \vdash B : Y^i \mid Y^o$  in the premise. Moreover,  $x \notin Y^i$  since  $Y^i \subseteq \text{Dom}(\Gamma)$  and  $x$  is declared only once in  $\Gamma, x \multimap A$ , both by Lemma 5.2.

- Case Sequencing:

$$\text{Sequencing} \quad \frac{\Gamma, x \multimap A \vdash \text{new } y : V^i \mid V^o \quad \Gamma, x \multimap A \vdash C : Z^i \mid Z^o}{\Gamma, x \multimap A \vdash \text{new } y C : Y^i \mid Y^o} \quad V^o \cap \mathbf{E} \cap Z^i = \emptyset$$

for  $V^i, V^o, Z^i, Z^o$  such that  $Y^i = V^i \cup Z^i$  and  $Y^o = V^o \cup Z^o$ . Since  $x \notin \text{Var}(\text{new } y C) = \{y\} \cup \text{Var}(C)$  we have  $x \neq y$  and  $x \notin \text{Var}(C)$ . By IHs we get  $\Gamma \vdash \text{new } y : V^i \mid V^o$  and  $x \notin V^i, \Gamma \vdash C : Z^i \mid Z^o$  and  $x \notin Z^i$ . So by applying rule Sequencing we get the conclusion:  $\Gamma \vdash \text{new } y C : Y^i \mid Y^o$ .

- Case  $A = \{A_1\}.A_2$ : analogous to the case Sequencing.

□

**Proposition 5.6 (Uniqueness of Types).** *If  $\Gamma \vdash A : X^i \mid X^o$  and  $\Gamma \vdash A : Y^i \mid Y^o$ , then  $X^i = Y^i$  and  $X^o = Y^o$ .*

*Proof.* By induction on derivation of  $\Gamma \vdash A : X^i \mid X^o$ .

Base step: In the case of Axiom we have  $A = \epsilon$  and  $\Gamma$  is empty, so that only Axiom is applicable. Hence,  $X^i = Y^i = \emptyset$  and  $X^o = Y^o = \emptyset$ .

Induction step:

- Case Start: Let  $\Gamma = \Gamma', x \multimap B$  such that:

$$\text{Start} \quad \frac{\Gamma' \vdash B : X^i - x \mid X^o - x}{\Gamma', x \multimap B \vdash \text{new } x : X^i \mid X^o} \quad x \notin \text{Dom}(\Gamma')$$

with  $x \in X^i$  and  $x \in X^o$ . Assume Proposition 5.6 holds for the premise and let  $\Gamma \vdash \text{new } x : Y^i \mid Y^o$ . By Generation Lemma we have  $x \in Y^o$  and  $\Gamma = \Delta_1, x \multimap C, \Delta_2$  and  $\Delta_1 \vdash C : Y^i - x \mid Y^o - x$  for some  $\Delta_1, \Delta_2, C$ .

By Lemma 5.2, there is only one declaration of  $x$  in  $\Gamma$ . This means  $\Delta_1 = \Gamma', C = B$  and  $\Delta_2$  is empty, so  $\Gamma' \vdash B : Y^i - x \mid Y^o - x$ . By IH we have  $X^i - x = Y^i - x, X^o - x = Y^o - x$ . So  $X^i = Y^i, X^o = Y^o$  as by Lemma 5.2 also  $x \in Y^o$ .

- Case Weakening: Let  $\Gamma = \Gamma', x \multimap B$  such that:

$$\text{Weakening} \quad \frac{\Gamma' \vdash A : X^i \mid X^o \quad \Gamma' \vdash B : Z^i \mid Z^o}{\Gamma', x \multimap B \vdash A : X^i \mid X^o} \quad x \notin \text{Dom}(\Gamma')$$

Assume Proposition 5.6 holds for the two premises and let  $\Gamma = \Gamma', x \multimap B \vdash A : Y^i \mid Y^o$ . Since  $\Gamma' \vdash A : X^i \mid X^o$  we have  $x \notin \text{Var}(A)$ . By Lemma 5.5 applied to  $\Gamma', x \multimap B \vdash A : Y^i \mid Y^o$  we get  $\Gamma' \vdash A : Y^i \mid Y^o$ . By IH we have the conclusion  $X^i = Y^i$  and  $X^o = Y^o$ .

