# Guard Games on Graphs: Keep the Intruder Out!

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#### Abstract

A team of mobile agents, called guards, tries to keep an intruder out of an assigned area by blocking all possible attacks. In a graph model for this setting, the agents and the intruder are located on the vertices of a graph, and they move from node to node via connecting edges. The area protected by the guards is a subgraph of the given graph. We investigate the algorithmic aspects of finding the minimum number of guards sufficient to patrol the area. We show that this problem is PSPACE-hard in general and proceed to investigate a variant of the game where the intruder must reach the guarded area in a single step in order to win. We show that this case approximates the general problem, and that for graphs without cycles of length 5 the minimum number of required guards in both games coincides. We give a polynomial time algorithm for solving the one-step guarding problem in graphs of bounded treewidth, and complement this result by showing that the problem is W[1]-hard parameterized by the treewidth of the input graph. We conclude the study of the one-step guarding problem in bounded treewidth graphs by showing that the problem is fixed parameter tractable (FPT) parameterized by the treewidth and maximum degree of the input graph. Finally, we turn our attention to a large class of sparse graphs, including planar graphs and graphs of bounded genus, namely graphs excluding some fixed apex graph as a minor. We prove that the problem is FPT and give a PTAS on apex-minor-free graphs.

# 1 Introduction

An intruder is trying to enter an area patrolled by a team of mobile units, for example robots. The goal of the robots is to protect the assigned area by blocking all possible attacks. We model this problem as a variant of the classical Cops and Robbers game [1] and we borrow the Cops and Robbers terminology, calling the guarding agents *cops* and the intruder a *robber*. The game of Cops and Robbers is a pursuit-evasion game played on a graph, see [4, 21] for references on different pursuit-evasion and search games on graphs.

The study of *cop-robber guard games* was initiated by Fomin et al. [19]. The guard game is played on a graph G by two players, the *cop-player* and the *robber-player*. The graph G can be directed or undirected, but we only consider undirected graphs in this paper. Each player has *pawns*, the cop-player has *cops* and the robber-player has a *robber*, placed on the vertices of G. The aim of the cop-player is to prevent the robber from entering the *protected region*  $C \subsetneq V$ , also called the *cop-region*, and correspondingly the aim of the robber is to penetrate the protected region. The robber can not enter a vertex if it is occupied by a cop, and the cops guard the protected region C by blocking all vertices which the robber can use as entry points to C. We say that a cop *guards* the vertex v which he occupies.

The game is played in alternating turns. In their first move players choose their initial positions. The cops choose vertices inside C to occupy, and the robber chooses some vertex outside C to start in. In each subsequent turn the respective player can move each of his pawns to a vertex adjacent to the vertex the pawn occupies or leave the pawn in its current position. The cops are only allowed to move within the protected region C, and the robber can only move onto a vertex with no cops on it. At any time of the game both players know the positions of the cops and the robber in C. The guard game is a C0 make C1 in this case we say that the robber-player C2 wins the game. Otherwise the cop-player can forever prevent the robber-player

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from winning. In this case we say that the game is a cop-win game, that the cop-player wins the game and that the cop-player can  $guard\ C$ .

The only difference between the game considered in this article and the game studied in [19] is the order of turns. In [19] the robber had to make the first move while in the problem studied here the cop-player starts the game. Despite the similar settings, the difference between the two games can be tremendous even for very simple examples. For instance consider the graph G in Figure 1 consisting of two paths  $P_R$  and  $P_C$  connected by a perfect matching. The path  $P_C$  is the cop-region, and the task of the robber to enter  $P_C$  from  $P_R$ . If the robber starts first, then one cop is sufficient to guard C since the cop only needs to occupy the vertex in  $P_C$  which is matched to the vertex occupied by the robber after the robber-players move. If cops start first, their initial positions should form a dominating set of  $P_C$  because otherwise the robber player can start in a vertex adjacent to an undominated vertex in C and enter C on his next turn. Thus, to protect  $P_C$  in the "cops-first" variant of the game we need at least  $\lceil (V(P_C)-2)/3 \rceil$  cops. The algorithmic behavior of the two problems is also quite different. It was proved in [19] that when the robber's territory is a path, the "robber-first" variant of the game is solvable in polynomial time. In contrast, a simple reduction from the minimum dominating set problem shows that "cops-first" variant is NP-hard, see Proposition 2.

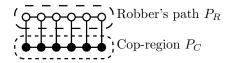


Figure 1: Paths  $P_C$  and  $P_R$  connected by a matching

A different well-studied problem, the ETERNAL DOMINATION problem which also is known as ETERNAL SECURITY is strongly related to the guard game. In the ETERNAL DOMINATION the objective is to place the minimum number of guards on the vertices of a graph G such that the guards can protect the vertices of G from an infinite sequence of attacks. In response to an attack of an unguarded vertex v, at least one guard must move to v and the other guards can either stay put, or move to adjacent vertices. Different variants of this problem have been considered in [5, 13, 12, 23, 25, 29, 28, 27]. The ETERNAL DOMINATION problem is a special case of our game. This can be seen as follows. Let G be a graph on n vertices, we construct a graph H from G by adding a clique K on n vertices and connecting the clique and G by n edges which form a perfect matching. If the cop-region of H is V(G) then G has an eternal dominating set of size k if and only if k cops can guard V(G).

**Our results.** In this paper we prove a number of algorithmic and complexity results about the guarding problem. We start with a proof that the problem is PSPACE-hard on *undirected* graphs. While many games are known to be PSPACE-hard, all known PSPACE-hardness results for cops and robbers, or pursuit evasion games are for the directed graph variant of the games [19, 24]. For example, the classical Cop and Robbers game was shown to be PSPACE-hard on directed graphs by Goldstein and Reingold in 1995 [24] whereas for undirected graphs, even an NP-hardness result was not known until very recently [20].

We show that the number of cops required to guard a graph is at most twice the number of cops required to protect the graph in the one-step variant of the game, that is when all players only make one move after the initial placement step. We show that this game is not only a good approximation of the general problem, but that for many graph classes like graphs without cycles of length 5 the two games are equivalent. We provide a number of FPT algorithms and parameterized complexity results for the one-step guarding problem. Our results include a polynomial time algorithm for the problem in graphs of bounded treewidth, a complexity result showing that our algorithm is essentially optimal, and an FPT algorithm for the problem parameterized by the treewidth and maximum degree of the input graph. Finally we use our treewidth-based algorithms to show that on graphs excluding some fixed apex graph as a minor the one-step guarding problem is FPT and admits a PTAS.

# 2 Definitions and preliminaries

We consider finite undirected graphs without loops or multiple edges. The vertex set of a graph G is denoted by V(G) and its edge set by E(G), or simply by V and E if this does not create confusion. If  $U \subseteq V(G)$  then the subgraph of G induced by U is denoted by G[U]. For a vertex v, the set of vertices which are adjacent to v is called the *(open) neighborhood* of v and denoted by  $N_G(v)$ . The *closed neighborhood* of v is the set  $N_G[v] = N_G(v) \cup \{v\}$ . If  $U \subseteq V(G)$  then  $N_G[U] = \bigcup_{v \in U} N_G[v]$ . The *distance*  $\operatorname{dist}_G(u,v)$ 

between a pair of vertices u and v in a connected graph G is the number of edges on a shortest u,v-path in G. For a positive integer r,  $N_G^r[v] = \{u \in V(G) \colon \mathrm{dist}_G(u,v) \le r\}$ . Whenever there is no ambiguity we omit the subscripts.

The length of a shortest cycle in G is called the girth of G and denoted by g(G). If G is an acyclic graph then  $g(G) = +\infty$ . We use  $\Delta(G)$  for the maximum degree of a vertex in G. Let  $C \subseteq V(G)$ , and  $R = V(G) \setminus C$ . We call the set R where the robber moves while trying to enter C the robber-region. A triple [G; C, R] is called the *board* of the game. For convenience, we keep both sets C and R in our notation despite the fact that they define each other. Clearly, the game is fully specified by the number of cops c and the board. We call the set  $\delta[G; C, R] = \{v \in C \colon N(v) \cap R \neq \emptyset\}$  the boundary of the board.

Since the game is played in alternating turns starting at turn 1, the cop-player moves his cops at odd turns, and robber-player moves the robber at even turns. Two consecutive turns  $2 \cdot i - 1$  and  $2 \cdot i$  are jointly referred to as a *round*  $i, i \geq 1$ .

A state of the game at time i is given by the positions of all cops and robbers on the board after i-1 turns. A strategy of a cop-player (strategy of a robber-player) is a function  $\mathcal{X}$  which, given the state of the game, determines the movements of the cops (the robber) in the current turn. If there are no cops (no robber) on the board, the function determines the initial positions of the cops (the robber).

The GUARDING problem is, given a board [G; R, C], to compute the minimum number of cops that can guard the protected region C. We call this number the *guard number* of the board and denote it by gn(G; C, R). Despite the differences between the robber-first and cops-first games, some of the results established in [19] carry over to the cops-first game. In particular, the following claim holds (see also [8, 24, 26]).

**Proposition 1** ([19, Proposition 1]). There is an algorithm that given an integer  $c \ge 1$  and a board [G; C, R] with the n-vertex graph G determines whether c cops can guard C in time  $\binom{|C|+c-1}{c}^2 \cdot |R|^2 \cdot n^{O(1)} = n^{O(c)}$ .

