

# Dirac Notation

| Notation                            | Description  |
|-------------------------------------|--|
| $z^*$                               | Complex conjugate of the complex number $z$ .<br>$(1+i)^* = 1-i$   |
| $ \psi\rangle$                      | Vector or ket.   |
| $\langle\psi $                      | Dual vector or bra.  |
| $\langle\phi \psi\rangle$           | Inner product between $ \phi\rangle$ and $ \psi\rangle$ .  |
| $ \phi\rangle \otimes  \psi\rangle$ | Tensor product of $ \phi\rangle$ and $ \psi\rangle$ .  |
| $ \phi\rangle \psi\rangle$          | Abbreviated notation for tensor product.   |
| $A^*$                               | Complex conjugate of $A$ .   |
| $A^T$                               | Transpose of $A$ .   |
| $A^\dagger$                         | Hermitian conjugate or adjoint of $A$ , $A^\dagger = (A^T)^*$<br>$\begin{bmatrix} a & b \\ c & d \end{bmatrix}^\dagger = \begin{bmatrix} a^* & c^* \\ b^* & d^* \end{bmatrix}$ |
| $\langle\phi A \psi\rangle$         | Inner product of $ \phi\rangle$ and $A \psi\rangle$ .<br>Equivalently, inner product of $A^\dagger \phi\rangle$ and $ \psi\rangle$ .   |

# Important

Matrix representation is equivalent to operator representation,

only if,  
a set of input and output basis states is provided for the input and output vector spaces of the linear operator.

# Pauli Matrices

$$\sigma_0 = I = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix},$$

$$\sigma_1 = \sigma_x = X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix},$$

$$\sigma_2 = \sigma_y = Y = \begin{bmatrix} 0 & i \\ i & 0 \end{bmatrix},$$

$$\sigma_3 = \sigma_z = Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}.$$

A vector space equipped with an inner product is called an

inner product space.

A finite dimensional Hilbert space is an inner product space...

.....  
we won't consider infinite dimensional Hilbert space.

Vectors  $|w\rangle$  and  $|v\rangle$  are **orthogonal** if

$$\langle w|v\rangle = 0.$$

The **norm** of  $|v\rangle$  is

$$\| |v\rangle \| = \sqrt{\langle v|v\rangle}$$

A **unit vector**  $|v\rangle$  satisfies

$$\| |v\rangle \| = 1,$$

in which case  $|v\rangle$  is **normalized**.

A set  $\{|i\rangle\}$  of vectors is **orthonormal** if

$$\| |i\rangle \| = 1 \text{ and } \langle i|j\rangle = \delta_{ij}, \quad |i\rangle, |j\rangle \in \{|i\rangle\}.$$



# Gram-Schmidt Procedure

Produce an orthonormal basis set

$$\{|v_1\rangle, \dots, |v_d\rangle\}$$

from an arbitrary basis set

$$\{|w_1\rangle, \dots, |w_d\rangle\}$$

Procedure:

$$|v_1\rangle = |w_1\rangle / \| |w_1\rangle \|$$

$$|v_{k+1}\rangle = \frac{|w_{k+1}\rangle - \sum_{i=1}^k \langle v_i | w_{k+1} \rangle |v_i\rangle}{\| |w_{k+1}\rangle - \sum_{i=1}^k \langle v_i | w_{k+1} \rangle |v_i\rangle \|}$$

... therefore....

any finite dimensional vector space of dimension  $d$  has an orthonormal basis  $|v_1\rangle, \dots, |v_d\rangle$ .

## Outer Product representation

Define  $|w\rangle\langle v|$  to be the linear operator from  $V$  to  $W$  with action,

$$(|w\rangle\langle v|)(|v'\rangle) = |w\rangle\langle v|v'\rangle = \langle v|v'\rangle|w\rangle$$

.... two possible interpretations:

either

the operator  $|w\rangle\langle v|$  acts on  $|v'\rangle$

or

the vector  $|w\rangle$  is multiplied by  $\langle v|v'\rangle$ .