- Case Sequencing: Let  $\Gamma \vdash \text{new } x A : X^i \mid X^o$  be inferred by:

$$\text{Sequencing} \quad \frac{\Gamma \vdash \text{new } x : V^i \mid V^o \quad \Gamma \vdash B : W^i \mid W^o}{\Gamma \vdash \text{new } x B : V^i \cup W^i \mid V^o \cup W^o} \quad V^o \cap \mathbf{E} \cap W^i = \emptyset$$

By Generation Lemma 5.3 applied to  $\Gamma \vdash \text{new } x A : Y^i \mid Y^o$  we have  $\Gamma \vdash \text{new } x : V_1^i \mid V_1^o, \Gamma \vdash B : W_1^i \mid W_1^o, Y^i = V_1^i \cup W_1^i$ , and  $Y^o = V_1^o \cup W_1^o$  for some  $V_1^i, V_1^o, W_1^i, W_1^o$ . By the IH, we have  $V^i = V_1^i, V^o = V_1^o, W^i = W_1^i$ , and  $W^o = W_1^o$ . Hence,  $X^i = Y^i = V^i \cup W^i$  and  $X^o = Y^o = V^o \cup W^o$  follow.

- Case Scope: analogous to case Sequencing.

□

The following lemma plays a role in the invariant of the operational semantics.

**Lemma 5.7 (Substitution).** *Suppose  $\Gamma \vdash \text{new } x B : Z^i \mid Z^o$ , then*

1.  $x \multimap A \in \Gamma$  and  $\Gamma \vdash A : X^i \mid X^o$  for some  $A$ ,  $X^i$ , and  $X^o$ .
2.  $\Gamma \vdash B : Y^i \mid Y^o$  for some  $Y^i$  and  $Y^o$ .
3.  $\Gamma \vdash A @ B : X^i \cup Y^i \mid X^o \cup Y^o$ .

*Proof.*

1. If  $\Gamma \vdash \text{new } x B : Z^i \mid Z^o$ , then by Generation Lemma 5.3, there exists  $V^i$ ,  $V^o$ ,  $Y^i$ ,  $Y^o$  such that  $\Gamma \vdash \text{new } x : V^i \mid V^o$ ,  $\Gamma \vdash B : Y^i \mid Y^o$ ,  $Z^i = V^i \cup Y^i$ ,  $Z^o = V^o \cup Y^o$ , and  $V^o \cap \mathbf{E} \cap Y^i = \emptyset$ . Apply the same lemma to  $\Gamma \vdash \text{new } x : V^i \mid V^o$  and we have  $x \multimap A$ ,  $\Gamma = \Delta$ ,  $x \multimap A$ ,  $\Delta \vdash A : V^i - x \mid V^o - x$ . So  $x \multimap A \in \Gamma$  is proved.

Applying Lemma 5.4 to  $\Delta \subseteq \Gamma$  and  $\Delta \vdash A : V^i - x \mid V^o - x$ , we have  $\Gamma \vdash A : X^i \mid X^o$  with  $X^i = V^i - x$  and  $X^o = V^o - x$ .

2. Immediate from Generation Lemma.

3. By induction on structure of  $A$ .

- Base step: Case  $A = \epsilon$ : Then  $X^i = X^o = \emptyset$  by rule Axiom  $\vdash \epsilon : \emptyset \mid \emptyset$ , Lemma 5.4, and Proposition 5.6.
- Case  $A = \text{new } y$ : Since  $\text{new } y @ B = \text{new } y B$ , applying rule Sequencing to  $\Gamma \vdash \text{new } y : X^i \mid X^o$  and  $\Gamma \vdash B : Y^i \mid Y^o$  received in the proofs of the previous clauses we have:

$$\text{Sequencing} \quad \frac{\Gamma \vdash \text{new } y : X^i \mid X^o \quad \Gamma \vdash B : Y^i \mid Y^o}{\Gamma \vdash \text{new } y B : X^i \cup Y^i \mid X^o \cup Y^o} \quad X^o \cap \mathbf{E} \cap Y^i = \emptyset$$

The side condition holds since  $X^o = V^o - x$  and  $V^o \cap \mathbf{E} \cap Y^i = \emptyset$  also in the proof of the first clause.