Thus for every fixed c, one can decide in polynomial time whether c cops can guard the protected region against the robber on a given graph G. The running time  $n^{O(c)}$  cannot be improved to an FPT running time unless FPT=W[2]. We refer to the book of Downey and Fellows [16] for an introduction to parameterized complexity. A reduction from the DOMINATING SET problem yields the following proposition.

**Proposition 2.**  $[\star]^1$  The Guarding problem is NP-hard. The Guarding Decision problem parameterized by the number c of guards is W[2]-hard. Finally, there is a constant  $\rho > 0$  such that there is no polynomial time algorithm that, for every instance, approximates the guard number within a multiplicative factor  $\rho \log n$ , unless P = NP. Both the hardness results and the inapproximability result hold even when the robber territory is an independent set or a path.

# 3 Hardness of guarding

Fomin et al. proved in [19] that the robber-first variant of GUARDING problem is PSPACE-hard for *directed* graphs. For undirected graphs only NP-hardness was proved and PSPACE-hardness was left as an open question. In this section we prove that the cops-first game is PSPACE-hard both for undirected and directed graphs. It should be noted that the complexity analysis for Cops and Robbers games for undirected graphs is much more complicated than for directed graphs (see e.g. [24]).

 $<sup>^{1}</sup>$ Proofs of results marked with  $[\star]$  have been moved to the appendix

#### **Theorem 1.** *The* GUARDING *problem is* PSPACE-hard on undirected graphs.

*Proof.* We reduce the PSPACE-complete QUANTIFIED BOOLEAN FORMULA IN CONJUNCTIVE NORMAL FORM (QBF) problem [22] to the decision variant of the GUARDING problem. For a set of Boolean variables  $x_1, x_2, \ldots, x_n$  and a Boolean formula  $F = C_1 \wedge C_2 \wedge \cdots \wedge C_m$ , where  $C_j$  is a clause, the QBF problem asks whether the expression  $\phi = Q_1 x_1 Q_2 x_2 \cdots Q_n x_n F$  is true, where for every  $i, Q_i$  is either  $\forall$  or  $\exists$ .

Given a quantified Boolean formula  $\phi$ , we construct an instance G of a guard game in several steps. We first construct a graph G' and show that if the robber strategy is restricted to some specific conditions, then  $\phi$  is true if and only if the cop player can win on G' with a a specific number of cops. This part of the proof is described in Lemmata 1 and 2. Then we construct the graph G'' from G' by adding gadgets which force the robber to choose a particular vertex as starting vertex. Finally we construct the graph G from G' by adding gadgets that force the robber to follow the restricted strategy described in Lemmata 1 and 2. We prove that these gadgets indeed work as intended in Lemma 4.

Constructing G'. For every  $Q_i x_i$  we introduce a gadget graph  $G_i$ . For  $Q_i = \forall$ , we define the graph  $G_i(\forall)$  with vertex set  $\{u_{i-1}, u_i, x_i, \overline{x}_i, y_i, \overline{y}_i, z_i, \overline{z}_i, a_i, \overline{a}_i, s_i, t_i\}$  and edge set  $\{u_{i-1}y_i, y_iu_i, u_{i-1}\overline{y}_i, \overline{y}_iu_i, y_ia_i, a_iz_i, x_iz_i, \overline{y}_i\overline{a}_i, \overline{a}_i\overline{z}_i, \overline{x}_i\overline{z}_i, x_is_i, x_it_i, \overline{x}_is_i, \overline{x}_it_i\}$ . Let  $S_i = \{x_i, \overline{x}_i, z_i, \overline{z}_i, s_i, t_i\}$ . For  $Q_i = \exists$ , we define  $G_i(\exists)$  as the graph with vertex set  $\{u_{i-1}, u_i, x_i, \overline{x}_i, y_i, z_i, a_i, s_i, t_i\}$  and edge set  $\{u_{i-1}y_i, y_iu_i, y_ia_i, a_iz_i, x_iz_i, \overline{x}_iz_i, x_is_i, x_it_i, \overline{x}_is_i, \overline{x}_it_i\}$ , and  $S_i = \{x_i, \overline{x}_i, z_i, s_i, t_i\}$ . The graphs  $G_i(\forall)$  and  $G_i(\exists)$  are shown in Figure 2. Observe that the vertex  $u_i$  appears both in the gadget graph  $G_i$  and in the gadget  $G_{i+1}$  for  $i \in \{1, 2, \dots, n-1\}$ .

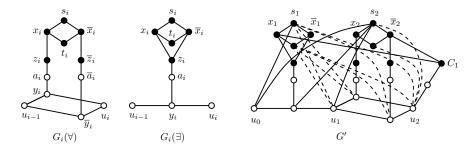


Figure 2: Graphs  $G_i(\forall)$ ,  $G_i(\exists)$  (vertices of  $S_i$  are shown by the black color) and G'

The graph G' also has vertices  $C_1, C_2, \ldots, C_m$  corresponding to clauses. The vertex  $x_i$  is joined with  $C_j$  by an edge if  $C_j$  contains the literal  $x_i$ , and  $\overline{x}_i$  is joined with  $C_j$  if  $C_j$  contains the literal  $\overline{x}_i$ . The vertex  $u_n$  is connected with all vertices  $C_1, C_2, \ldots, C_m$  by paths of length two with middle vertices  $w_1, w_2, \ldots, w_m$ . For every  $i \in \{1, 2, \ldots, n\}$ , the vertex  $s_i$  is joined by edges with all vertices  $u_j, y_j$  and  $\overline{y}_j$  for  $0 \le j < i$ , and the vertices  $s_i$  and  $t_i$  are connected by paths of length two with  $u_i$  and with all vertices  $u_j, y_j$  and  $\overline{y}_j$  for  $i < j \le n$ . Let W be the set of middle vertices of these paths. This completes the construction of G'.

Let  $C' = S_1 \cup S_2 \cup \cdots \cup S_n \cup \{C_1, C_2, \ldots, C_m\}$  be the cop-region of G', and  $R' = V(G') \setminus C'$  be the robber-region. An example of G' for  $\phi = \exists x_1 \forall x_2 \ x_1 \lor \overline{x_2}$  is shown in Fig 2. The paths added in the last stage of the construction are shown by dashed lines and the vertices in W are not shown.

We proceed to prove several properties of G'.

**Lemma 1.**  $[\star]$  If  $\phi = false$ , then the robber-player has a winning strategy on the board [G'; C', R'] against c' = n cops.

If the actions of the robber are restricted only to special strategies, then the condition  $\phi = false$  is not only sufficient but also necessary for the robber to win.

**Lemma 2.**  $[\star]$  Suppose that the robber can use only strategies with the following properties:

• he starts from  $u_0$ ,

• he moves along edges  $u_{i-1}y_i, y_iu_i, u_{i-1}\overline{y}_i, \overline{y}_iu_i$  only in the direction induced by this ordering, i.e. these edges are "directed" for him.

Then if  $\phi = true$ , then c' = n cops can win on [G'; C', R'].

Constructing G''. We now add gadgets to G' that "force" the robber-player to start in the vertex  $u_0$ . We take a path  $bc_1c_2c_3p_0q_0p_1q_1p_2q_2\dots p_{2n}q_{2n}$  and make each vertex  $u_i$  be adjacent with the vertices  $p_{2i}, p_{2i+1}, \dots, p_{2n}$ . Then we make the vertices  $y_i$  and  $\overline{y}_i$  be adjacent to vertices  $p_{2i-1}, p_{2i}, \dots, p_{2n}$ . The vertex  $q_{2n}$  is adjacent to all vertices  $z_i, \overline{z}_i, t_i$  and also to all vertices  $C_j$ . Denote the obtained graph by G'', and let  $C'' = C' \cup \{c_1, c_2, c_3, p_0, q_0, p_1, q_1, p_2, q_2, \dots, p_{2n}, q_{2n}\}$ ,  $R'' = R' \cup \{b\}$ . See Fig 3, for the fragment of G''. This figure shows how the gadget is attached to G', where G' is taken from the example in Fig 2.

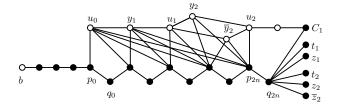


Figure 3: Construction of G''

Properties of G'' are summarized in the next lemma.

**Lemma 3.**  $[\star]$  *Let* c'' = 3n + 2.

- If  $\phi = false$ , then the robber can win on [G''; C'', R''] against c'' cops;
- If the starting vertex r of the robber is not  $u_0$ , then c'' cops win;
- If the robber can move along edges  $u_{i-1}y_i, y_iu_i, u_{i-1}\overline{y}_i, \overline{y}_iu_i$  only from the first vertex to the next and  $\phi = true$ , then c'' cops win.

Constructing G. Finally we add a gadget which makes it pointless for the robber to move on the edges  $u_{i-1}y_i, y_iu_i, u_{i-1}\overline{y}_i, \overline{y}_iu_i$  in the "wrong" direction. We introduce the path  $P = der_0r_1 \dots r_{2n+1}$ , and two vertices  $f_1$  and  $f_2$ . The vertices  $f_1$  and  $f_2$  are made adjacent to vertices  $r_0, r_1, \dots, r_{2n+1}$ , and they are joined with all vertices  $u_i, y_i, \overline{y_i}$  by paths of length two. Denote by  $W_1$  the set of middle vertices of paths with the endpoint  $f_1$ , and by  $W_2$  the set of middle vertices of paths with the endpoint  $f_2$ . Every vertex  $r_k$  is made adjacent to all vertices  $z_i, \overline{z_i}$  for  $1 \le i \le \frac{k}{2}$ . Vertex  $r_{2n+1}$  is adjacent to  $C_1, C_2, \dots, C_m$ . Denote the obtained graph G, and define  $C = C'' \cup \{e, r_0, r_1, \dots, r_{2n+1}, f_1, f_2\}$ ,  $R = V(G) \setminus C$ . See Figure 4 for the fragment of G. In this figure, the gadget is attached to G'' depicted in Fig 3. The paths added in the last stage of the construction are shown by dashed lines and the vertices of  $W_1$  and  $W_2$  are not shown.