## Example

$$\text{Let } |v\rangle = \begin{bmatrix} v_0 \\ v_1 \end{bmatrix}, \quad [w] = \begin{bmatrix} w_0 \\ w_1 \\ w_2 \end{bmatrix}$$

Then,

$$|w\rangle\langle v| = \begin{bmatrix} v_0^* w_0 & v_1^* w_0 \\ v_0^* w_1 & v_1^* w_1 \\ v_0^* w_2 & v_1^* w_2 \end{bmatrix},$$

and

$$\begin{aligned} |w\rangle\langle v|v'\rangle &= \begin{bmatrix} v_0^* w_0 & v_1^* w_0 \\ v_0^* w_1 & v_1^* w_1 \\ v_0^* w_2 & v_1^* w_2 \end{bmatrix} \begin{bmatrix} v_0' \\ v_1' \end{bmatrix} = \begin{bmatrix} w_0 (v_0^* v_0' + v_1^* v_1') \\ w_1 (v_0^* v_0' + v_1^* v_1') \\ w_2 (v_0^* v_0' + v_1^* v_1') \end{bmatrix} \\ &= (v_0^* v_0' + v_1^* v_1') |w\rangle = \langle v|v'\rangle |w\rangle. \end{aligned}$$



## The Completeness Relation

Let  $\{|i\rangle\}$  be an orthonormal basis for  $V$ .

Then,

$$\left( \sum_i |i\rangle \langle i| \right) |v\rangle = \sum_i |i\rangle \langle i|v\rangle = \sum_i v_i |i\rangle = |v\rangle.$$

$$\Rightarrow \sum_i |i\rangle \langle i| = I.$$

## Cauchy - Schwarz Inequality

For any two vectors  $|v\rangle$  and  $|w\rangle$ ,

$$|\langle v|w\rangle|^2 \leq \langle v|v\rangle \langle w|w\rangle$$

with equality iff

$$|v\rangle = \beta |w\rangle \text{ for some scalar, } \beta.$$

Proof:

Let  $\{|i\rangle\}$  be an orthonormal basis. Then,

$$\langle v|v\rangle \langle w|w\rangle = \sum \langle v|i\rangle \langle i|v\rangle \langle w|w\rangle.$$

wlog, let first member of basis  $\{|i\rangle\}$  be  $|i_0\rangle = |w\rangle / \sqrt{\langle w|w\rangle}$ .

$$\text{Then, } \langle v|v\rangle \langle w|w\rangle = \frac{\langle v|w\rangle \langle w|v\rangle \langle w|w\rangle}{\langle w|w\rangle} + \sum_{i \neq i_0} \langle v|i\rangle \langle i|v\rangle \langle w|w\rangle.$$

But the second term on the right is always positive, so,

$$\langle v|v\rangle \langle w|w\rangle \geq \langle v|w\rangle \langle w|v\rangle = |\langle v|w\rangle|^2.$$

## Eigenvectors and Eigenvalues

Note: If  $A|i\rangle = \lambda_i|i\rangle$ , then  $|i\rangle$  is an eigenvector of  $A$  with eigenvalue  $\lambda_i$ .

Find eigensystem of  $A$  via characteristic function,

$$c(\lambda) = \det|A - \lambda I|.$$

...  $c(\lambda)$  depends on the operator  $A$ , not on its specific matrix representation (i.e. basis independent).

$$c(\lambda) = 0 \Rightarrow \text{eigenvalues of } A.$$

The eigenspace of eigenvalue  $\nu$  is the vector subspace whose vectors have eigenvalue  $\nu$ . The eigenspace is **degenerate** if the vector subspace is more than one dimensional. Any two or more independent eigenvectors in such a subspace are called degenerate.

## Diagonal Representation (orthonormal decomposition)

$$A = \sum_i \lambda_i |i\rangle\langle i|$$

where  $\{|i\rangle\}$  is an orthonormal set of eigenvectors of  $A$ , with corresponding eigenvalues  $\lambda_i$ .