- Induction step: Case  $A = \text{new } y C$ : By clause 1 we have  $\Gamma \vdash \text{new } y C : X^i \mid X^o$ . By Generation Lemma, we have  $\Gamma \vdash \text{new } y : X_1^i \mid X_1^o$ ,  $\Gamma \vdash C : X_2^i \mid X_2^o$  for some  $X_1^i$ ,  $X_1^o$ ,  $X_2^i$ ,  $X_2^o$  such that  $X^i = X_1^i \cup X_2^i$ ,  $X^o = X_1^o \cup X_2^o$  and  $X_1^o \cap \mathbf{E} \cap X_2^i = \emptyset$ . By IH for clause 3 we have  $\Gamma \vdash C @ B : X_2^i \cup Y^i \mid X_2^o \cup Y^o$ . By rule Sequencing we infer  $\Gamma \vdash \text{new } y (C @ B) : X_1^i \cup X_2^i \cup Y^i \mid X_1^o \cup X_2^o \cup Y^o$ . The side condition for this rule  $X_1^o \cap \mathbf{E} \cap (X_2^i \cup Y^i) = \emptyset$  holds since  $X_1^o \cap \mathbf{E} \cap X_2^i = \emptyset$  holds above and  $X_1^o \cap \mathbf{E} \cap Y^i = \emptyset$  holds from  $X^o \cap \mathbf{E} \cap Y^i = \emptyset$  and  $X_1^o \subseteq X^o$ . Finally, observe that  $X_1^i \cup X_2^i \cup Y^i = X^i \cup Y^i$ ,  $X_1^o \cup X_2^o \cup Y^o = X^o \cup Y^o$ , and  $A @ B = \text{new } y (C @ B)$ .
- Case  $A = \{C\}D$ : By clause 1 we have  $\Gamma \vdash \{C\}D : X^i \mid X^o$ . By Generation Lemma, we have  $\Gamma \vdash C : X_1^i \mid X_1^o$ ,  $\Gamma \vdash D : X_2^i \mid X_2^o$  for some  $X_1^i$ ,  $X_1^o$ ,  $X_2^i$ ,  $X_2^o$  such that  $X^i = X_1^i \cup X_2^i$  and  $X^o = X_2^o$ . By IH for clause 3 we have  $\Gamma \vdash D @ B : X_2^i \cup Y^i \mid X_2^o \cup Y^o$ . By rule Scope we infer  $\Gamma \vdash \{C\}(D @ B) : X_1^i \cup X_2^i \cup Y^i \mid X_2^o \cup Y^o$ . Finally, observe that  $X_1^i \cup X_2^i \cup Y^i = X^i \cup Y^i$ ,  $X_2^o \cup Y^o = X^o \cup Y^o$ , and  $A @ B = (\{C\}D) @ B = \{C\}(D @ B)$ .

□

Now, we give some definitions before stating the invariant theorem and correctness corollary for our typing system. In the rest of this section, we assume that we are working with some well-typed program  $\text{Prog}$  and two disjoint sets  $\mathbf{S}$  and  $\mathbf{E}$  of side-by-side and exclusive components, respectively.

**Definition 5.8 (Single multiset, projection).** • A multiset  $M$  is *single* if the multiplicity of every element of  $M$  is 1. Thus, a single multiset is a set and set operations apply.

- The projection of a multiset  $M$  by a set  $\mathbf{E}$ , notation  $M|_{\mathbf{E}}$ , is the multiset obtained by removing from  $M$  all elements that are not in  $\mathbf{E}$ :

$$(M|_{\mathbf{E}})(x) = \begin{cases} M(x) & \text{if } x \in \mathbf{E} \\ 0 & \text{otherwise} \end{cases}$$

**Definition 5.9 (Stack union projection).** Suppose we have a stack of multisets  $\mathbb{S} = S_1 : \dots : S_n$ . The multiset of exclusive elements in stack  $\mathbb{S}$ , written  $\mathbb{S}|_{\mathbf{E}}$ , is defined as follows:

$$\mathbb{S}|_{\mathbf{E}} = (S_1 \uplus \dots \uplus S_n)|_{\mathbf{E}}$$

**Theorem 5.10 (Invariant).** Let  $\Gamma$  be a basis. Assume stack  $\mathbb{S} = S_1 : \dots : S_n$  with  $\mathbb{S}|_{\mathbf{E}}$  single, and expressions  $A_j$  with  $\Gamma \vdash A_j : X_j^i \mid X_j^o$  for all  $1 \leq j \leq n$ , such that

$$(S_1 : \dots : S_k)|_{\mathbf{E}} \cap X_k^i = \emptyset \quad \text{for all } 1 \leq k \leq n \quad (1)$$