**Lemma 4.** [\*] The robber has a winning strategy on [G; C, R] against c = 3n + 3 cops if and only if  $\phi = false$ .

The size of the graph G is bounded by a polynomial of n and m, and therefore, the proof of Lemma 4 completes the proof of the theorem.

The statement of Theorem 1 also holds for directed graphs since we can model an edge with two arcs, one going in each direction. Moreover, by using a simplified variant of our reduction, it can be proved that the GUARDING problem is PSPACE-hard even on directed acyclic graphs.

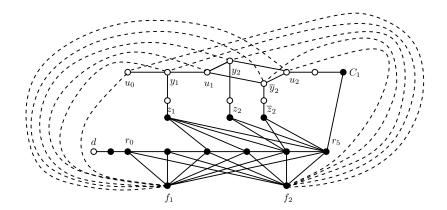


Figure 4: Construction of G

# 4 One-step guarding

## 4.1 The One-step guard number

In any cop-winning strategy, when the robber occupies some vertex  $u \in R$ , the cops should prevent him from entering C by blocking all vertices of  $C \cap N(u)$ . Since the robber makes his first move after the cops have chosen their initial positions, the cops have to start from an initial position such that for every vertex  $u \in R$  they can occupy all vertices of  $C \cap N(u)$  in one step. Thus it is not unreasonable that the number of cops needed to protect C from a robber that is only allowed to make one move after the initial step approximates the guard number of the board. Consider the variant of the game, where the robber is allowed to make only one move after the placement step. We call this variant of the game the *one-step* game. Then the minimum number of cops sufficient to guard the graph in this game is called the *one-step* guard number, and we denote the one-step guard number for the board [G; C, R] by  $\operatorname{gn}_1(G; C, R)$ . We call the problem of computing the *one-step* guard number of a graph by the ONE-STEP GUARDING problem. In the ONE-STEP GUARDING problem, a strategy for the cop-player on the board [G; C, R] is defined as a pair  $S = (s, \mathcal{F})$  where

- s is a mapping assigning to every vertex v of C a non-negative integer s(v) the number of cops in v.
- $\mathcal{F} = \{f_u\}_{u \in R}$  is a family of functions  $f_u \colon C \cap N(u) \to C$  defining moves of cops if the robber occupies u (a cop moves to  $w \in C \cap N(u)$  from  $f_u(w)$ ), such that for every  $w \in C \cap N(u)$ ,  $f_u(w) \in N[w]$ , and for every  $v \in C$ ,  $|\{w \in C \cap N(u) \colon f_u(w) = v\}| \leq s(v)$ .

If  $X \subseteq C$ , then  $s(X) = \sum_{v \in X} s(v)$ . We say that  $\mathcal{S}$  is a winning strategy for c cops if  $s(C) \le c$ . The simple but useful property of the one-step guard number is that it depends only on the local structure of the border neighborhood. We formalize this property in the following proposition, whose proof follows directly from the definition of one-step guarding.

**Proposition 3.** For every board [G; C, R],  $\mathbf{gn}_1(G; C, R) = \mathbf{gn}_1(G'; C', R')$  for  $G' = G[N_G[\delta[G; C, R]]]$ ,  $C' = C \cap N_G[\delta[G; C, R]]$  and  $R' = R \cap N_G[\delta[G; C, R]]$ .

The one-step guard number gives the following approximation of the guard number.

**Theorem 2.** For any board [G; C, R],  $\mathbf{gn}_1(G; C, R) \leq \mathbf{gn}(G; C, R) \leq 2 \cdot \mathbf{gn}_1(G; C, R)$ .

*Proof.* The lower bound follows directly from the definitions of both games. To prove the upper bound let us assume that  $S = (s, \mathcal{F})$  is a winning strategy for  $\mathbf{gn}_1(G; C, R)$  cops in the one-step game. We put 2s(v) cops on every vertex  $v \in C$  and divide them into two equal size teams. Then the cops perform the following actions. When the robber moves to some vertex u, the cops from the first team move to all

vertices of  $C \cap N(u)$  according to the mapping  $f_u$ . When the robber moves to another vertex w the cops from the first team return to their original positions, and the cops from the second team move to all vertices of  $C \cap N(w)$ . Then the second team returns and the first team moves to guard C, and so on. Clearly, this is a winning strategy for  $2 \cdot \mathbf{gn}_1(G; C, R)$  cops in the original guard game.

A tightness of the upper bound can be seen from the following example. Let G be a graph with vertices x, y, z, such that the vertices x and y are adjacent. The vertices x and z, and the vertices y and z are joined by k paths of length two. Let  $R = \{x, y\}$ , and  $C = V(G) \setminus R$ . It can be easily shown that  $\mathbf{gn}_1(G; C, R) = k$  and  $\mathbf{gn}(G; C, R) = 2k$ . We now show that the lower bound is tight for a large collection of boards.

**Theorem 3.** Let [G; C, R] be a board such that for every cycle  $C_5$  of length 5 in G,  $|E(C_5) \cap E(G[R])| \neq 1$ . Then  $\mathbf{gn}_1(G; C, R) = \mathbf{gn}(G; C, R)$ .

*Proof.* Suppose every cycle of length 5 either has more than one edge in G[R], or has no edges at all. Since  $\operatorname{gn}_1(G;C,R) \leq \operatorname{gn}(G;C,R)$  always holds, it is sufficient to prove that  $\operatorname{gn}_1(G;C,R) \geq \operatorname{gn}(G;C,R)$ . Let  $S = (s,\mathcal{F})$  be a winning strategy for  $\operatorname{gn}_1(G;C,R)$  cops in the one-step game. We describe the strategy for  $\operatorname{gn}_1(G;C,R)$  cops in the general guard game as follows. We put s(v) cops on every vertex  $v \in C$ . When the robber moves to some vertex u, the cops move to all vertices of  $C \cap N(u)$  according to  $f_u$ . When the robber moves to another vertex w from u, the cops which moved in the previous round, return to their original positions and other cops move to all vertices of  $C \cap N(w)$  according to  $f_w$ . If  $f_u(x) = f_w(x)$  for some  $x \in C \cap N(u) \cap N(w)$ , then the cop remains in x. The only possible situation in which the cops are not able to move as described above is if there are vertices  $x \in C \cap N(u)$  and  $y \in C \cap N(w)$ ,  $x \neq y$ , for which  $f_u(x) = f_w(y)$ ,  $f_u(x) \neq x$  and  $f_w(y) \neq y$ . This can happen only if  $C_5$  is a subgraph of G with exactly one edge uw in G[R]. Now we can assume that u := w and repeat the above strategy.

Since the set R in the proof of Proposition 2 is an independent set, it immediately follows from Propositions 2 and 3 that the computation of the one step guard number is difficult.

**Corollary 1.** The decision version of the ONE-STEP GUARDING problem is NP-complete and it remains NP-complete for planar graphs. Moreover, the parameterized version of the problem with k being a parameter is W[2]-hard. Also, there is a constant  $\rho > 0$  such that there is no polynomial time algorithm that, for every instance, approximate the border dominating number within a multiplicative factor  $\rho \log n$ , unless P = NP.

Despite the algorithmic lower bounds in Corollary 1, it is sometimes possible to use the one-step guard number for an approximation of the guard number.

Let us consider a generalization of the DOMINATING SET problem called BLACK AND WHITE DOMINATING SET problem (see e.g. [3]). The input is a black and white graph, which simply means that the vertex set of the graph G has been partitioned into two disjoint sets B and W of black and white vertices. Given a black and white graph G, the problem is to find a dominating set  $X \subset V(G)$  of the minimum cardinality which dominates B, i.e. such that for each vertex  $v \in B$ ,  $N_G[v] \cap X \neq \emptyset$ . We call the cardinality of such a set the black and white domination number and denote it by  $\gamma(G; B, W)$ . Observe for any cop-winning strategy the set of vertices occupied by the cops in the beginning of the game has to dominate the boundary  $\delta[G; C, R]$ . This yields the following proposition about the relationship between black and white domination and one-step graph guarding.

**Proposition 4.** For any board [G; C, R],  $\gamma(G[C]; \delta[G; C, R], C \setminus \delta[G; C, R]) \leq \mathbf{gn}_1(G; C, R)$ .

These two parameters can be arbitrarily far apart. Consider the graph G constructed from two vertices u and v by joining them by k paths of length two with middle vertices  $w_1,\ldots,w_k$ , and let  $C=\{v,w_1,\ldots,w_k\}$ . Obviously,  $\mathbf{gn}_1(G;C,R)=k$  and  $\gamma(G[C];\delta[G;C,R],C\setminus\delta[G;C,R])=1$ . Still there are cases when these parameters coincide.

**Proposition 5.**  $[\star]$  Let [G; C, R] be a board such that  $g(G) \geq 5$ . Then  $\gamma(G[C]; \delta[G; C, R], C \setminus \delta[G; C, R]) = \mathbf{gn}_1(G; C, R)$ .

Combining Theorem 3 and Proposition 5, we obtain the next corollary.