An operator is diagonalizable if it has a diagonal representation.



# Adjoint and Hermitian Operators

$$\langle v | (A | w \rangle) = (\langle v | A) | w \rangle.$$

Observe:

$$(AB)^{\dagger} = B^{\dagger} A^{\dagger}, \text{ where } \dagger \text{ means transpose-conjugate}$$

for any matrix representation of the operator.

Also,

$$|v\rangle^{\dagger} = \langle v| \quad \text{and} \quad (A|v\rangle)^{\dagger} = \langle v|A^{\dagger}.$$

Anti-linearity:

$$\left( \sum_i a_i A_i \right)^{\dagger} = \sum_i a_i^* A_i^{\dagger}.$$



## Hermitian (self-adjoint) Operators

$A$  is **Hermitian** if  $A^T = A$ ,

e.g. projectors.

### Projectors:

A special case of Hermitian operator. Let  $W$  be a  $k$ -dimensional vector subspace of a  $d$ -dimensional vector space,  $V$ . Then there exists an orthonormal basis  $|1\rangle, \dots, |d\rangle$  for  $V$  such that  $|1\rangle, \dots, |k\rangle$  is an orthonormal basis for  $W$ .

Then,

$P = \sum_{i=1}^k |i\rangle\langle i|$  is a **projector** onto the subspace  $W$ .

## Hermitian/Projector properties

The projector,  $P$ , is Hermitian (i.e.  $P^\dagger = P$ ),

and satisfies,

$$P^2 = P.$$

Two eigenvectors of a Hermitian operator with different eigenvalues are necessarily orthogonal.

The eigenvalues of a projector are all either 0 or 1. The orthogonal complement of  $P$  is  $Q = I - P$ , which projects onto the vector space spanned by  $|k+1\rangle, \dots, |d\rangle$ .

## Spectral Decomposition

An operator is normal if

$$AA^{\dagger} = A^{\dagger}A.$$

An operator is normal if and only if it is diagonalizable.  
Conversely, any diagonalizable operator is normal.

A normal matrix is Hermitian if and only if it has real eigenvalues.

## Unitary Operators and Matrices

An operator is unitary if  $U^\dagger U = I$ , and will be so if each of its matrix representations is unitary. A unitary operator also satisfies,

$$UU^\dagger = \underline{I},$$

therefore

$U$  is normal and has a spectral decomposition.

Inner products are preserved:

$$\langle U|v\rangle, U|w\rangle = \langle v|U^\dagger U|w\rangle = \langle v|I|w\rangle = \langle v|w\rangle.$$



## Outer product representation of unitary matrices

Let  $\{|v_i\rangle\}$  be an orthonormal basis. Let  $|w_i\rangle = U|v_i\rangle$ .  
Then,  $\{|w_i\rangle\}$  is also an orthonormal basis, and

$$U = \sum_i |w_i\rangle \langle v_i|, \text{ is unitary.}$$

Conversely, if  $\{|v_i\rangle\}$  and  $\{|w_i\rangle\}$  are orthonormal bases,  
then,

$$U = \sum_i |w_i\rangle \langle v_i| \text{ is unitary}$$

..... all eigenvalues of a unitary matrix are of the form  $e^{i\theta}$ .

Pauli matrices are Hermitian and unitary.



# Positive Operators

- subclass of Hermitian operators.

A is a **positive operator** if  $\langle v|A|v\rangle$  is a real, non-negative number for any vector,  $|v\rangle$ .

If  $\langle v|A|v\rangle$  is strictly greater than zero for all  $|v\rangle \neq 0$ , then A is **positive definite**.

... any positive operator is Hermitian, and therefore has a diagonal representation,  $\sum_i \lambda_i |i\rangle\langle i|$ , with non-negative eigenvalues  $\lambda_i$ .

... for any operator A,  $A^\dagger A$  is positive.