Then, either we have termination or there exists a unique stack  $\mathbb{S}' = S'_1 : \dots : S'_m$  with  $\mathbb{S}'|_{\mathbf{E}}$  single, and unique expressions  $B_j$  with  $\Gamma \vdash B_j : Y_j^i \mid Y_j^o$  for all  $1 \leq j \leq m$ , such that

$$\mathbb{S}, A_n \} A_{n-1} \dots \} A_1 \rightarrow \mathbb{S}', B_m \} B_{m-1} \dots \} B_1$$

and

$$(S'_1 : \dots : S'_k)|_{\mathbf{E}} \cap Y_k^i = \emptyset \quad \text{for all } 1 \leq k \leq m \quad (2)$$

*Proof.* By examining all possible transitions.

- If  $A_n = \epsilon$  and  $n = 1$ , then the transition terminates.
- If  $A_n = \epsilon$  and  $n > 1$ , then the transition step is:

$$S_1 : \dots : S_n, \} A_{n-1} \dots \} A_1 \xrightarrow{\text{pop}} S_1 : \dots : S_{n-1}, A_{n-1} \} \dots \} A_1$$

All the conclusions follow immediately since  $m = n - 1$ .

- If  $A_n = \text{new } x$ , by Generation Lemma, we have  $x \multimap A' \in \Gamma$ . Then, the transition step is:

$$S_1 : \dots : S_n, \text{new } x \} A_{n-1} \dots \} A_1 \xrightarrow{x \multimap A' \in \Gamma} S_1 : \dots : (S_n \uplus [x]), A' \} A_{n-1} \dots \} A_1$$

We have  $m = n$ ,  $B_m = A'$ , and  $B_j = A_j$  for  $1 \leq j \leq n - 1$ . Thus,  $\Gamma \vdash B_j : X_j^i \mid X_j^o$  follows immediately for  $1 \leq j \leq m - 1$ .  $\Gamma \vdash B_m : Y_m^i \mid Y_m^o$  also follows from Lemma 5.3 applied to  $\Gamma \vdash \text{new } x : X_m^i \mid X_m^o$  by taking  $Y_m^i = X_m^i - x$  and  $Y_m^o = X_m^o - x$ .

Equation (2) holds for  $k \leq m - 1$  by assumption and we only have to prove Equation (2) for  $k = m$ . If  $x \notin \mathbf{E}$ , the proof is trivial since  $(S'_1 : \dots : S'_n)|_{\mathbf{E}} = (S'_1 : \dots : S'_m)|_{\mathbf{E}}$  and  $Y_m^i \subseteq X_m^i$ . Otherwise, since  $Y_m^i = X_m^i - x$ , so  $x \notin Y_m^i$ . By Equation (1) with  $k = n$  and  $x \in X_n^i$  we have  $x \notin (S_1 : \dots : S_n)$ . Hence,  $(S'_1 : \dots : S'_m)|_{\mathbf{E}} \cap Y_m^i = \emptyset$  holds as the new exclusive variable  $x$  only occurs in the left of intersection operation. The conclusion  $\mathbb{S}'|_{\mathbf{E}}$  single also follows as there is only one new  $x \in S'_m$  and  $x \notin (S_1 : \dots : S_{n-1})|_{\mathbf{E}} = (S'_1 : \dots : S'_{m-1})|_{\mathbf{E}}$ .

- If  $A_n = \text{new } x C$ , then the transition step is:

$$S_1 : \dots : S_n, \text{new } x C \} A_{n-1} \dots \} A_1 \xrightarrow{x \multimap A' \in \Gamma} S_1 : \dots : (S_n \uplus [x]), A' @ C \} A_{n-1} \dots \} A_1$$

We have  $m = n$ ,  $B_n = A' @ C$ , and  $B_j = A_j$  for  $1 \leq j \leq n - 1$ . Thus,  $\Gamma \vdash B_j : Y_j^i \mid Y_j^o$  follows immediately for  $1 \leq j \leq n - 1$ .  $\Gamma \vdash A' @ C : Y_n^i \mid Y_n^o$  also follows from Lemma 5.7 applied to  $\Gamma \vdash \text{new } x C : X_m^i \mid X_m^o$ . The remaining proof is analogous to the previous case.