**Corollary 2.** Let [G; C, R] be a board such that  $g(G) \ge 6$ . Then  $\gamma(G[C]; \delta[G; C, R], C \setminus \delta[G; C, R]) = \mathbf{gn}_1(G; C, R) = \mathbf{gn}(G; C, R)$ .

It is known [30] that the parameterized variant of the BLACK AND WHITE DOMINATING SET problem with the cardinality of dominating set being the parameter is FPT for graphs of girth at least 5. Together with Theorem 3 this yields the following corollary.

**Corollary 3.** The (ONE-STEP) GUARDING and GUARDING problems are FPT when parameterized by the number of cops for boards [G; C, R] with  $g(G) \ge 6$ .

# 4.2 One-step guarding for sparse graphs

In this section we consider the ONE-STEP GUARDING problem in graphs of bounded treewidth. Due to lack of space the definition of treewidth, tree-decomposition and nice tree-decomposition has been moved to the appendix. It is well known that many problems, which are difficult for generals graphs, can be solved in polynomial time in graphs of bounded treewidth. We show here that this is also the case for the computation of the one-step guard number. We construct a dynamic programming algorithm for this problem.

**Theorem 4.**  $[\star]$  Let G be an n vertex graph given with its tree decomposition of width t. Then  $\mathbf{gn}_1(G;C,R)$  can be computed in time  $h(t)n^{O(t^2)}$ , where h is some function of t.

Note that this algorithm is polynomial if the treewidth if fixed, but it is not an FPT algorithm when t is the parameter. In what follows, we show that (up to widely believed assumption that  $FPT \neq W[1]$ ) the ONE-STEP GUARDING problem parameterized by the treewidth of the input graph is not FPT.

**Theorem 5.** The ONE-STEP GUARDING problem is W[1]-hard when parameterized by the treewidth of the input graph.

*Proof.* We reduce from the CAPACITATED DOMINATING SET problem. A capacitated graph is a pair (G,c) where G is a graph and  $c\colon V(G)\to \mathbb{N}$  is a capacity function such that  $1\le c(v)\le \deg v$  for every vertex  $v\in V(G)$ . A set  $S\subset V(G)$  is called a capacitated dominating set if there is a domination mapping  $g\colon V(G)\setminus S\to S$  which maps every vertex in  $(V(G)\setminus S)$  to one of its neighbors such that the total number of vertices mapped by g to any vertex  $v\in S$  does not exceed its capacity c(v). The Capacitated Dominating Set problem is defined as follows. Given a capacitated graph (G,c) and a positive integer k, determine whether there exists a capacitated dominating set S for S containing no more than S vertices. It was proved by Dom et al. [15] that this problem is S [1]-hard when parameterized by treewidth and S.

We start with descriptions of auxiliary gadgets. For a positive integer r, we construct the graph G(r) as follows. Two vertices u and v are introduced and joined by r paths of length three. Denote by  $ux_iy_iv$  the i-th path. Then the vertex w is added and joined by edges with vertices  $y_1, y_2, \ldots, y_r$ , and for every vertex  $x_i$ , a leaf  $z_i$  is included and joined with  $x_i$ . The example of such a graph is shown in Fig 5. Let  $R(G(r)) = \{w, z_1, z_2, \ldots, z_r\}$  and  $C(G(r)) = V(G(r)) \setminus R(G(r))$ .

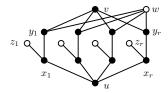


Figure 5: Graph G(r)

This graph has the following properties.

**Lemma 5.** Suppose that  $S = (s, \mathcal{F})$  is a strategy for the cop-player for the board [G(r); C(G(r)), C(G(r))]. Then

- $s(C(G(r)) \setminus \{u\}) \ge r$ ;
- if s(C(G(r))) = r, then s(u) = 0 and s(v) = 0.

Also, let

$$s_1(t) = \begin{cases} r & \text{if } t = v, \\ 1 & \text{if } t = u, \\ 0 & \text{if } t \neq u, v; \end{cases} \text{ and } s_2(t) = \begin{cases} 1 & \text{if } t = y_i, \\ 0 & \text{if } t \neq y_i. \end{cases}$$

Then there are cop strategies  $S_1 = (s_1, \mathcal{F}_1)$  and  $S_2 = (s_2, \mathcal{F}_2)$  for [G(r); C(G(r)), C(G(r))].

Proof. The first claim follows immediately from the observation that for  $f_w \in \mathcal{F}$ ,  $f_w(y_i) \in \{x_i, y_i, v\}$ , and therefore,  $\sum_{i=1}^r s(x_i) + \sum_{i=1}^r s(y_i) + s(v) \ge r$ . Since  $s(C(G(r)) \setminus \{u\}) \ge r$ , we have that if s(C(G(k))) = r, then s(u) = 0. Suppose that  $s(v) \ne 0$ .

Since  $s(C(G(r)) \setminus \{u\}) \ge r$ , we have that if s(C(G(k))) = r, then s(u) = 0. Suppose that  $s(v) \ne 0$ . Then for some  $i \in \{1, 2, \dots, r\}$ ,  $s(x_i) = s(y_i) = 0$ . But  $f_{z_i}(x_i) \in \{x_i, y_i, u\}$ , which is a contradiction. The last claim is true because we can define  $f_w(y_i) = v$  and  $f_{z_i}(x_i) = u$  in  $\mathcal{F}_1$ , and  $f_w(y_i) = x_i$  and  $f_{z_i}(x_i) = x_i$  in  $\mathcal{F}_2$ .

Now we are ready to describe our reduction. Let (G,c) be a capacitated graph with the vertex set  $\{a_1,a_2,\ldots,a_n\}$ , and let k be a positive integer. For every  $i\in\{1,2,\ldots,n\}$ , we introduce a copy of  $G(c(a_i))$ . Denote this graph by  $G_i$ , and denote by  $u_i$  and  $v_i$  vertices u and v of  $G_i$ . For every edge  $a_ia_j$  of G, a pair of edges  $u_iv_j$  and  $u_jv_i$  is added. Then 2k vertices  $b_1,b_2,\ldots,b_k$  and  $d_1,d_2,\ldots,d_k$  are included, and all vertices  $b_i$  and  $d_i$  are joined by edges with  $u_1,u_2,\ldots,u_n$ . Now a vertex p is added and joined with  $u_1,u_2,\ldots,u_n$ . And, finally, vertices  $q_1$  and  $q_2$  are introduced,  $q_1$  is joined with  $b_1,b_2,\ldots,b_k$  by edges, and  $q_2$  is joined with  $d_1,d_2,\ldots,d_k$ . Denote the obtained graph by H, and let  $C=(\bigcup_{i=1}^n C(G_i))\cup \bigcup_{i=1}^n C(G_i)$ 

$$\{b_1, b_2, \dots, b_k\} \cup \{d_1, d_2, \dots, d_k\}$$
 and  $R = (\bigcup_{i=1}^n R(G_i)) \cup \{p, q\}$ . Let also  $r = \sum_{i=1}^n c(a_i) + k$ .

**Lemma 6.**  $[\star]$  *Graph* (G,c) *has a capacitated dominating set of a size at most* k *if and only if*  $\mathbf{gn}_1(H;C,R) \leq r$ .

Now we prove that when the treewidth of G is bounded the treewidth of H is bounded as well.

**Lemma 7.** 
$$[\star]$$
 **tw** $(H) \le 2 \cdot \mathbf{tw}(G) + 2k + 4$ .

The Capacitated Dominating Set problem is W[1]-hard if parameterized both by the size of the capacitated dominating set and the treewidth, and this completes the proof of the theorem.

Since the set R in the proof of the theorem is independent, by Proposition 3, we have the following corollary.

**Corollary 4.** The parameterized version of the GUARDING problem with the treewidth of the input graph being a parameter is W[1]-hard.

In the following theorem we show that with some additional restrictions on graphs the ONE-STEP GUARDING problem become fixed parameter tractable.

**Theorem 6.**  $[\star]$  For any positive integers t and d,  $\mathbf{gn}_1(G;C,R)$  can be computed in linear time for boards [G;C,R], with  $\mathbf{tw}(G) \leq t$  and  $\Delta(G) \leq d$ .

# 4.3 One-step guarding for apex-minor-free graphs

Our results for graphs of bounded treewidth can be used for approximation of the one-step guard number for some graph classes.

It is said that a graph class  $\mathcal{G}$  has bounded local treewidth with bounding function f if there is a function  $f:\mathbb{N}\to\mathbb{N}$  such that for every graph  $G\in\mathcal{G}$ , every  $v\in V(G)$ , and every positive integer r it holds that  $\mathbf{tw}(G[N^r[v]])\leq f(r)$ . An apex graph is a graph obtained from a planar graph G by adding a vertex and making it adjacent to some vertices of G. A graph class is apex-minor-free if it does not contain any graph with some fixed apex graph as a minor. For example, planar graphs (and bounded-genus graphs) are apex-minor-free graphs.

Eppstein [17, 18] characterized all minor-closed graph classes that have bounded local treewidth. It was proved that they are exactly apex-minor-free graphs. These results were improved by Demaine and Hajiaghayi [14]. They proved that all apex-minor-free graphs have linear local treewidth. We show that there is a polynomial time approximation scheme (PTAS) on the class of apex-minor-free graphs for the computation of the one-step guard number. To do this we use the well known technique for solving NP-hard problems on planar graphs proposed by Baker [7] and generalized by Eppstein [17, 18] (see also [14]) to minor-closed graph classes with bounded local treewidth. We start with a simple observation.