# Tensor Products

Let  $V$  and  $W$  be vector spaces of dimension  $n$  and  $m$ , respectively (e.g. Hilbert spaces).

then,

$V \otimes W$  is an  $mn$  dimensional vector space.

If  $|i\rangle$  and  $|j\rangle$  are orthonormal bases for  $V$  and  $W$ , respectively,

then,

$|i\rangle \otimes |j\rangle$  is a basis for  $V \otimes W$ .

.... can abbreviate  $|v\rangle \otimes |w\rangle$  to,

$|v\rangle|w\rangle$  or  $|v, w\rangle$  or  $|vw\rangle$ .

Properties:

$$Z(|v\rangle \otimes |w\rangle) = (Z|v\rangle) \otimes |w\rangle = |v\rangle \otimes (Z|w\rangle).$$

$$(|v_1\rangle + |v_2\rangle) \otimes |w\rangle = |v_1\rangle \otimes |w\rangle + |v_2\rangle \otimes |w\rangle.$$

$$|v\rangle \otimes (|w_1\rangle + |w_2\rangle) = |v\rangle \otimes |w_1\rangle + |v\rangle \otimes |w_2\rangle.$$

Let  $A, B$  be operators on linear spaces  $V$  and  $W$ , resp.

then,

$$(A \otimes B)(|v\rangle \otimes |w\rangle) = A|v\rangle \otimes B|w\rangle$$

$\Rightarrow$

$$(A \otimes B)\left(\sum_i a_i |v_i\rangle \otimes |w_i\rangle\right) = \sum_i a_i A|v_i\rangle \otimes B|w_i\rangle$$

More generally,

for  $A: V \rightarrow V'$ ,  $B: W \rightarrow W'$ ,

define

$C: V \otimes W \rightarrow V' \otimes W'$ , where

$$C = \sum_i c_i A_i \otimes B_i$$

## Inner product on $V \otimes W$

$$\left( \sum_i a_i |v_i\rangle \otimes |w_i\rangle, \sum_j b_j |v'_j\rangle \otimes |w'_j\rangle \right)$$

$$= \sum_{ij} a_i^* b_j \langle v_i | v'_j \rangle \langle w_i | w'_j \rangle.$$



When operators interpreted as matrices,  
then

tensor product can be implemented using the  
**Kronecker product.**

Thus,

$$A \otimes B = \begin{bmatrix} A_{11}B & A_{12}B & \dots & A_{1n}B \\ A_{21}B & A_{22}B & \dots & A_{2n}B \\ \vdots & \vdots & \ddots & \vdots \\ A_{m1}B & A_{m2}B & \dots & A_{mn}B \end{bmatrix} \text{ is a } mp \times nq \text{ matrix.}$$

Example, for  $X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$ ,  $Y = \begin{bmatrix} 0 & i \\ -i & 0 \end{bmatrix}$ :  $X \otimes Y = \begin{bmatrix} 0 & 0 & 0 & -i \\ 0 & 0 & i & 0 \\ 0 & -i & 0 & 0 \\ i & 0 & 0 & 0 \end{bmatrix}$ .

## More notation and properties

$$|\psi\rangle^{\otimes k} = |\psi\rangle \otimes |\psi\rangle \otimes \dots \otimes |\psi\rangle, \quad k \text{ times.}$$

$$(A \otimes B)^* = A^* \otimes B^*$$

$$(A \otimes B)^T = A^T \otimes B^T$$

$$(A \otimes B)^\dagger = A^\dagger \otimes B^\dagger$$

Tensor product of two unitaries, Hermitians, positive operators, projectors is unitary, Hermitian, positive, a projector, respectively.

The Hadamard transform on  $n$  qubits is,

$$H^{\otimes n} = \frac{1}{\sqrt{2^n}} \sum_{x,y} (-1)^{x \cdot y} |x\rangle\langle y|$$

e.g.

$$H^{\otimes 2} = \frac{1}{2} \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & -1 & 1 & -1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & -1 & 1 \end{bmatrix}.$$

## Operator Functions

Given a function  $f: \mathbb{C} \rightarrow \mathbb{C}$ ,  
define the corresponding matrix function,  $f(A)$ , as follows,

Let  $A = \sum_a a |a\rangle\langle a|$  be a spectral decomposition.