- If  $A_n = \{C\}D$ , then the transition step is:

$$S_1 : \dots : S_n, \{C\}D \} A_{n-1} \dots \} A_1 \xrightarrow{\text{push}} S_1 : \dots : S_n : [], C \} D \} A_{n-1} \dots \} A_1$$

We have  $m = n + 1$ ,  $B_m = C$ ,  $B_n = D$  and  $B_j = A_j$  with  $1 \leq j \leq n - 1$ . Thus,  $\Gamma \vdash B_j : Y_j^i \mid Y_j^o$  follows immediately for  $1 \leq j \leq n - 1$ .  $\Gamma \vdash B_m : Y_m^i \mid Y_m^o$  and  $\Gamma \vdash B_n : Y_n^i \mid Y_n^o$  also follow from Lemma 5.3 applied to  $\Gamma \vdash \{C\}D : X_m^i \mid X_m^o$ . The remaining conclusions follow trivially. □

**Corollary 5.11 (Correctness).** *Starting with the stack  $[\ ]$ , containing only the empty multiset, and a well-typed expression,  $\mathbb{S} \mid_{\mathbb{E}}$  is single in every state, that is, of every exclusive component there is at most one instance at a time.*

*Proof.* Follows from iterating the previous theorem starting with  $n = 1$ . □

## 6 Type Inference

In this section we sketch a polynomial time type inference algorithm. The type inference problem, or more precisely, an instance of this problem, is to determine, given basis  $\Gamma$  and expression  $A$ , a type  $X^i \mid X^o$  such that  $\Gamma \vdash A : X^i \mid X^o$ . By Proposition 5.6 we know that such a type is unique if it exists. Inferring such types automatically relieves the program composer from the task to give the type explicitly and have them checked. The type inferred can be expected to guide the design of the component program. Moreover, by the soundness result Corollary 5.11, a well-typed expression can be safely executed. The latter could also be tested by running the operational semantics according to the rules in Definition 3.3. However, running these rules could be exponential (by iterated duplication, for example), so that a polynomial time type inference algorithm is to be preferred.

Let *Prog* be a component program. A necessary (but not sufficient) condition for type inference is that the declarations in *Prog* can be reordered into a basis  $\Gamma$  such that, for any declaration  $x \multimap A$  in  $\Gamma$ , the variables occurring in  $A$  are already declared previously in  $\Gamma$ . In other words:

$$\text{if } \Gamma = \Delta, x \multimap A, \Delta' \text{ then } \text{Var}(A) \subseteq \text{Dom}(\Delta) \tag{3}$$

The existence of such a reordering can be detected in polynomial time by an analysis of the dependency graph associated with the declarations in *Prog*. From now on we assume that  $\Gamma$  is a basis consisting of all declarations in *Prog* and satisfying (3). The considerations below are independent of which particular ordering is used as long as it satisfies (3).

The basic idea behind the type inference algorithm is to exploit the fact that the typing rules are syntax-directed, or, in other words, to use the Generation Lemma 5.3 reversely. By applying clause 2 and 3 of this lemma to expression of the

forms  $\text{new } x A$  and  $\{B\}C$ , respectively, we can break down any instance of the type inference problem to instances where the expression is simply of the form  $\text{new } x$ . We can then look up the declaration of  $x$  in the basis  $\Gamma$ . If no declaration of  $x$  can be found then no type can be inferred. Otherwise  $\Gamma = \Delta, x \multimap A, \Delta'$  for some  $\Delta, \Delta'$  and  $A$  and clause 1 of the Generation Lemma allows us to reduce the problem to inferring the type of  $A$  in  $\Delta$ , together with the additional task of checking if  $\Delta'$  legally extends  $\Delta, x \multimap A$ . Here some care has to be taken in order to stay polynomial. A naive recursive algorithm could behave exponentially by generating recursively duplicate instances of the same type inference problem. Duplication can, however, be avoided by storing solved instances. Observe that all instances are of the form: infer the type of  $A$  in  $\Delta$ , where  $\Delta$  is an initial segment of the basis of the original type inference problem and  $A$  is a sub-expression of one of its constituents. There are polynomially many of such instances and hence type inference can be done in polynomial time. This finishes the sketch. We hope finally to be able to infer types in cubic or even quadratic time.

## 7 Conclusions and future research

We have designed a component language and a typing system which allows one to detect statically whether or not multiple instances of certain components are running side-by-side. The language features instantiation, (sequential) composition and scope. For the future we plan to include more sophisticated language features such as explicit dispose operators, connectivity, concurrency features and versioning.

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