**Lemma 8.** [\*] Let  $[G_1; C_1, R_2]$  and  $[G_2; C_2, R_2]$  be two boards such that  $C_1 \cap R_2 = C_2 \cap R_1 = \emptyset$ . Then  $\mathbf{gn}_1(G; C, R) \leq \mathbf{gn}_1(G_1; C_1, R_1) + \mathbf{gn}_1(G_2, C_2, R_2)$ , where  $G = G_1 \cup G_2$ ,  $C = C_1 \cup C_2$  and  $R = R_1 \cup R_2$ .

Let u be a vertex of a graph G. For  $i \geq 0$  we denote by  $L_i$  the i-th level of breadth first search from u, i.e. the set of vertices at distance i from u. We call the partition of the vertex set V(G)  $\mathcal{L}(G,u) = \{L_0, L_1, \ldots, L_r\}$  breadth first search (BFS) decomposition of G. We assume for convenience that for a BFS decomposition  $\mathcal{L}, (G,u)$   $L_i = \emptyset$  whenever i < 0 or i > r, The BFS decomposition can be constructed using a breadth first search in a linear time.

Let [G;C,R] be a board, and let G be a graph with BFS decomposition  $\mathcal{L}(G,u)=(L_0,L_1,\ldots,L_r)$ , and t be a positive integer. Suppose that  $i\leq j$  are integers. For  $i\leq j$ , we define  $G_{ij}=G[\bigcup_{p=i}^{j}L_p]$ . For all  $i\leq j$ , we set  $C_{i,j}=C\cap G_{i-2,j+2},$   $R_{i,j}=R\cap G_{i,j}$  and  $F_{i,j}=G[C_{i,j}\cup R_{i,j}]$ . The following result is due to Demaine and Hajiaghayi [14] (see also the paper by Eppstein [18]),

**Lemma 9** ([14]). Let G be an apex-minor-free graph. Then  $\mathbf{tw}(F_{ij}) = O(j-i)$ .

Now we are ready to describe our algorithm. Let  $k \ge 4$  be an integer. For a given board [G; C, R] for an apex-minor-free graph G, the BFS decomposition  $\mathcal{L}(G, u) = (L_0, L_1, \dots, L_r)$  is constructed for some vertex u.

If  $r \leq k$  then  $\mathbf{gn}_1(G; C, R)$  is computed directly. We use the fact that  $\mathbf{tw}(G) = O(k)$  and, for example, use Bodlaender's Algorithm [9] to construct in linear time a suitable tree decomposition of G. Then, by Theorem 4,  $\mathbf{gn}_1(G; C, R)$  can be computed in a polynomial time.

Suppose now that r>k. Let  $F_i=F_{i,i+k-1},$   $C_i=C_{i,i+k-1}$  and  $R_i=R_{i,i+k-1}$ . For  $i=1,\ldots,k$ , we construct boards  $[F_{i+(j-1)\cdot k};\ C_{i+(j-1)\cdot k},R_{i+(j-1)\cdot k}]$  for  $0\leq j\leq p=\lceil\frac{r-i+1}{k}\rceil+1$ , and compute

$$c_i = \sum_{j=0}^p \mathbf{gn}_1(F_{i+(j-1)\cdot k}; C_{i+(j-1)\cdot k}, R_{i+(j-1)\cdot k}).$$

We approximate  $\mathbf{gn}_1(G; C, R)$  by the value  $\mathbf{gn}_1'(G; C, R) = \min\{c_i : i \in \{1, \dots, k\}\}$ . The following lemma gives properties of the algorithm.

**Lemma 10.** For any board [G; C, R] for an apex-minor-free graph G and for each fixed positive integer k,

1.  $gn'_1(G; C, R)$  can be computed in a polynomial time.

2. 
$$\mathbf{gn}_1(G; C, R) \leq \mathbf{gn}'_1(G; C, R) \leq (1 + \frac{4}{k}) \cdot \mathbf{gn}_1(G; C, R)$$
.

*Proof.* We use the fact than 
$$\bigcup_{j=1}^p F_{i+(j-1)\cdot k} = G$$
,  $\bigcup_{j=1}^p C_{i+(j-1)\cdot k} = C$  and  $\bigcup_{j=1}^p R_{i+(j-1)\cdot k} = R$ . The first claim of the lemma follows immediately from Theorem 4 and Lemma 9. The inequality

The first claim of the lemma follows immediately from Theorem 4 and Lemma 9. The inequality  $\mathbf{gn}_1(G;C,R) \leq \mathbf{gn}_1'(G;C,R)$  is an easy corollary of Lemma 8. So it remained to prove the inequality  $\mathbf{gn}_1'(G;C,R) \leq (1+\frac{4}{k}) \cdot \mathbf{gn}_1(G;C,R)$ .

Let  $\mathcal{S}=(s,\mathcal{F})$  be a strategy for  $\operatorname{gn}(G;C,R)$  cops on the board [G;C,R]. Consider the strategy  $\mathcal{S}_i=(s_i,\mathcal{F}_i)$  for  $[F_i;C_i,R_i]$  where  $s_i(v)=s(v)$  for  $v\in C_i$  and  $\mathcal{F}_i=\{f_u\in\mathcal{F}\colon u\in R_i\}$ . Since for any  $u\in R_i$  and each  $v\in N_G[u]\cap C$ ,  $N_G[v]\cap C\subseteq C_i$ ,  $\mathcal{S}_i$  is a valid winning strategy for  $s(C_i)$  cops and hence  $\operatorname{gn}_1(F_i;C_i,R_i)\leq s(C_i)$ . Observe that for consecutive sets  $C_{i+(j-1)\cdot k}$  and  $C_{i+j\cdot k},C_{i+(j-1)\cdot k}\cap C_{i+j\cdot k}\subseteq (L_{i+j\cdot k-2}\cup L_{i+j\cdot k-1}\cup L_{i+j\cdot k}\cup L_{i+j\cdot k+1})\cap C$ . Since  $\operatorname{gn}'_1(G;C,R)=\min\{c_i\colon i\in\{1,\ldots,k\}\}$ ,  $\operatorname{gn}'_1(G;C,R)\leq s(C)+\frac{4}{k}\cdot s(C)=(1+\frac{4}{k})\cdot \operatorname{gn}_1(G;C,R)$ .

Finally, we have the following claim.

**Theorem 7.** The problem of computation of the one-step guard number admits a PTAS for classes of apex-minor-free graphs and hence, for planar graphs and for graphs with bounded genus).

Using Theorem 2, we get an approximation for the guard number.

**Corollary 5.** For any  $\varepsilon > 0$ , the guard number can be approximated within the factor  $2 + \epsilon$  in a polynomial time for apex-minor-free graph classes.

Notice that Corollary 5 together with Proposition 3 yields a PTAS for the guard number of a restricted class of apex-minor-free graphs. This class includes, but is not limited to the apex-minor-free graphs with girth at least 6. For some special cases it is possible to get better results for planar graphs.

**Theorem 8.**  $[\star]$  Let [G; C, R] be a board such that G is a planar graph which is embedded in such a way that all vertices of  $R \cap N[C]$  lay on the boundary of the external face of G[N[C]]. Then  $\mathbf{gn}_1(G; C, R)$  can be computed polynomially.

This theorem means that in this case the guard number can be approximated polynomially within the factor 2. Moreover, for some cases (see Proposition 3) the guard number itself can be computed polynomially. For example, when G is bipartite. Note also that it is possible to give a "symmetric" sufficient conditions for the board.

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# **Appendix - Omitted Proofs**

# 4.4 Proof of Proposition 2

*Proof.* We reduce from the DOMINATING SET problem. This problem asks about the existence of a set  $S \subset V(G)$  of the size at most k such that N[S] = V(G). It is well known ([16]) that this problem W[2]-hard when k is the parameter. For a graph G, we construct the graph G' by adding one leaf to each vertex of G. Let C = V(G) and  $R = V(G') \setminus V(G)$ . It is easy to see that k cops guard the board [G'; C, R] if and only if there is a dominating set of the size at most k in G. We combine this reduction and the non-approximability of the MINIMUM DOMINATING SET problem [31] to arrive at the inapproximability of the GUARDING problem. This proves the statement of the proposition for the case when the robbers territory is an independent set. To prove the statement for a path, one should connect the added leaves to form a path, and subdivide each edge of this path by two vertices.

#### **Proof of Theorem 1**

*Proof.* Suppose that  $\phi = false$ . We describe a winning strategy for the robber-player. Independently of the initial positioning of the cops, the robber places himself on  $u_0$ . After this, the cops must respond by occupying  $s_1, s_2, \ldots, s_n$ , because otherwise the robber wins in the next move. Now, the robber starts moving towards the vertex  $u_n$  along some path in G'[R']. Every time the robber is placed on a vertex  $y_i$  of  $G_i(\forall)$ , there should be a cop responding to this move by moving to  $x_i$  from  $s_i$ , and if the robber occupies  $\overline{y}_i$ , then some cop has to move to  $\overline{x}_i$ . Otherwise the robber can move onto  $z_i$  or  $\overline{z}_i$  moving from  $y_i$  or  $\overline{y}_i$ along a path of length two. Note that the cop standing on  $s_i$  cannot leave  $s_i$  before the robber enters  $y_i$  or  $\overline{y}_i$ , because otherwise the robber could move to  $s_i$  and win. Thus, the cops are "forced" to occupy vertices that correspond to literals. Similarly, if the robber occupies the vertex  $y_i$  in  $G_i(\exists)$ , then a cop is forced to move from  $s_i$  to  $x_i$  or  $\overline{x}_i$ , and this cop can choose which vertex out of  $x_i$  and  $\overline{x}_i$  to occupy. In both cases, a cop can not leave from the vertices  $x_i$  or  $\overline{x}_i$  after the robber leaves  $y_i$  or  $\overline{y}_i$ , since otherwise the robber can move to  $s_i$  or to  $t_i$  along the path of length two from his current position. Since  $\phi = false$ , we have that the robber can choose between  $y_i$  and  $\overline{y}_i$  in the gadgets  $G_i(\forall)$  such that no matter how the cop player chooses to place the cops on  $x_i$  or  $\overline{x}_i$  in the gadgets  $G_i(\exists)$ , when the robber arrives at  $u_n$  at least one vertex  $C_i$  has no cops on a vertex adjacent to it. Then the robber moves to this vertex along the edges  $u_n w_i$ ,  $w_i C_i$ , and enters the cops territory.