Then, define  $f(A) = \sum_a f(a) |a\rangle\langle a|$ .

Example:  $Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$  has eigenvectors  $|0\rangle$  and  $|1\rangle$ .

Therefore  $e^{\theta Z} = \begin{bmatrix} e^{\theta} & 0 \\ 0 & e^{-\theta} \end{bmatrix}$ .

## Class Exercise

Find the square root and logarithm of  $\begin{bmatrix} 4 & 3 \\ 3 & 4 \end{bmatrix}$ .



## Class Exercise

Show that

$$e^{i\theta(v_1\sigma_1 + v_2\sigma_2 + v_3\sigma_3)}$$

$$= \cos\theta I + i\sin\theta(v_1\sigma_1 + v_2\sigma_2 + v_3\sigma_3).$$

Sketch:

Let  $\sigma_1 = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$ . Then eigenvectors of  $\sigma_1$  are  $\frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 1 \end{bmatrix}$  and  $\frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -1 \end{bmatrix}$ ,

with eigenvalues 1 and -1, respectively.

Therefore

$$e^{i\theta\sigma_1} = e^{i\theta} \begin{bmatrix} \frac{1}{2} & \frac{1}{2} \\ \frac{1}{2} & \frac{1}{2} \end{bmatrix} + e^{-i\theta} \begin{bmatrix} \frac{1}{2} & -\frac{1}{2} \\ -\frac{1}{2} & \frac{1}{2} \end{bmatrix}$$

$$= \cos\theta I + i\sin\theta\sigma_1. \quad \dots \text{ and so on.}$$

# Trace of a Matrix

$$\text{Tr}(A) \equiv \sum_i A_{ii} \quad (\text{sum of the diagonal elements}).$$

Properties:

$$\text{tr}(AB) = \text{tr}(BA),$$

$$\text{tr}(A+B) = \text{tr}(A) + \text{tr}(B),$$

$$\text{tr}(\lambda A) = \lambda \text{tr}(A), \quad \lambda \text{ a scalar.}$$

Similarity transformation (conjugation):

$$A \rightarrow UAU^\dagger$$

then,

$$\text{tr}(UAU^\dagger) = \text{tr}(A)$$

... define trace of an operator to be the trace of any matrix representative of  $A$ .

$$\underline{\text{tr}(A|\psi\rangle\langle\psi|)?}$$

Use Gram-Schmidt to generate an orthonormal basis  $\{|i\rangle\}$ , whose first element is  $|\psi\rangle$ .

then,

$$\text{tr}(A|\psi\rangle\langle\psi|) = \sum_i \langle i|A|\psi\rangle\langle\psi|i\rangle.$$

For  $|i\rangle = |\psi\rangle$ ,  $\langle\psi|i\rangle = 1$ ,

otherwise  $\langle\psi|i\rangle = 0$ .

Therefore,

$$\text{tr}(A|\psi\rangle\langle\psi|) = \langle\psi|A|\psi\rangle.$$

.... useful results.

Recall:

A function  $(\cdot, \cdot) : V \times V \rightarrow \mathbb{C}$  is an inner product if it satisfies,

1.  $(\cdot, \cdot)$  is linear in the second argument,  
$$(|v\rangle, \sum \lambda_i |w_i\rangle) = \sum_i \lambda_i (|v\rangle, |w_i\rangle)$$
2.  $(|v\rangle, |w\rangle) = (|w\rangle, |v\rangle)^*$
3.  $(|v\rangle, |v\rangle) \geq 0$  with equality if  $|v\rangle = 0$ .

## Hilbert-Schmidt (trace) inner product of operators

Let  $L_V$  be the vector space of linear operators on a Hilbert space  $V$ . If  $V$  has dimension  $d$ , then  $L_V$  has dimension  $d^2$ .

For operators  $A, B$ , the function  $(\cdot, \cdot) : L_V \times L_V \rightarrow \mathbb{C}$ ,

$(A, B) = \text{tr}(A^\dagger B)$  is the Hilbert-Schmidt (trace) inner product.



## Commutator and anti-commutator

The commutator on operators  $A, B$ , is defined as,

$$[A, B] \equiv AB - BA.$$

If  $[A, B] = 0$  then  $A$  commutes with  $B$ .

The anti-commutator is defined as,

$$\{A, B\} = AB + BA.$$

If  $\{A, B\} = 0$  then  $A$  anti-commutes with  $B$ .

## Simultaneous Diagonalization

Can we simultaneously diagonalize  $A$  and  $B$ , i.e. write

$$A = \sum_i a_i |i\rangle\langle i|, \quad B = \sum_i b_i |i\rangle\langle i|$$

for some orthonormal  $\{|i\rangle\}$ ?

Theorem:

Suppose  $A$  and  $B$  are Hermitian operators.

Then,

$[A, B] = 0$  iff there exists an orthonormal basis that simultaneously diagonalize  $A$  and  $B$ .

Commutator - easy to compute.

Simultaneously diagonalizable - a priori difficult.

e.g.

$$[X, Y] = 2i \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} = 2iZ$$

$\Rightarrow$   $X$  and  $Y$  do not commute

$\Rightarrow$   $X$  and  $Y$  are not simultaneously diagonalizable.

## Commutation Relations for Pauli matrices

$$[X, Y] = 2iZ, \quad [Y, Z] = 2iX, \quad [Z, X] = 2iY.$$

Anti-symmetric tensor:

$$\begin{aligned} \epsilon_{jkl} : \epsilon_{jkl} = 0 \text{ except for } \epsilon_{123} = \epsilon_{231} = \epsilon_{312} = 1, \\ \text{and } \epsilon_{321} = \epsilon_{213} = \epsilon_{132} = -1. \end{aligned}$$

$$\Rightarrow [\sigma_j, \sigma_k] = 2i \sum_{l=1}^3 \epsilon_{jkl} \sigma_l.$$

## Anti-commutation Relations for Pauli matrices

$$\{\sigma_i, \sigma_j\} = 0 \quad \text{where } i \neq j \text{ are chosen}$$

from the set 1, 2, 3.



## More Relations

$$AB = \frac{[A, B] + \{A, B\}}{2}$$

$$\sigma_j \sigma_k = \delta_{jk} I + i \sum_{l=1}^3 \epsilon_{jkl} \sigma_l$$

If  $[A, B] = \{A, B\} = 0$ ,  $A$  invertible then  $B = 0$ .

$$[A, B]^{\dagger} = [B^{\dagger}, A^{\dagger}]$$

$$[A, B] = -[B, A]$$

If  $A, B$  are Hermitian, then  $i[A, B]$  is Hermitian.

# Polar and Singular Value Decompositions

Break general linear operators into products of unitary and positive operators.

## Polar Decomposition:

Let  $A$  be a linear operator on  $V$ . Then,

$$A = UJ = KU,$$

where  $U$  is unitary,  $J, K$  are positive and unique, and

$$J = \sqrt{AA^t}, \quad K = \sqrt{AA^t}.$$

If  $A$  is invertible, then  $U$  is unique.

# Singular Value Decomposition

Let  $A$  be square.

Then,

$$A = UDV,$$

where  $U$  and  $V$  are unitary, and  $D$  is diagonal with non-negative entries.

The diagonal elements of  $D$  are called singular values of  $A$ .

## Class Exercise

Polar decomposition of a positive, unitary, Hermitian matrix?

Express polar decomposition in outer product representation.

Polar decomposition of  $\begin{bmatrix} 1 & 0 \\ i & i \end{bmatrix}$ ?