# **Proof of Lemma 2**

Proof. Assume that  $\phi = true$ . We describe a winning strategy for the cop-player. The cops start by occupying vertices  $s_1, s_2, \ldots, s_n$ . If at any point during the game the robber moves to a vertex  $y_i$  from  $u_{i-1}$  of  $G_i(\forall)$ , then the cop occupying  $s_i$  moves to  $x_i$  and it the corresponding variable  $x_i$  is set to true. If the robber moves to  $\overline{y}_i$ , then the cop moves to  $\overline{x}_i$  and  $x_i = false$ . It means that for a quantified variable  $\forall x_i$ , the robber chooses the value of  $x_i$ . If the robber moves to  $y_i$  of  $G_i(\exists)$  from  $u_{i-1}$ , then the cops reply by moving a cop from  $s_i$  to  $x_i$  or  $\overline{x}_i$ , and this represents the value of the variable  $x_i$ . So for a quantified variable  $\exists x_i$ , the cops choose the value of  $x_i$ . If the robber moves from  $y_i$  to  $a_i$  in  $G_i(\forall)$ , then a cop moves from  $x_i$  to  $z_i$ , and if the robber moves back to  $y_i$  the cop returns to  $x_i$ . The cops use the same strategy for the case when the robber moves from  $\overline{y}_i$  to  $\overline{a}_i$  in  $G_i(\exists)$ . If the robber tries to move towards  $s_i$  or  $t_i$  along some path of length two, then a cop moves from  $x_i$  or  $\overline{x}_i$  to  $s_i$  or  $t_i$  correspondingly, and when the robber moves back the cop also returns. Since  $\phi = true$ , we have that the cops in the  $G_i(\exists)$  gadgets can move in such a way that when the robber occupies the vertex  $u_n$ , every vertex  $C_j$  has at least one neighbor that is occupied by a cop. If the robber moves to some vertex  $w_j$  then a cop moves to  $C_j$ , and if the robber moves back then this cop also moves back. Thus the cops have a winning strategy in this case.

#### 4.5 Proof of Lemma 3

*Proof.* Let us note that if the robber chooses  $u_0$  as a starting point, then after this 2n+1 cops have to occupy the vertices  $p_0, p_1, \ldots, p_{2n}$ . Also at least one cop has to protect the graph from a possible intrusion that can

occur if the robber decides to start in b. Hence at the start of the game this cop is placed either on  $c_1$ , or on  $c_2$  and can move only to vertices  $c_1, c_2, c_3$  in his fist move. Notice also that if the robber moves from  $u_0$  to  $u_n$  along some path then all these 2n+2 cops cannot leave the set of vertices  $\{c_1, c_2, c_3, p_0, q_0, p_1, q_1, \dots p_{2n}\}$  before the robber leaves  $u_n$ . This follows from the observation that if the cop from a vertex  $x=p_{2i-1}$  leaves this vertex before the robber leaves  $y_i$  or  $\overline{y}_i$  or the cop from the vertex  $x=p_{2i}$  leaves this vertex before the robber leaves  $u_i$ , then the robber can enter x, because the cops which were standing in vertices  $c_j, p_0, \dots, p_{i-1}$  in the beginning of the game can not "keep up" with the robber and reach the vertex x at this moment. Thus the 2n+2 cops that were added in the construction of G'' from G' are unable to block the vertices  $C_1, C_2, \dots, C_m$ . Also notice that the n cops initially placed on the vertices  $s_1, \dots, s_n$  must behave exactly as they did in G'. Hence, Lemma 1 implies that these n cops can not guard the graph against the robber moving from  $u_0$  in the direction of  $u_n$ .

Suppose now that  $r \neq u_0$ . We describe a winning strategy for the cops. In the beginning n cops occupy the vertices  $s_1, s_2, \ldots, s_n, 2n+1$  cops occupy vertices  $q_0, q_1, \ldots, q_{2n}$ , and one cop is in  $c_2$ . If r=b then the cop from  $c_2$  moves to  $c_1$  and the cop-player wins. If  $r \neq b$  then the cop from  $c_2$  moves to  $c_3$ , and by his next move he moves to  $p_0$ . The cops from  $q_0, q_1, \ldots, q_{2n-1}$  move to  $p_1, p_2, \ldots, p_{2n}$ . The cop which occupies the vertex  $q_{2n}$  remains in it if the robber is on vertices  $u_i, y_i$  or  $\overline{y}_i$ . But if the robber moves (or chooses as a starting point) some vertex  $a_i, \overline{a}_i$  or  $w_j$  or some vertex from W, then he moves to an adjacent vertex and prevents the robber from entering C''. If the robber moves back to vertices  $u_i, y_i$  or  $\overline{y}_i$  then the cop returns to  $q_{2n}$ .

If  $r=u_0$  and the robber can only move along the edges  $u_{i-1}y_i, y_iu_i, u_{i-1}\overline{y}_i, \overline{y}_iu_i$  from the first vertex to the next, then the cops have a strategy which is winning when  $\phi=true$ . Cops start by occupying vertices  $s_1,s_2,\ldots,s_n$ , This requires n cops, 2n+1 cops occupy  $q_0,q_1,\ldots,q_{2n}$ , and one cop is placed on  $c_2$ . Notice that the cops have the same starting position as above. Now the cops from from  $q_0,q_1,\ldots,q_{2n}$  move to  $p_0,p_1,\ldots,p_{2n}$ , and the cops from  $s_1,s_2,\ldots,s_n$  use the same strategy as in Lemma 2.

#### **Proof of Lemma 4**

*Proof.* If  $\phi = false$ , then the robber can win by making use of exactly the same strategy as in Lemma 3. In this case, 3n+2 cops have to occupy the same vertices as in Lemma 3, namely the same vertices as before on [G''; C'', R''] in the beginning of the game, and one cop has to occupy either e or  $r_0$ . Otherwise the robber can choose d and move to  $e \in C$  in his first move. Note, that this cop cannot leave the vertices on the path P while the robber is moving from  $u_0$  to  $u_n$ , since the robber can enter  $f_1$  or  $f_2$  otherwise. Notice also that if the robber moves from  $u_0$  to  $u_n$  along some path in G[C] then this cop cannot enter  $r_{2n+1}$  the moment the robber occupies  $u_n$ . Thus he cannot protect C from the robber.

Suppose now that  $\phi = true$ . We construct a winning strategy for the cop-player. At the beginning of the game, n cops occupy the vertices  $s_1, s_2, \ldots, s_n$ , 2n+1 cops occupy vertices  $q_0, q_1, \ldots, q_{2n}$ , and one cop is in  $c_2$ . The strategy for the cops is similar to the strategies in Lemmata 2 and 3. We place one cop on  $r_0$ . If the robber chooses d as his starting point, this cop moves to e and the cop-player wins. If the robber occupies the vertices  $u_i, y_i$  or  $\overline{y}_i$ , then the robber moves along P toward  $r_{2n+1}$ . If the robber moves to a vertex in  $W_1$  or  $W_2$ , then the cop responds by moving to  $f_2$  or  $f_1$  respectively. Suppose that the robber made at least one "backward" move along edges  $u_{i-1}y_i, y_iu_i, u_{i-1}\overline{y}_i, \overline{y}_iu_i$ . If he tries to enter C by moving to some vertex  $a_i$  or  $\overline{a}_i$ , then the cop on the path P moves to  $z_i$  or  $\overline{z}_i$  and then when the robber returns to  $y_i$  or  $\overline{y}_i$  the cop moves to  $r_{2n+1}$ . In any case this cop reaches the vertex  $r_{2n+1}$  before the robber enters  $u_n$ , and from this vertex the cop can "block" all vertices  $z_i, \overline{z}_i$  and every vertex  $C_j$ .

## 4.6 Proof of Proposition 5

*Proof.* We have to prove that  $\gamma(G[C], \delta[G; C, R], C \setminus \delta[G; C, R]) \geq \mathbf{gn}_1(G; C, R)$ . Let X be a dominating set in the black and white graph G[C] for  $B = \delta[G; C, R]$ ). We define a strategy  $S = (s, \mathcal{F})$  for c = |X| cops as follows. Let

$$s(v) = \begin{cases} 1, & \text{if } v \in X, \\ 0, & \text{if } v \notin X, \end{cases}$$

and for each  $v \in B$ , let d(v) be an arbitrary vertex in  $N_{G[C]}[v] \cap X$ . For each vertex  $u \in R$ , we set  $f_u(v) = d(v)$  if  $v \in N_G(u) \cap C$ . Since  $g(G) \geq 5$ , for any two different vertices  $v, w \in N_G(u) \cap C$ ,  $f_u(v) \neq f_u(w)$ . Hence S is a winning strategy for c cops.

## **Definition of treewidth**

A tree decomposition of a graph G is a pair (T, X) where T is a tree whose vertices we will call nodes and  $X = \{X_i : i \in V(T)\}$  is a collection of subsets of V(G) (called bags) such that

- 1.  $\bigcup_{i \in V(T)} X_i = V(G),$
- 2. for each edge  $xy \in E(G)$ , there is an  $i \in V(T)$  such that  $x, y \in X_i$ ,
- 3. for each  $x \in V(G)$  the set of nodes  $\{i : x \in X_i\}$  forms a subtree of T.

The width of a tree decomposition equals  $\max\{|X_i|-1: i \in V(T)\}$ . The treewidth of a graph G (denoted by  $\mathbf{tw}(G)$ ) is the minimum width over all tree decompositions of G.

Every tree decomposition can be easily converted (in linear time) to a *nice* tree decomposition of same width (and with a linear size of T) with the rooted binary tree T with the root r, which induces a parent-child relation in the tree, such that nodes of T are of four types:

- 1. Leaf nodes i are leaves of T and have  $|X_i| = 1$ .
- 2. Introduce nodes i have one child j with  $X_i = X_j \cup \{v\}$  for some vertex  $v \in V(G)$ .
- 3. Forget nodes i have one child j with  $X_i = X_j \setminus \{v\}$  for some vertex  $v \in V(G)$ .
- 4. Join nodes i have two children  $j_1$  and  $j_2$  with  $X_i = X_{j_1} = X_{j_2}$ .

#### **Proof of Theorem 4**

*Proof.* For any node  $i \in V(T)$ , we denote by  $T_i$  the rooted subtree induced by the descendants of i with the root i. We also define  $G_i = G[\bigcup_{j \in V(T_i)} X_j]$ , and set  $Y_i = V(G_i) \setminus X_i$ ,  $Z_i = V(G) \setminus V(G_i)$  and

 $C_i = C \cap V(G_i)$ . Our algorithm constructs tables of data for trees  $T_i$  starting from the leaves. Since this approach is more or less standard dynamic programming on graphs of bounded treewidth (see e.g. survey [10]), we describe here only tables which are stored for nodes of T.

Let  $\mathcal{U} = \{U_u\}_{u \in R}$  be a collection of sets (some sets can be equal) such that  $U_u \subseteq N_G(u) \cap C \cap X_i$  for  $u \in R$ . The partial strategy for cops on  $G_i$  is defined as a pair  $S_i(\mathcal{U}) = (s, \mathcal{F})$  where

- s is a mapping assigning to every vertex v of  $C_i$  a non-negative integer s(v),
- $\mathcal{F} = \{f_u\}_{u \in R}$  is a family of functions  $f_u \colon C_i \cap N_G(u) \setminus U_u \to C_i$ , such that for every  $w \in C_i \cap N_G(u) \setminus U_u$ ,  $f_u(w) \in N_G[w]$ , and for every  $v \in C_i$ ,  $|\{w \in C_i \cap N_G(u) \colon f_u(w) = v\}| \le s(v)$ .

We call  $s(C_i)$  the weight of partial strategy. Clearly, for the root r and the collection of empty sets  $\mathcal{U}$ ,  $\mathcal{S}_r(\mathcal{U})$  is a strategy for s(C) cops.

For each partial strategy  $S_i(\mathcal{U})=(s,\mathcal{F})$  of cops,  $\mathcal{U}=\{U_u\}_{u\in R}$ , and for a vertex  $u\in R$ , we define the configuration of  $S_i(\mathcal{U})$  for u in  $X_i$  as a 4-tuple  $\{D_u,U_u,X_u,f'_u\}$  where sets  $D_u,U_u,X_u\subseteq C\cap N_G(u)\cap X_i$  form a partition of  $C\cap N_G(u)\cap X_i$  (some sets can be empty) such that  $f_u(x)\in Y_i$  for  $x\in D_u,f_u(x)\in X_i$  for  $x\in X_u$ , and  $f'_u=f_u|_{X_u}$  (i.e.  $f'_u$  is the function on  $X_u$  such that  $f'_u(x)=f_u(x)$  for  $x\in X_u$ ).

Let  $g_u(v) = s(v) - |\{x \in V(G_i) : f_u(x) = v\}|$  for  $v \in C \cap X_i$  and  $u \in R \cap X_i$ . We define a function  $s' = s|_{C \cap X_i}$ .

The configuration of  $S_i(\mathcal{U})$  for  $Y_i$  in  $X_i$  is the set  $K_D$  of all different configurations of  $S_i(\mathcal{U})$  for  $u \in R \cap Y_i$ . Symmetrically, the configuration of  $S_i(\mathcal{U})$  for  $Z_i$  in  $X_i$  is the set  $K_U$  of all different configurations of  $S_i(\mathcal{U})$  for  $u \in R \cap Z_i$ . The configuration of  $S_i(\mathcal{U})$  for  $X_i$  in  $X_i$  is defined as the set  $K_X$  of all 6-tuples

 $\{u, D_u, U_u, X_u, f'_u, g_u\}$  for  $u \in R \cap X_i$ . The *state* of the partial strategy  $S_i(\mathcal{U})$  for  $X_i$  is the 4-tuple  $\{s', K_D, K_U, K_X\}$ .

Correspondingly, the table of data for a node i of  $T_i$  contains all 5-tuples  $\{w,s',K_D,K_U,K_X\}$ , where  $w \leq n$  is a positive integer,  $s' \colon C \cap X_i \to \{0,\dots,n\}$ ,  $K_D$  and  $K_U$  are sets of 4-tuples  $\{D,U,X,f\}$  and  $K_X$  is a set of 6-tuples  $\{\{u,D_u,U_u,X_u,f'_u,g_u\}\colon u\in R\cap X_i.$  For each 4-tuple  $\{D,U,X,f\}$  in  $K_D$ ,  $D,U,X\subseteq C\cap X_i$  which form a partition of the set  $N_G(u)\cap X_i\cap C$  for some  $u\in R\cap Y_i$ , and for each  $u\in R\cap Y_i$ ,  $K_D$  contains at least one 4-tuple such that D,U,X is a partition of  $N_G(u)\cap X_i\cap C$ . Respectively, for each 4-tuple  $\{D,U,X,F\}$  in  $K_U,D,U,X\subseteq C\cap X_i$  and they form a partition of the set  $N_G(u)\cap X_i\cap C$  for some  $u\in R\cap Z_i$ , and for each  $u\in R\cap Z_i$ ,  $K_D$  contains at least one 4-tuple such that D,U,X is a partition of  $N_G(u)\cap X_i\cap C$ . In both cases  $f\colon X\to C\cap X_i$  such that  $f(x)\in N_G[x]$  for  $x\in X$ . For each 6-tuple  $\{u,D_u,U_u,X_u,f'_u,g_u\}$  in  $K_X,D_u,U_u,X_u$  is a partition of  $N_G(u)\cap X_i\cap C$ ,  $f'_u\colon X_u\to C\cap X_i$  such that  $f'_u(x)\in N_G[x]$  for  $x\in X_i$ , and  $y_i\colon X_i\cap C\to \{0,\dots,n\}$ .

For each 5-tuple  $\{w, s', K_D, K_U, K_X\}$ , the table for the node i keeps "YES", if there is a partial strategy for  $G_i$  of weight w for some collection of sets  $\mathcal{U}$  with this state, and the table contains "NO" otherwise.

Such tables can be constructed for leaves of T by trying all possible partial strategies, and it can be easily checked that the table for a vertex i can be computed if the tables for children of i are given. If the table for the root r is constructed, then we can find the value of  $\mathbf{gn}_1(G; C, R)$ .

A correctness of the algorithm follows from the description. Let us estimate the time complexity. The running time is proportional to the sizes of tables. Notice that the number of all possible 4-tuples in  $K_D$  ( $K_U$  respectively) is at most  $p(t) = 2^{t+1} \cdot 3^{t+1} \cdot (t+1)^{t+1}$ . Therefore, the number of all possible sets  $K_D$  ( $K_U$  respectively) is at most  $2^{p(t)}$ . The number of all possible 6-tuples in  $K_X$  for each  $u \in R \cap X_i$  is  $3^{t+1} \cdot (t+1)^{t+1} \cdot (n+1)^{t+1}$  at most, and hence there is at most  $3^{(t+1)^2} \cdot (t+1)^{(t+1)^2} \cdot (n+1)^{(t+1)^2} = q(t) \cdot n^{(t+1)^2}$  possibilities to construct  $K_X$ . Hence, the number of 5-tuples  $\{w, s', K_D, K_U, K_X\}$  in the table is  $(n+1) \cdot (n+1)^{t+1} \cdot p(t)^2 \cdot q(t) \cdot n^{(t+1)^2}$  and the size of the table is bounded by the function  $h(t) \cdot (n+1)^{t^2+3t+3}$ . Thus the running time of the algorithm is bounded by  $h(t)n^{O(t^2)}$  for some function h which depends only on t.

# **Proof of Lemma 6**

*Proof.* Suppose that  $X\subseteq V(G)$  is a capacitated dominating set of size at most k. We assume without loss of generality that |X|=k and  $X=\{a_1,a_2,\ldots,a_k\}$ . We define a winning strategy for r cops  $\mathcal{S}=(s,\mathcal{F})$  as follows. The function s on the vertices of  $C(G_i)$  is defined as  $s_1$  if  $a_i\in X$  and  $s_2$  if  $a_i\notin X$  (see Lemma 5). For all other vertices t of C, we put s(t)=0. Clearly, s(C)=r. Now we define  $\mathcal{F}$ . By Lemma 5, we have to define mappings  $f_x\colon C\cap N_H(x)\to C$  only for  $x=q_1,q_2,p$ . Let  $f_{q_1}(b_i)=a_i$  and  $f_{q_2}(d_i)=a_i$  for all  $i\in\{1,2,\ldots,k\}$ . Denote by  $g\colon\{a_{k+1},a_{k+2},\ldots,a_n\}\to\{a_1,a_2,\ldots,a_k\}$  a domination mapping of vertices of  $V(G)\setminus X$  for c and X. We set  $f_p(u_i)=u_i$  for  $i\in\{1,2,\ldots,k\}$ , and if  $g(a_i)=a_j$  then  $f_p(u_i)=v_j$  for i>k.

If  $g(a_i) = a_j$  then  $f_p(u_i) = v_j$  for i > k.

Assume now that  $\operatorname{gn}_1(H;C,R) \leq r$ . Let  $S = (s,\mathcal{F})$  be a winning strategy for r cops. By the first claim of Lemma 5  $s(\bigcup_{i=1}^n (C(G_i) \setminus \{u_i\}) \geq \sum_{i=1}^n c(a_i))$ , and then  $s(\{u_1,u_2,\ldots,u_n\} \cup \{b_1,b_2,\ldots,b_k\} \cup \{d_1,d_2,\ldots,d_k\}) \leq k$ . It can be easily seen that  $f_{q_1}(b_i) \in \{b_i,u_1,u_2,\ldots,u_u\}$  and hence  $s(\{b_1,b_2,\ldots,b_k\} \cup \{u_1,u_2,\ldots,u_n\}) \geq k$ . Similarly,  $s(\{d_1,d_2,\ldots,d_k\} \cup \{u_1,u_2,\ldots,u_n\}) \geq k$ . It follows that  $s(\{u_1,u_2,\ldots u_k\}) = k$ . Let  $X = \{a_i : s(u_i) \geq 1\}$ . Clearly,  $|X| \leq k$ . We prove that X is a capacitated dominating set. Since  $s(\{u_1,u_2,\ldots u_k\}) = k$ ,  $s(\bigcup_{i=1}^n (C(G_i) \setminus \{u_i\}) \leq \sum_{i=1}^n c(a_i))$ . Therefore, by Lemma 5,  $s(C(G_i) \setminus \{u_i\}) = c(a_i)$  for all  $s(\{u_1,u_2,\ldots,u_n\}) = 0$ . It follows immediately that if  $s(u_i) = 0$ , then  $s(u_i) \in \{v_j : s(u_j) \geq 1\}$ . We define the domination mapping  $s(u_i) \in \{u_i,u_i\} = u_j$  for  $s(u_i) \in \{u_i,u_i\} = u_j$  for  $s(u_i) \in \{u_i,u_i\} = u_j$ .

#### **Proof of Lemma 7**

*Proof.* Let us look on the construction of H in a slightly different way. We can assume that we first construct a bipartite graph with vertices  $v_1, v_2, \ldots, v_n$  and  $u_1, u_2, \ldots, u_n$  such that vertices  $u_i$  and  $v_j$  are adjacent if and only if  $a_i a_j \in E(G)$ . The treewidth of this graph is at most  $2 \cdot \mathbf{tw}(H) + 1$  because we can construct its tree decomposition replacing every vertex  $a_i$  in the bags of the tree decomposition of G by vertices  $u_i$  and  $v_i$ . Then vertices  $b_1, b_2, \ldots, b_k, d_1, d_2, \ldots, d_k$  and  $p, q_1, q_2$  are added, which increases treewidth by at most 2k + 3. The obtained graph has treewidth at most  $2 \cdot \mathbf{tw}(H) + 1 + 2k + 3$ . Clearly, the gluing of gadgets  $G_i$  to the pairs  $u_i, v_i$  does not increase the treewidth of H above this value.  $\square$ 

### **Proof of Theorem 6**

*Proof.* The idea of the proof is to show that when the maximum vertex degree of G is bounded, the ONE-STEP GUARDING problem can be stated as an optimization problem which belongs to the LinEMSOL class (we refer to the paper of Arnborg et al. [6] for the definition of this class). As it was shown in [6], every problem expressible as an LinEMSOL problem is solvable in linear time on graphs of bounded treewidth. Or in other words, is fixed parameter tractable with linear dependence on the input length, when parameterized by the treewidth.

Because  $\Delta(G) \leq d$ , we can assume that  $s(v) \leq d+1$  for  $v \in C$  and for every strategy  $\mathcal{S} = (s,\mathcal{F})$  of cops. It is convenient here to treat G as a directed graph with each undirected edge xy replaced by two directed edges (x,y) and (y,x). Denote by A(G) the set of directed edges of G. The problem of computing the one-step guard number is the following minimization problem: Compute  $\min |X_1| + 2|X_2| + \ldots + (d+1)|X_{d+1}|$ , where  $X_1,\ldots,X_{d+1}$  are pairwise disjoint subsets of C ( $X_i$  is a set of vertices such that each vertex is occupied by i cops). The sets  $X_1,\ldots,X_{d+1}$  satisfy the following conditions:  $\forall u \in R$ ,  $\exists Y \in (X_1 \cup \ldots \cup X_{d+1}) \cap N_G(u)$  (Y is a set of vertices, where at least one cop remains) and  $\exists R \subseteq A(G)$  (R is a set of directed edges corresponding to movements of the cops) such that  $\forall v \in N_G(u) \cap C \setminus Y$ ,  $\exists (w,v) \in R$  for which  $w \in X_1 \cup \ldots \cup X_{d+1}$  and for each  $w \in X_i \setminus Y$ ,  $|\{(v,w)\colon (v,w) \in A_i\}| \leq i$  and for each  $w \in X_i \cap Y$ ,  $|\{(v,w)\colon (v,w) \in A_i\}| \leq i-1$  for  $i \in \{1,\ldots,d+1\}$ . This yields that computing of the one-step guard number is expressible as an LinEMSOL problem.

# **Proof of Lemma 8**

*Proof.* Let  $S_1 = (s_1, \mathcal{F}_1)$  and  $S_2 = (s_2, \mathcal{F}_2)$  be strategies for  $c_1 = \mathbf{gn}_1(G_1, C_1, R_1)$  cops on  $[G_1; C_1, R_2]$  and for  $c_2 = \mathbf{gn}_1(G_2, C_2, R_2)$  cops on  $[G_2; C_2, R_2]$  correspondingly,  $\mathcal{F}_1 = \{f_u^{(1)}\}$  and  $\mathcal{F}_2 = \{f_u^{(2)}\}$ . We define the strategy  $S = (s, \mathcal{F}), \mathcal{F} = \{f_u\}$  on [G; C, R] as follows:

$$s(v) = \begin{cases} s_1(v), & \text{if } v \in C_1 \setminus C_2, \\ s_2(v), & \text{if } v \in C_2 \setminus C_1, \\ s_1(v) + s_2(v), & \text{if } v \in C_1 \cap C_2; \end{cases}$$

 $f_u = f_u^{(1)}$  if  $u \in R_1 \setminus R_2$ ,  $f_u = f_u^{(2)}$  if  $u \in R_2 \setminus R_1$ , and for  $u \in R_1 \cap R_2$ ,

$$f_u(v) = \begin{cases} f_u^{(1)}(v), & \text{if } v \in C_1 \cap N_G(u), \\ f_u^{(2)}(v), & \text{if } v \in (C_2 \setminus C_1) \cap N_G(u). \end{cases}$$

It is easy to check that S is a winning strategy for  $c_1 + c_2$  cops.

#### **Proof of Theorem 8**

*Proof.* For planar graphs it is assumed that plane embeddings are given, and we do not distinguish between a planar graph and it's plane embedding. Let G be a planar graph. The *layer decomposition* of G [7] is a disjoint partition of the vertex set V(G) into sets  $L_1, L_2, \ldots, L_r$ , which are recursively defined as follows:

1.  $L_1$  is the set of vertices on the exterior face of G;

2.  $L_i$  is the set of vertices on the exterior face of  $G[V(G) \setminus \bigcup_{j=1}^{i-1} L_j]$  for  $i \in \{2, 3, \dots, r\}$ .

The number r is called the *outerplanarity* of G. The layer decomposition of a planar graph (for given plane embedding) can be constructed efficiently.

**Proposition 6** ([2]). Let G be a planar graph with n vertices. The layer decomposition of G can be constructed it time O(n).

We also need the following property of the outerplanarity [11] (see also [2]).

**Proposition 7** ([11]). Let G be a planar graph with outerplanarity r and with n vertices. Then  $\mathbf{tw}(G) \le 3r - 1$  and tree decomposition of width at most 3r - 1 can be found in time O(rn).

We are now in position to prove the theorem. Let  $G' = G[N_G[\delta[G;C,R]]], C' = C \cap N_G[\delta[G;C,R]]$  and  $R' = R \cap N_G[\delta[G;C,R]]$ . By Proposition 3  $\mathbf{gn}_1(G;C,R) = \mathbf{gn}_1(G';C',R')$ . Clearly, G' has outerplanarity at most 3. Then by Proposition 7,  $\mathbf{tw}(G') \leq 8$  and by Theorem 4,  $\mathbf{gn}_1(G';C',R')$  can be computed polynomially.